

Bootstrapping CFTs without using unitarity



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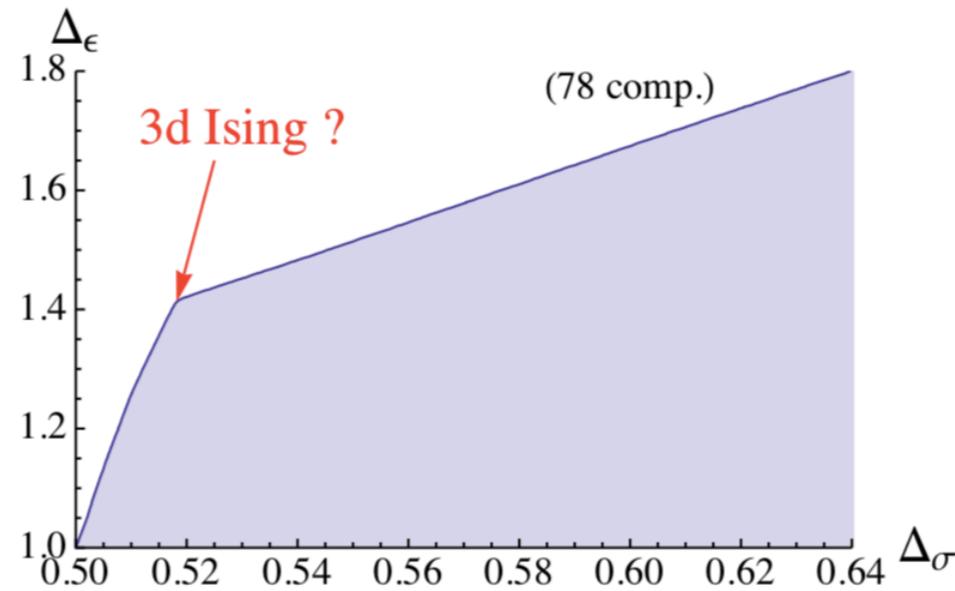


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Based on [1706.04054](#) and [1711.09075](#)

Unitarity is nice

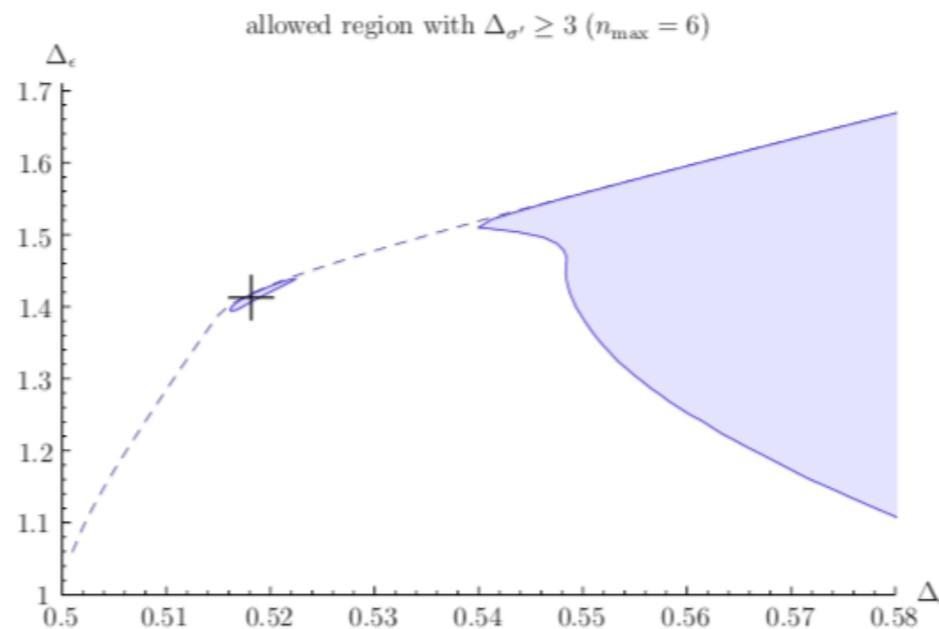
- Kink



El-Showk et al., 2012

Why at a kink?

- Island



Kos et al. 2014

1. Non-unitary CFTs

- Statistical physics

Lee-Yang edge singularity
(imaginary coupling constant)

polymers, percolation, disordered systems
(logarithmic CFTs)

- Complex CFTs

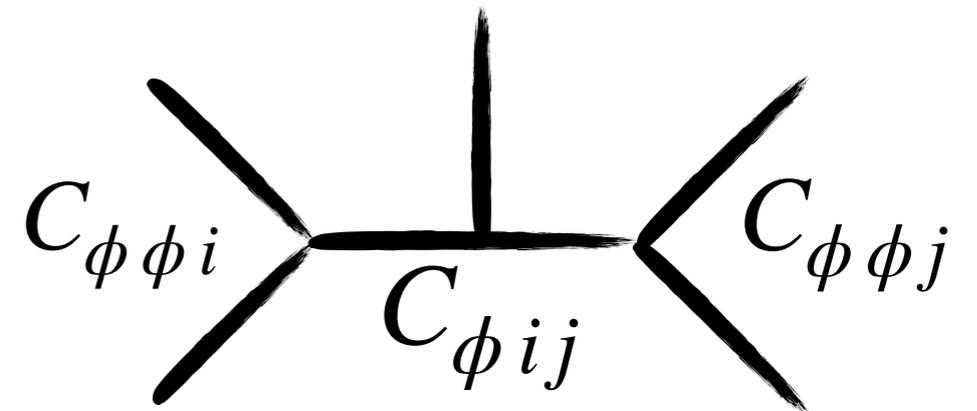
scaling dimensions/OPE coefficients are complex numbers

complexified deformations of real CFTs

walking in gauge theories ~ weakly first-order phase transition
(Gorbenko-Rychkov-Zan, '18)

2. Higher-point functions

- 5-point $C_{\phi\phi i} C_{\phi ij} C_{\phi\phi j} \neq (\dots)^2$

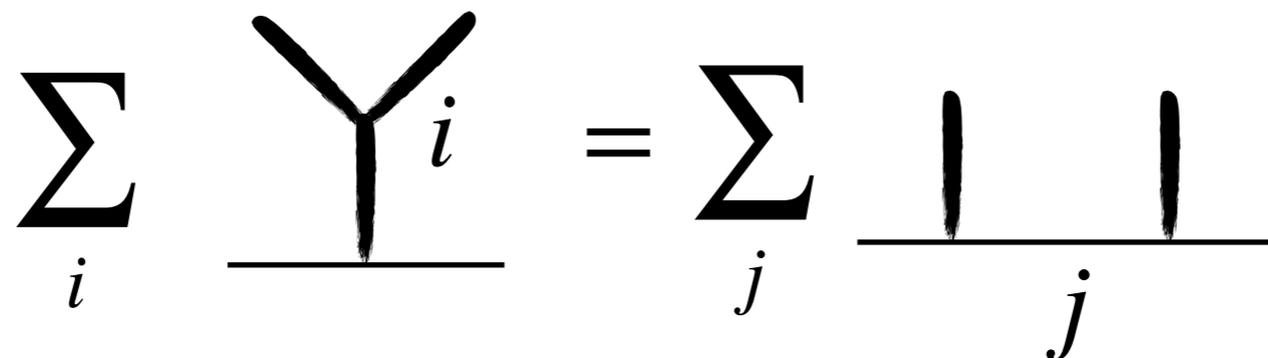


- 6-point $C_{\phi\phi i} C_{\phi ij} C_{\phi jk} C_{\phi\phi k} \neq (\dots)^2$



3. When 1-point functions are non-zero

- 2-point functions are not diagonal. 4-point functions will not have squares.
- 2-point functions already lead to nontrivial consistency equations
- Boundary CFTs/Defect CFTs
surface critical phenomena, entanglement entropy
non-local probes/order parameters in gauge theories

$$\sum_i \text{Y}_i = \sum_j \text{I}_j$$


- Thermal bootstrap (CFT on $S^1 \times \mathbb{R}^{d-1}$)
Kubo-Martin-Schwinger condition for thermal 2-point functions
(Iliesiu-Kologlu-Mahajan-Perlmutter-Simmons-Duffin, '18)

Need a different approach

- In 2013, F. Gliozzi proposed an alternative method:
“determinant method”.

(Gliozzi-Rago '14, Gliozzi-Liendo-Meineri-Rago '15, Nakayama '16, Gliozzi '16, Esterlis-Fitzpatrick-Ramirez '16, Hikami '17 & '18, LeClair-Squires '18)

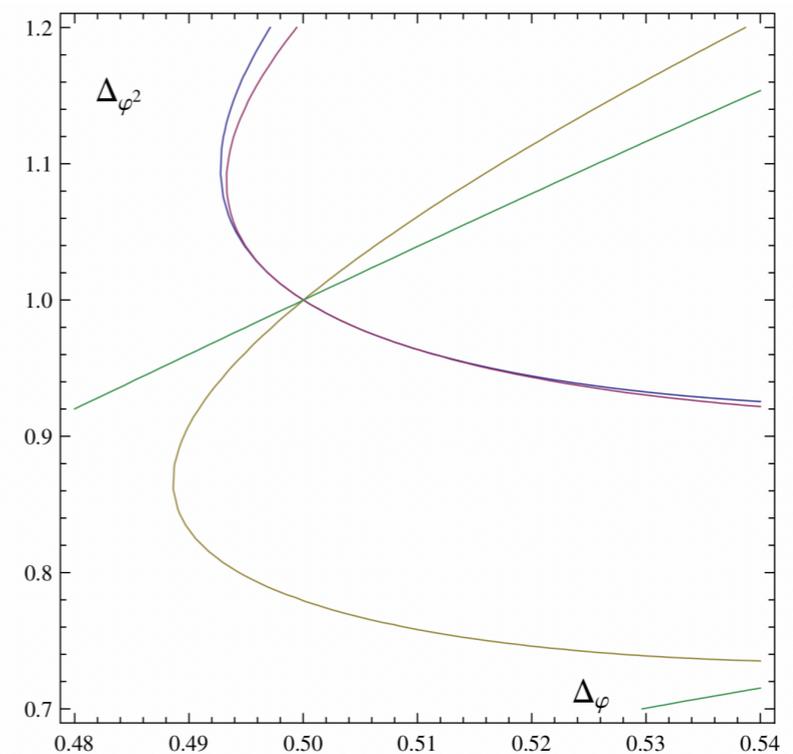
- **OPEs are truncated. Unitarity is not used.**

- For an overdetermined system, determinants of minors should vanish.

ex. 3d free scalar CFT

$$\phi \times \phi = I + [\Delta_{\phi^2}, 0] + [\Delta_T = 3, 2] + [\Delta_{\phi^2} + 4, 4]$$

- It is subtle to estimate the errors in the prediction, because OPE truncation errors are omitted.



How to tame the truncation error?

Source of discrepancy

Giozzi-Rago method sets truncation error to zero,
while we know that it's small but nonzero

=> need a modification which would
handle truncation error consistently

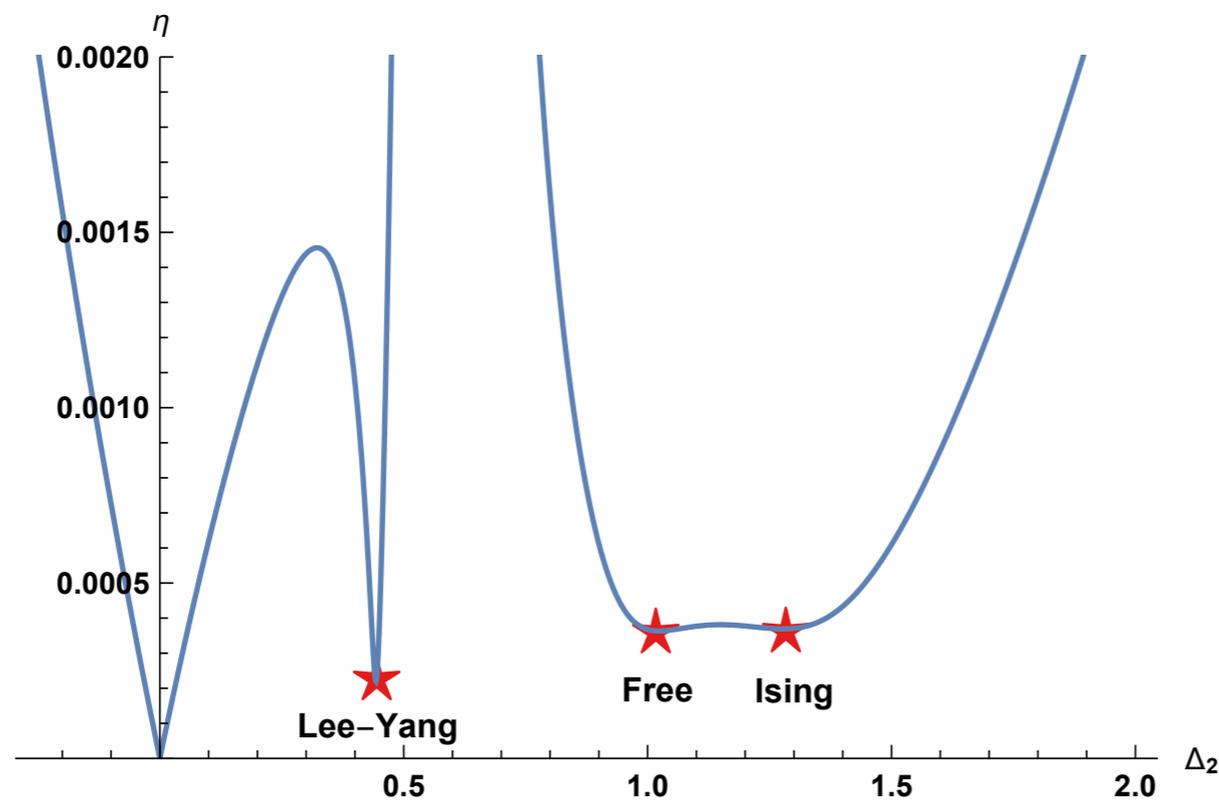
From a review talk by Slava Rychkov in 2015

Error minimization

- Modification
OPE truncation error is minimized

1711.09075

- “Error function” = $\sqrt{\sum (\text{truncated crossing eqn})^2} \sim \|\text{truncated OPE}\|$



$$\phi_1 \times \phi_1 = I + \phi_2 + T$$

$$d=3 \quad \Delta_1 = \frac{1}{2}$$

Is OPE truncation a good approximation?

- Why is Ising at the kink?
Something dramatic must happen
- Many operators decouple (El-Showk et al., 2014)
spectrum is very sparse/minimal
non-perturbative equations of motion
($d > 2$ minimal models?)
- OPE convergence is particularly rapid
OPE truncation error is particularly small
- Unitarity is not crucial
In fact, 2d Lee-Yang is sparser than 2d Ising

Analytic results

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- $\phi_1 \times \phi_1 = I + \phi_2 \quad \rightarrow \quad P_2 \approx \frac{4\Delta_1}{3\Delta_2 - 4\Delta_1}$

P_2	free	ϕ^4 WF	2d LY	2d Ising	3d LY	3d Ising
estimate	2	$2 - \epsilon$	-4	0.2	-4	1.0
exact/numerical	2	$2 - 2\epsilon/3$	-3.7	0.25	-3.9	1.1

- $\phi_1 \times \phi_1 = I + \phi_2 + T \quad \rightarrow \quad \text{d-dependent rational function}$

P_2	free	ϕ^4 WF	2d LY	2d Ising	3d LY	3d Ising
estimate	2	$2 - 0.66\epsilon$	-3.63	0.254	-3.90/-3.88	1.12
exact/numerical	2	$2 - 0.67\epsilon$	-3.65	0.25	-3.91/-3.88	1.11

universal, approximate relations for CFT data

What is more?

- Perturb the minima
add subleading operators still under development
more precise results
- Strongly-coupled theories also have small expansion parameters:
OPE coefficients of the subleading operators!
- Interplay between numerical & analytic results
quantitative & qualitative understanding
ex. double-twist spectrum & larger spin perturbations

Summary

- Lesson from the kink
sparse spectrum due to operator decoupling
rapid OPE convergence & small OPE truncation error

- New bootstrap method: error minimization
Perturbation theory in small OPE coefficients

$$\phi_1 \times \phi_1 = \underbrace{(I + \phi_2 + T)}_{\text{non-perturbative}} + \underbrace{(\mathcal{O}_3 + \mathcal{O}_4 + \dots)}_{\text{perturbations}}$$

- Shifman-Vainshtein-Zakharov sum rules in QCD

Thank you