

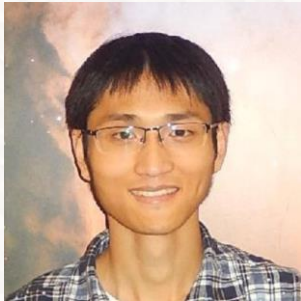
UNIVERSAL OPERATOR GROWTH AND EMERGENT HYDRODYNAMICS IN QUANTUM SYSTEMS

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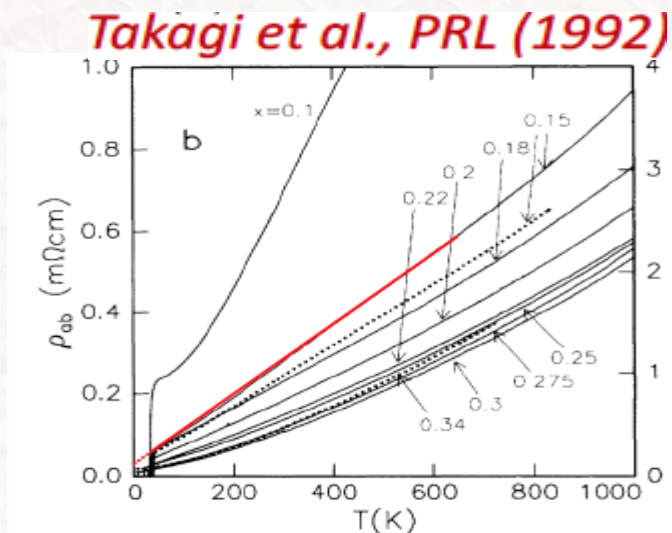
Daniel Parker,

Thomas Scaffidi

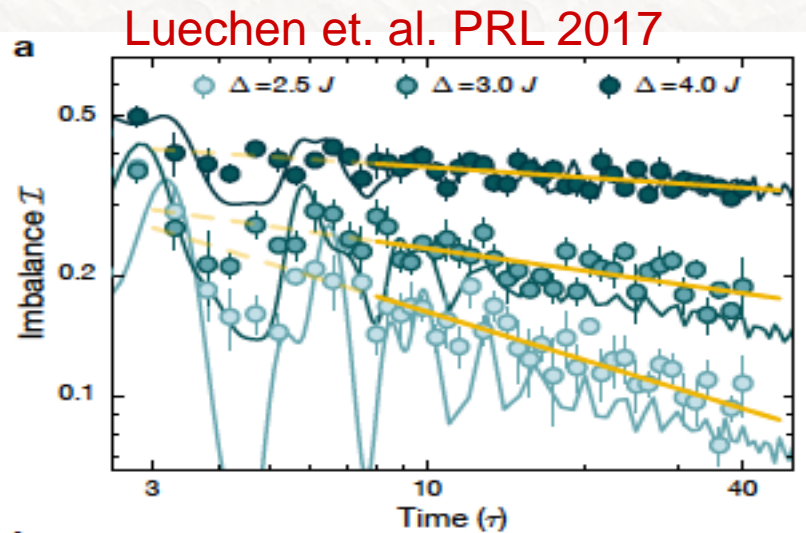


How to compute dynamical properties of strongly coupled quantum matter ?

Unconventional transport:
(Strange metals)



Relaxation following a
quench in cold atomic systems:



Often boils down to computing time dependent correlations:

$$C(t) = \langle \mathcal{O}(t) \mathcal{O} \rangle$$

Quantum Mechanics

Microscopic description of the system.

Example: Chaotic Ising Model

$$H = \sum_i X_i + 1.05 Z_i Z_{i+1} + 0.59 Z_i$$

Correlation functions:

$$C(t) = \langle \mathcal{O}(t, x) \mathcal{O}(0) \rangle$$

Hard Solution: Hamiltonian dynamics

$$\mathcal{O}(t) = e^{-iHt} \mathcal{O} e^{iHt}.$$

Exact and **reversible** dynamics.

?



Hydrodynamics

Macroscopic description of quantum systems as classical PDEs.

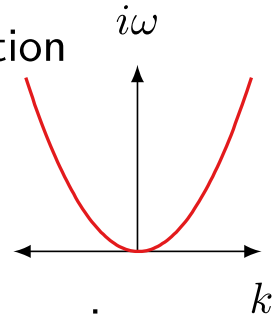
Example: Diffusion of energy

$$\frac{\partial}{\partial t} \varepsilon(t, x) = D \nabla^2 \varepsilon(t, x) + \nabla f,$$

with D diffusion, f thermal noise.

Easy Solution: Green's function

$$G(i\omega, k) = \frac{1}{i\omega + Dq^2}$$



Approximate & **irreversible** dynamics.

Quantum evolution is hard to compute

Operators evolve in a huge Hilbert space:

$$-i \frac{d\hat{A}}{dt} = [H, \hat{A}] \quad \longleftrightarrow \quad -i \frac{d|A\rangle}{dt} = \mathcal{L}|A\rangle$$

$$(A|B) = \text{tr}(AB) \quad |A(t)\rangle = e^{-i\mathcal{L}t}|A\rangle$$

Spin-1/2 models:

$$H = \sum_{\langle ij \rangle} h_{\alpha\beta} \sigma_i^\alpha \sigma_j^\beta$$

Basis of “Pauli strings:

$$\sigma^{\alpha_1} \otimes \sigma^{\alpha_2} \otimes \dots \otimes \sigma^{\alpha_N} \equiv |\alpha\rangle$$

$$\alpha_i = 0, 1, 2, 3$$

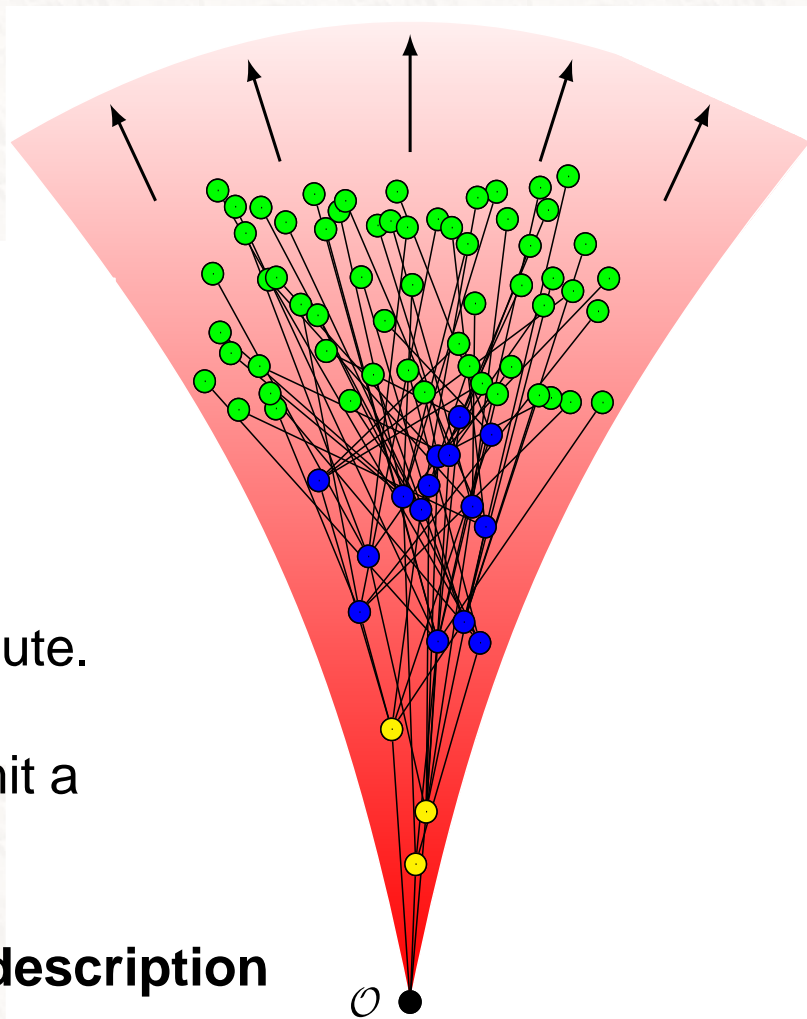
Quantum evolution is hard to compute

$$C(t) = (\mathcal{O} | e^{i\mathcal{L}t} | \mathcal{O})$$

$$\mathcal{O}(t) = e^{i\mathcal{L}t} \mathcal{O} = \mathcal{O} + (it)\mathcal{L}\mathcal{O} + (it)^2\mathcal{L}^2\mathcal{O} + \dots$$

The basic idea

- Operators flow from simple to complex eventually becoming too complex to compute.
- Sufficiently complex operators should admit a *universal statistical description*.
- **Our goal is to formulate this universal description**



Outline

- Background: Krylov sub-space and operator complexity
- **A hypothesis for universal operator growth**
- Evidence for the hypothesis:
 - (i) Numerical (Spin chains)
 - (ii) Analytical (SYK models)
- Application: generalized notion of chaos and the bound
- Application: computation of transport coefficients
-

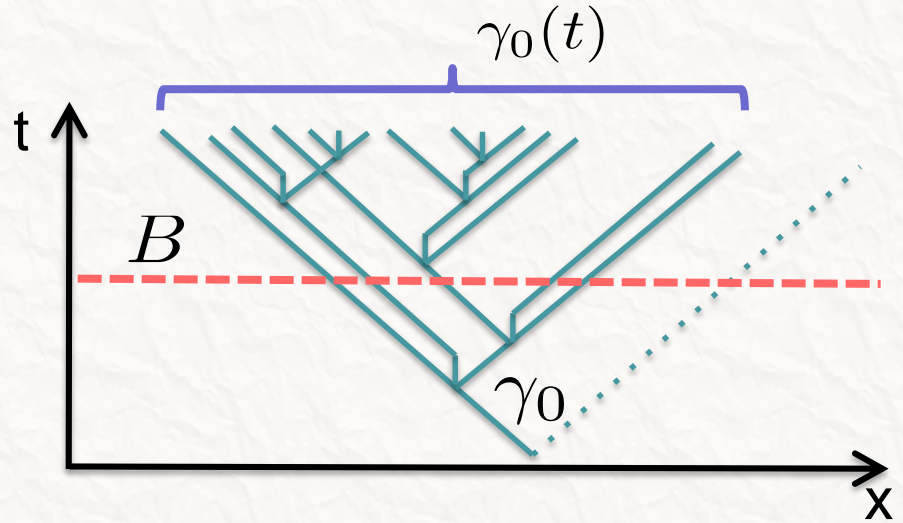
Out of time order correlations (OTOC): a measure for operator growth

$$F(t) \equiv \langle [A(t), B]^2 \rangle$$

Example:

$$A(t) = \gamma_0(t)$$

$$B = \sum_j i \gamma_{2j} \gamma_{2j+1}$$



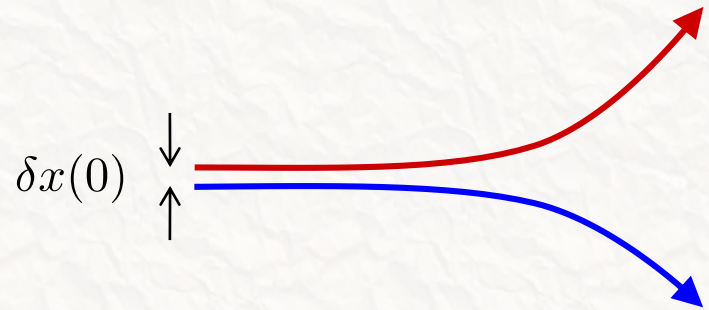
$$\gamma_i(\delta t) = \gamma_i + (\gamma_{i-1} + \gamma_{i+1})\delta t + \lambda (\gamma_{i-1} \gamma_i \gamma_{i+1}) \delta t$$

If $\lambda \ll 1$ then: $F(t) \sim \epsilon e^{\lambda t}$

OTOC commonly used as a proxy of many-body quantum chaos.

Connection to classical chaos

$$\langle [\hat{x}(t), \hat{p}]^2 \rangle \longleftrightarrow \langle \{x(t), p\}^2 \rangle = \left\langle \left(\frac{dx(t)}{dx(0)} \right)^2 \right\rangle \sim e^{\lambda_L t}$$

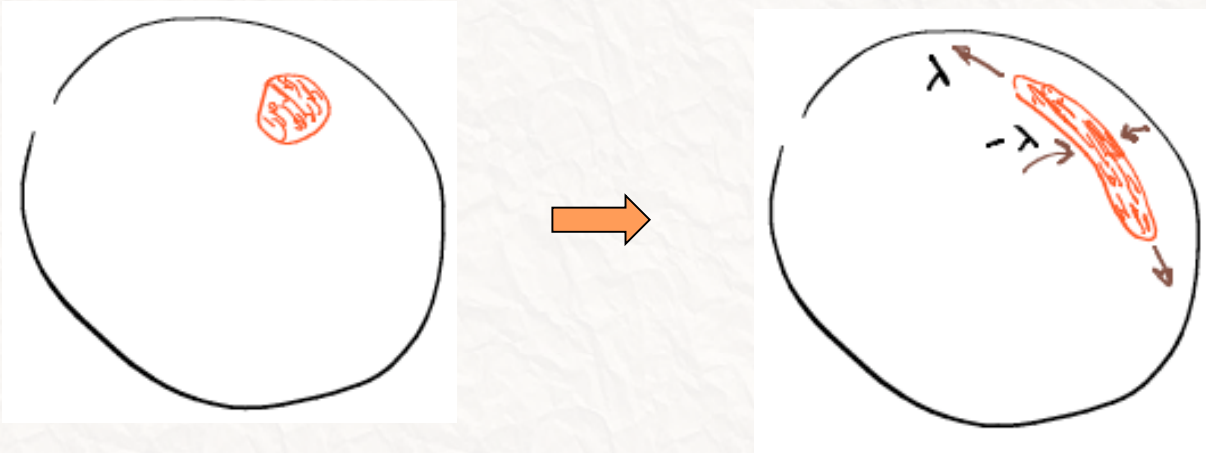


Measures sensitivity to initial conditions in a classical system

Classical “operator” complexity growth

Classical operators = Distribution functions on phase space

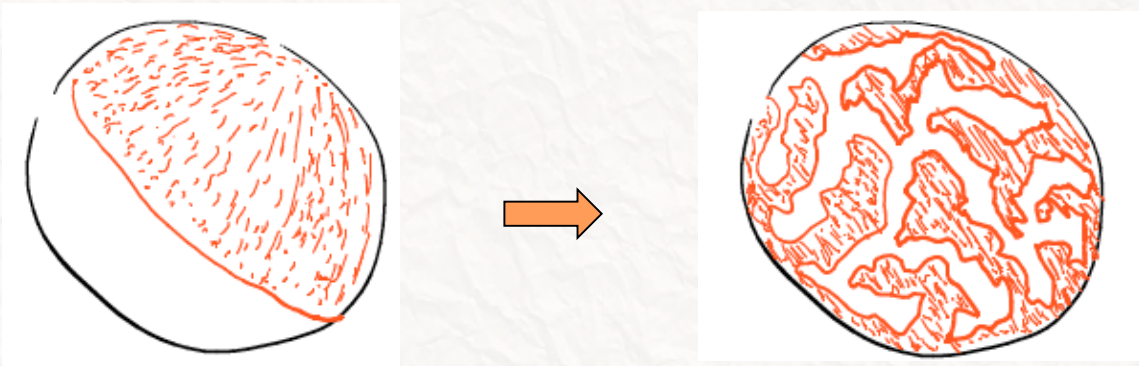
$$\frac{\partial f(x, p, t)}{\partial t} = \{\mathcal{H}, f\}$$



Classical “operator” complexity growth

Classical operators = Distribution functions on phase space

$$\frac{\partial f(x, p, t)}{\partial t} = \{\mathcal{H}, f\}$$



Lyapunov exponents quantify the rate at which increasingly fine structures on phase space are being generated.

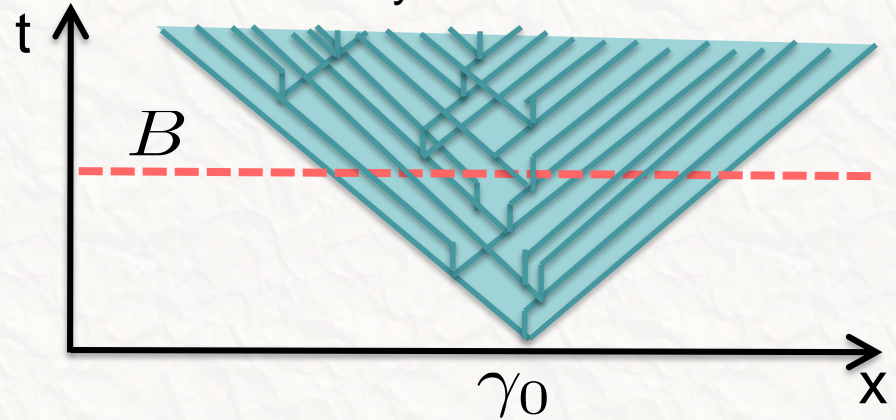
A problem with this measure of operator growth:

OTOCs do not necessarily grow exponentially in generic systems
(i.e. not large N or semiclassical)

\hbar limits the resolution of
structures on phase space.



At strong coupling the operator
immediately becomes dense



$$\lambda^{-1} < t_{\text{saturation}}$$

$$F(t) \equiv \langle [A(t), B]^2 \rangle \sim vt$$

Another way to characterize operator complexity ?

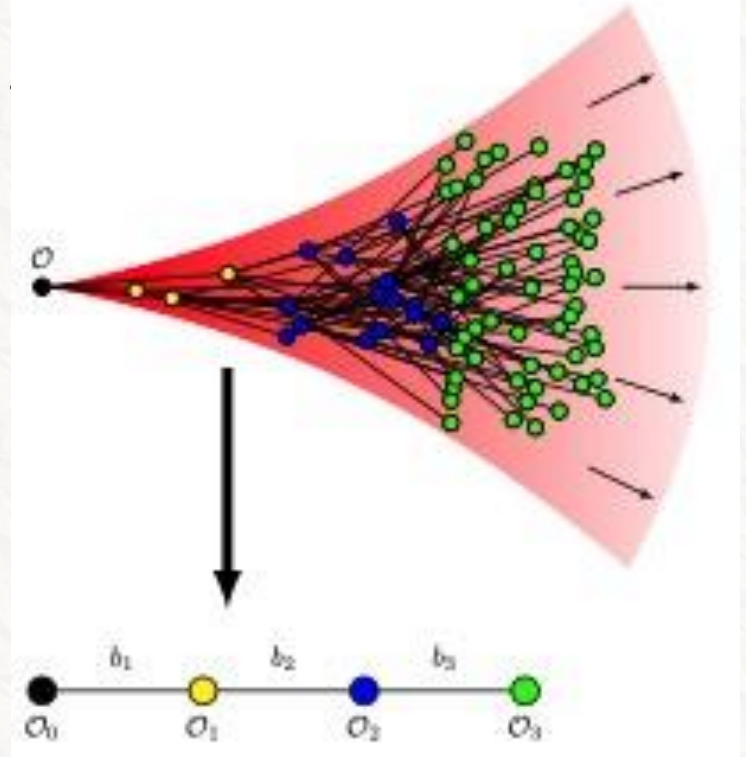
Krylov basis: folding the graph on a line

Generate orthonormal basis from successive application of \mathcal{L}

$$|O\rangle \xrightarrow{\mathcal{L}} |O_1\rangle \xrightarrow{\mathcal{L}} |O_2\rangle \xrightarrow{\mathcal{L}} |O_3\rangle \cdot$$

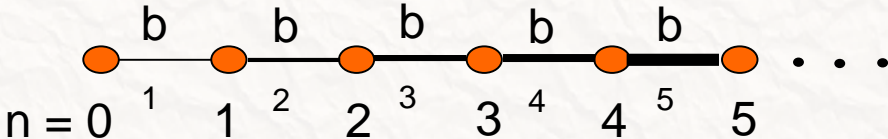
$$(\mathcal{O}_n | \mathcal{L} | \mathcal{O}_m) = \begin{pmatrix} 0 & b_1 & 0 & 0 & \dots \\ b_1 & 0 & b_2 & 0 & \dots \\ 0 & b_2 & 0 & b_3 & \dots \\ 0 & 0 & b_3 & 0 & \dots \\ \vdots & \vdots & \vdots & \ddots & \ddots \end{pmatrix}.$$

“Recursion Coefficients”



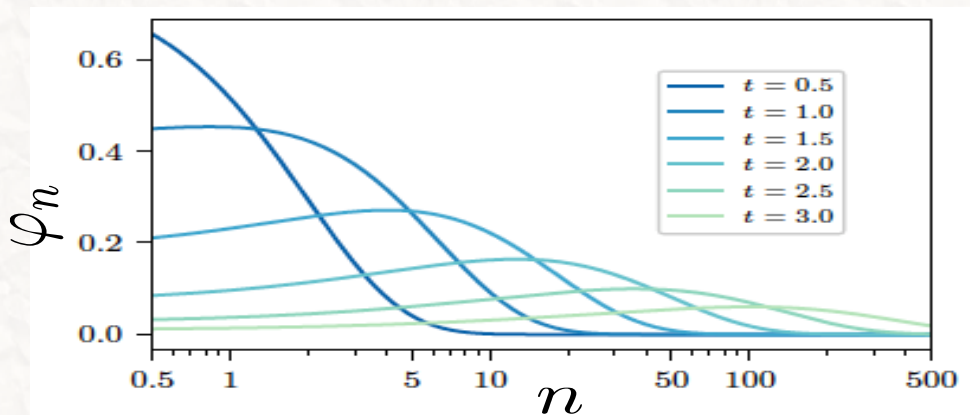
- Problem mapped to single-particle hopping on a semi-infinite chain !
- Krylov index \sim operator complexity

“Operator wavefunction” in Krylov space

$$\varphi_n(t) = (\mathcal{O}_n | \mathcal{O}(t))$$


The diagram shows a horizontal chain of six orange circular sites labeled $n=0, 1, 2, 3, 4, 5$ from left to right. Each site is connected to its immediate neighbors by a horizontal line. Above each of the five bonds between sites, the letter 'b' is written, representing the coupling strength. The chain continues to the right with an ellipsis \dots .

$$\partial_t \varphi_n = -b_{n+1} \varphi_{n+1} + b_n \varphi_{n-1}, \quad \varphi_n(0) = \delta_{n0}$$



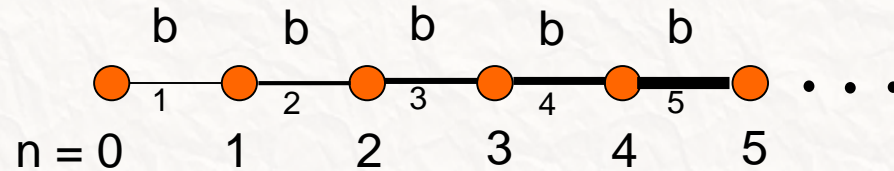
The autocorrelation function:

$$C(t) = \text{tr} [\mathcal{O}(t)\mathcal{O}] = \varphi_0(t)$$

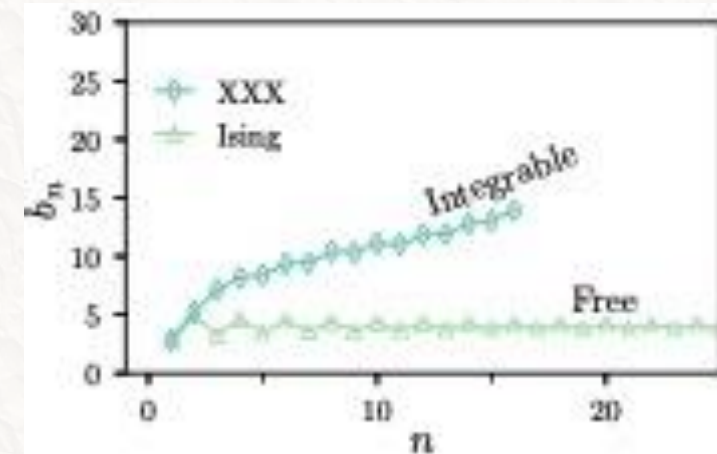
“Krylov-complexity”:

$$\langle n(t) \rangle = \sum_{n=0}^{\infty} |\varphi_n(t)|^2 n$$

How do the recursion coefficients grow with n ?



Asymptotic	Growth Rate	System Type
$b_n \sim O(1)$	constant	Free models
$b_n \sim O(\sqrt{n})$	square-root	Integrable models
$b_n \sim ???$???	Chaotic models
$b_n \gtrsim O(n)$	superlinear	Disallowed



The hypothesis for generic models: linear growth of the recursion coefficients

Dan Parker, Xiangyu Cao, Thomas Scaffidi, EA arXiv:1812.08657

$$b_n = \alpha n + \beta, \quad n \rightarrow \infty$$

Logarithmic correction in 1d models:

$$b_n = \frac{\alpha n}{\log(n)} + \beta$$

(Theorem by Araki 1969 excludes faster growth. Thanks to Alex Advoshkin)

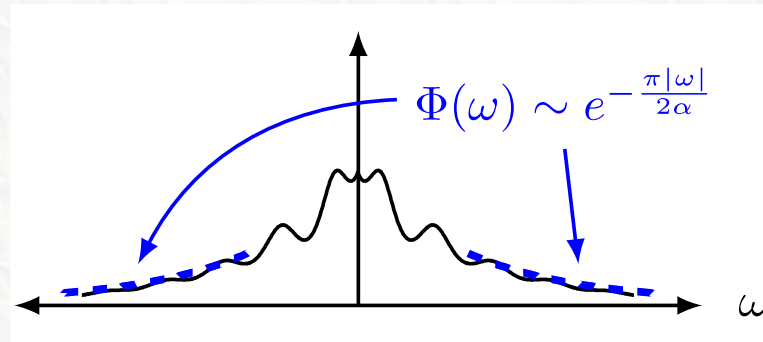
Faster asymptotic growth is not possible. We term α , the “growth rate” of the operator for reasons that will become clear.

Relation to spectral function

$$\Phi(\omega) = \int_{-\infty}^{\infty} dt C(t) e^{-i\omega t} = \int_{-\infty}^{\infty} dt \operatorname{tr} [\mathcal{O}(t) \mathcal{O}] e^{-i\omega t}$$

$$b_n = \alpha n + O(1) \iff \Phi(\omega) \sim e^{-\pi \frac{|\omega|}{2\alpha}}$$

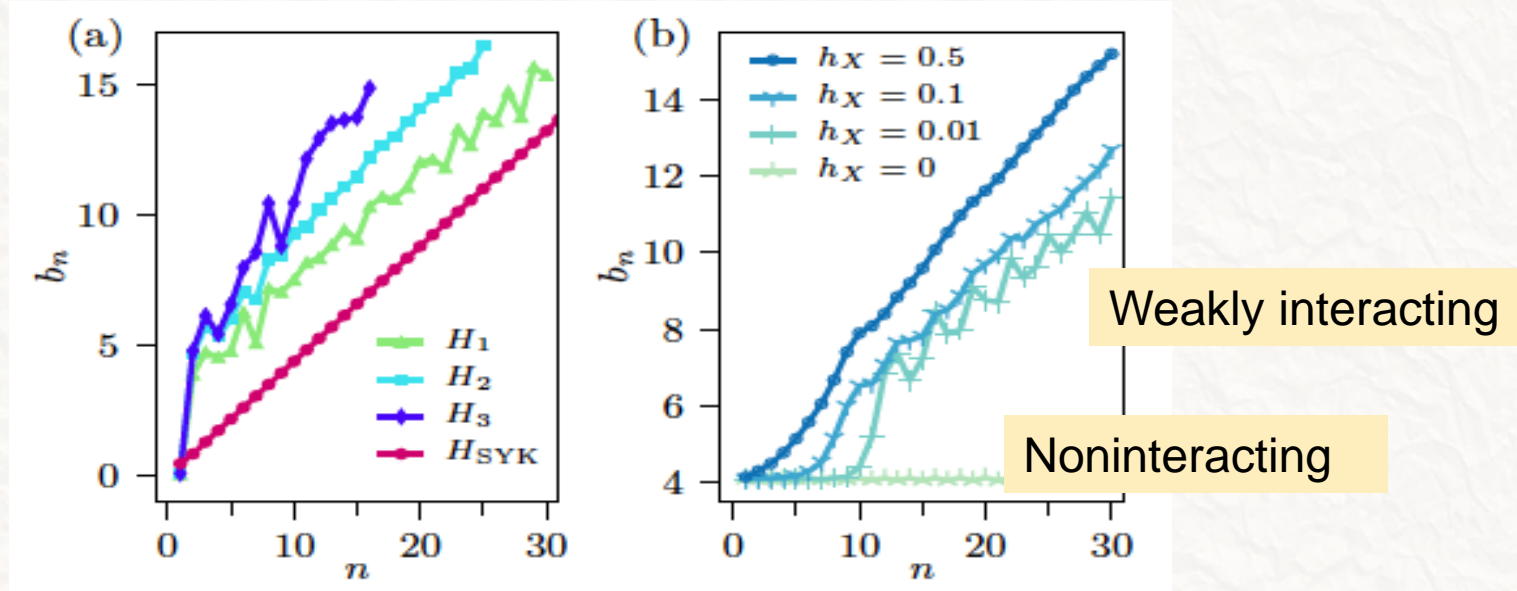
Or in 1d: $b_n = \frac{\alpha n}{\log(n)} + O(1) \iff \Phi(\omega) \sim e^{-\pi \frac{|\omega|}{2\alpha} \log |\omega|}$



The operator “growth rate” α , is directly related to the high frequency limit of the spectral function

The evidence

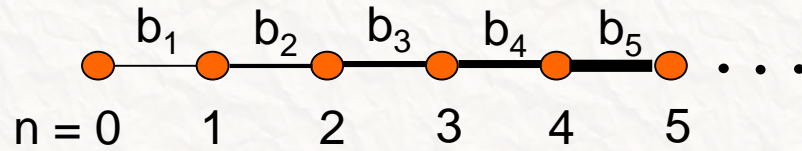
Numerical: Many distinct nonintegrable spin chains, SYK model



Analytical: SYK model in the limit of large q (infinite T)

$$b_n = J 2^{(1-q)/2} \sqrt{q n(n-1)} + O(1/q) \quad n \geq 2$$

Phenomenology of the semi-infinite chain



Exactly solvable “universal” model:

$$\tilde{b}_n = \alpha \sqrt{n(n-1+\eta)} \xrightarrow{n \gg 1} \alpha n + \beta$$

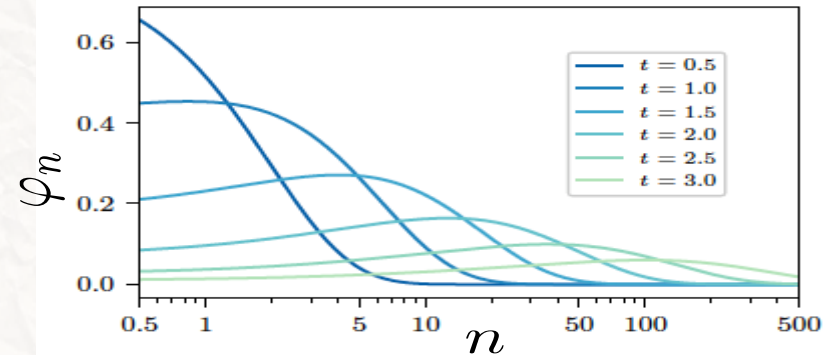
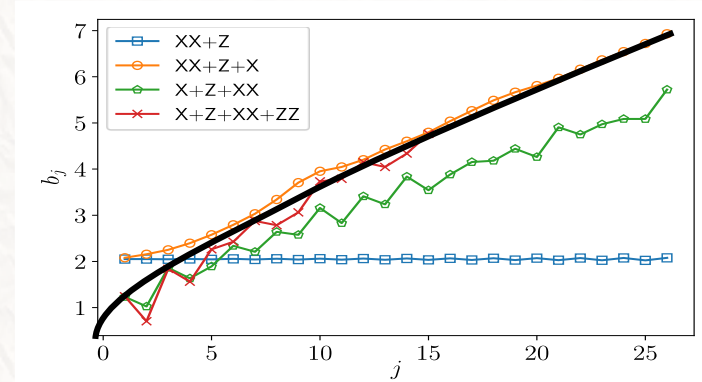


$$\langle n(t) \rangle = \eta \sinh(\alpha t)^2 \sim \eta e^{2\alpha t}$$

A general property of models with linear growth of recursion coeffs.

Operators grow as fast as they can.

What is the relation to quantum chaos ?




* in 1d: $\langle n(t) \rangle \sim e^{\sqrt{4\alpha t}}$

Suggestive result from SYK model at infinite T

Both the recursion coefficients and the Lyapunov exponent can be computed exactly.
(numerically for finite q and analytically in the large q limit)

q	2	3	4	7	10	∞
α/\mathcal{J}	0	0.461	0.623	0.800	0.863	1
$\lambda_L/(2\mathcal{J})$	0	0.454	0.620	0.799	0.863	1


$$\mathcal{J} = J 2^{(1-q)/2} \sqrt{q}$$

Taken from: Roberts, Stanford and Streicher JHEP 2018

We will now establish a precise connection.

Define precise notion of complexity: Q-complexity

Positive semi-definite super-operator:
$$\mathcal{Q} = \sum_a q_a |q_a\rangle\langle q_a|, q_a \geq 0$$

Additional requirements :
$$(q_b | \mathcal{L} | q_a) = 0 \quad \text{if} \quad |q_a - q_b| > b$$

$$(q_a | \mathcal{O}) = 0 \quad \text{if} \quad q_a < d$$

(i.e. \mathcal{L} affects a bounded change of complexity and the initial operator complexity is small)

Q-complexity:
$$(\mathcal{Q})_t := (\mathcal{O}(t) | \mathcal{Q} | \mathcal{O}(t))$$

Q-complexity - Examples

$$Q = \sum_a q_a |q_a\rangle\langle q_a|, \quad q_a \geq 0$$

$$(Q)_t := (\mathcal{O}(t) | Q | \mathcal{O}(t))$$

1. “Krylov” complexity

$$Q = \sum_{n=0}^{\infty} n |\mathcal{O}_n\rangle\langle \mathcal{O}_n|$$

2. Operator size

q-eigenvectors are Pauli strings

$$Q |IXYZI \dots\rangle = 3 |IXYZI \dots\rangle$$

3. OTOC

$$Q := \sum_i Q_i, \quad (A | Q_i | B) := ([V_i, A] | [V_i, B])$$

A generalized bound of chaos

Theorem*: the growth of any Q-complexity is bounded by the growth of the Krylov complexity

$$(Q)_t \leq C (n)_t$$

For proof see our paper arXiv:1812.08657

Implication: a generalized bound on chaos

$$\lambda_L \leq 2\alpha$$

Direct connection between the Lyapunov exponent and a property of an observable correlation function!

*Extension of the notion and of the bound to finite T is still a conjecture

Finite temperature

Freedom in defining the scalar product

To recover physical correlations: $(A|B) = \text{tr}[\rho A^\dagger B] \equiv \langle A^\dagger B \rangle_\beta$

We will use a different scalar product:

$$(A|B)_H := \text{tr}[\sqrt{\rho} A^\dagger \sqrt{\rho} B] = \text{tr}[\rho A^\dagger B(i\beta/2)]$$

→ $C_H(t) = \langle A^\dagger(t - i\beta/2) B \rangle_\beta = C(t - i\beta/2)$

→ $\Phi_H(\omega) = \frac{\Phi(\omega)}{\sinh(\beta\omega/2)}$

Finite T Chaos bound

Low T limit

High frequency spectral function dominated by thermal suppression:

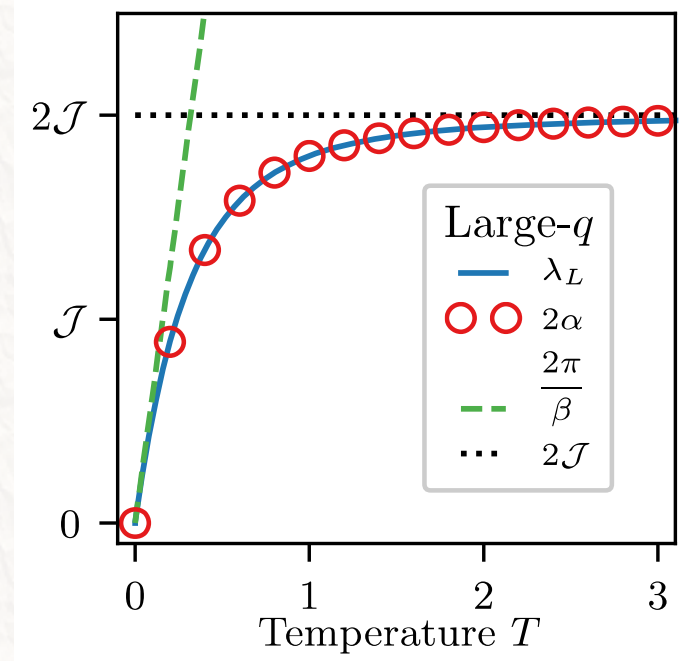
$$\Phi_H(\omega) = \frac{\Phi(\omega)}{\sinh(\beta\omega/2)} \rightarrow e^{-\omega/\Lambda - \omega/2T} \sim e^{-\omega/2T}$$

→ $\lambda_L \leq 2\alpha = 2\pi T$

Recover the bound of
Maldacena, Shenker & Stanford 2016

From low to high T in the
large q SYK model

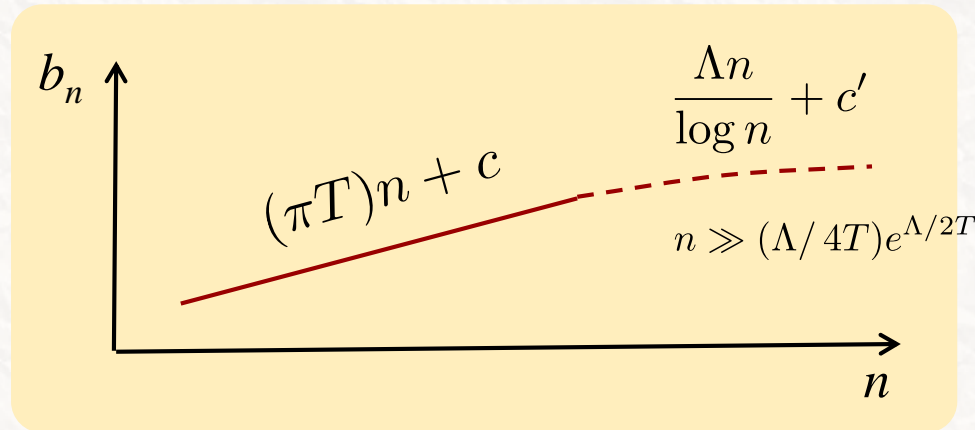
Tighter bound on chaos!
SYK model saturates the tighter bound.



Chaos bounds in the low T limit – 1d models

$$\Phi_H(\omega) \sim e^{-\frac{\omega}{\Lambda} \log\left(\frac{\omega}{\Lambda}\right) - \omega/2T}$$

Crossover frequency: $\omega_* \sim \Lambda e^{\Lambda/2T}$



$$\langle n(t) \rangle \sim e^{2\pi T t}$$

for $t < \Lambda/T^2$

So, even for 1d systems we recover:

$$\lambda_L \leq 2\alpha = 2\pi T$$

Application to classical chaos

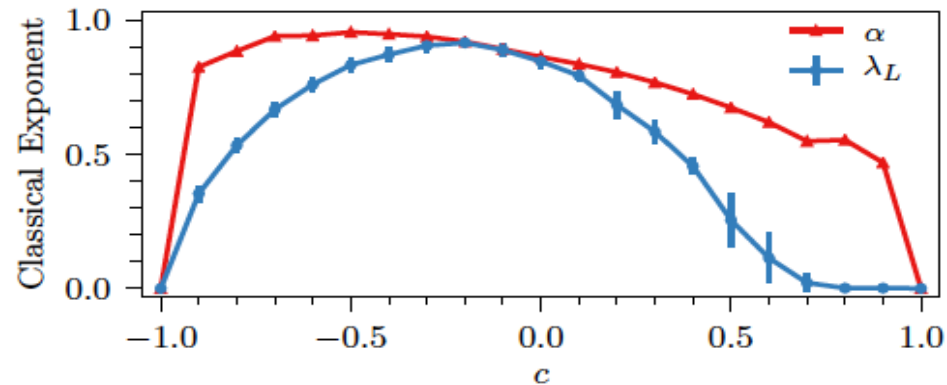
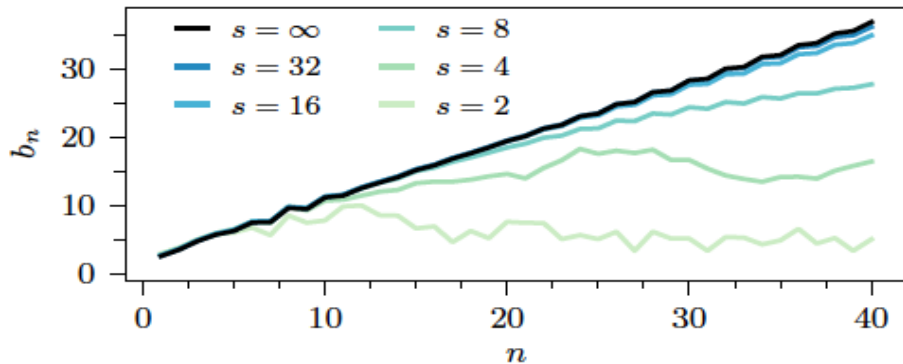
The framework carries over for classical dynamics with:

Liouvillian $\longrightarrow \mathcal{L} = i\{\mathcal{H}, \cdot\}$

Operators \longrightarrow Functions on the classical phase space

Compare α to λ_L Peres-Feingold model:

$$H_{\text{FP}} = (1 + c) [S_1^z + S_2^z] + 4s^{-1} (1 - c) S_1^x S_2^x$$



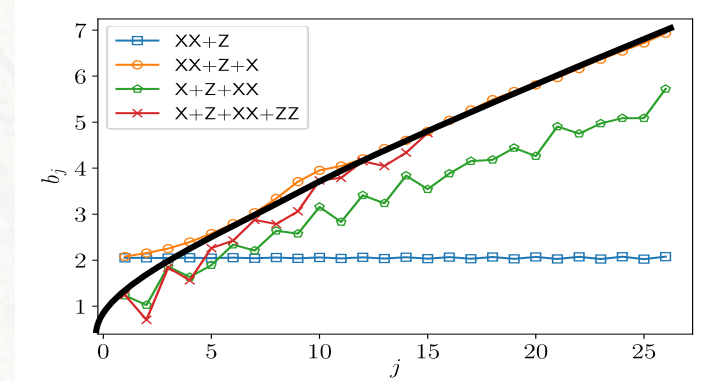
The two exponents coincide where the model is most chaotic.

Otherwise α appears as an upper bound on λ_L

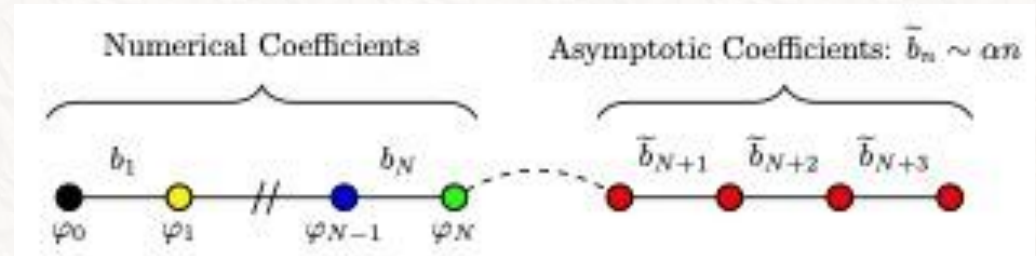
Application: computing operator decay

The basic idea:

1. Compute the first m recursion coefficients numerically.
2. Complete with the fitted “universal” model at larger values of n



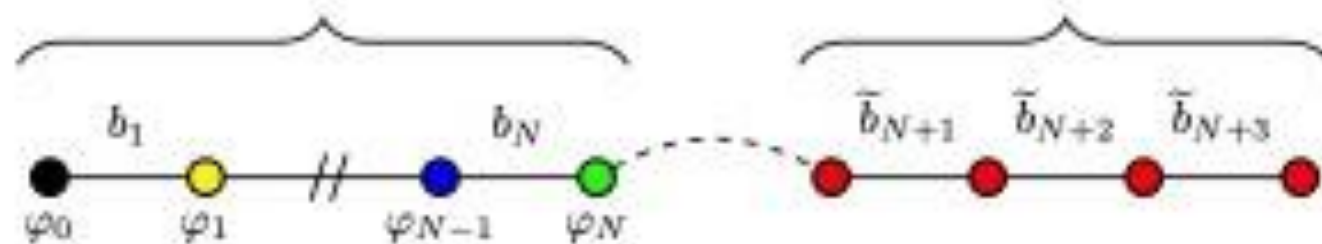
$$\tilde{b}_n = \alpha \sqrt{n(n-1+\eta)} \xrightarrow{n \gg 1} \alpha n + \beta$$



3. Stich the small to large n wavefunctions to get an approximation of the decay of $C(t)$.

Numerical Coefficients

Asymptotic Coefficients: $\bar{b}_n \sim \alpha n$



$$L = \begin{pmatrix} 0 & b_1 & 0 & 0 \\ b_1 & 0 & b_2 & 0 \\ 0 & b_2 & 0 & \ddots \\ 0 & 0 & \ddots & \ddots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix}$$

$$\approx \begin{pmatrix} 0 & b_1 & 0 & 0 \\ b_1 & 0 & \ddots & 0 \\ 0 & \ddots & \ddots & b_N \\ 0 & 0 & b_n & \widetilde{G^{(N)}}(z) \end{pmatrix}$$

$$G(z) = \int dt e^{izt} \langle \mathcal{O}(t) \mathcal{O}(0) \rangle$$

$$\approx \frac{1}{z - \frac{b_1^2}{z - \frac{b_2^2}{z - \frac{b_3^2}{\ddots}}}}}$$

$$\frac{1}{z - b_N^2 \widetilde{G^{(N)}}(z)}$$

$$\widetilde{G^{(N)}}(z) = \Gamma(N+1) \Gamma\left(\frac{z+1}{2}\right)$$

$$\times {}_2F_1\left(N+1, \frac{z+1}{2}, \frac{z+2N+3}{2}, -1\right)$$

Diffusion in the Chaotic Ising Model

Chaotic Ising Model

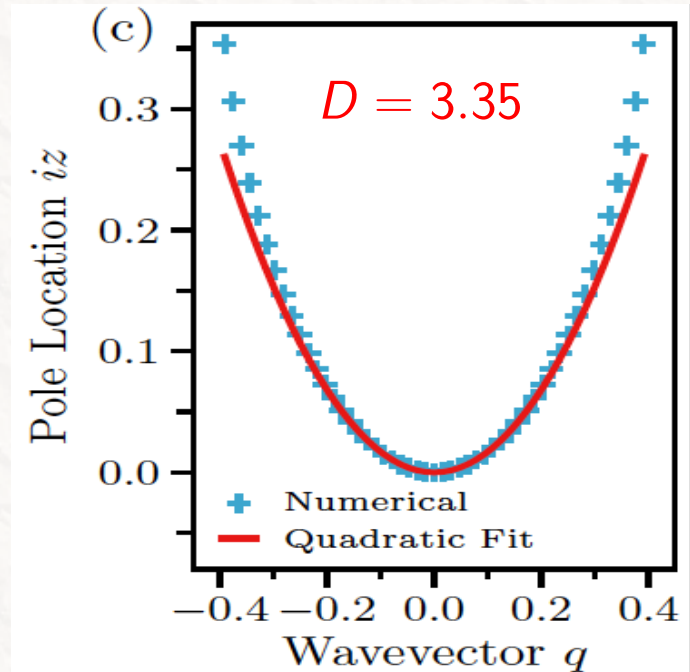
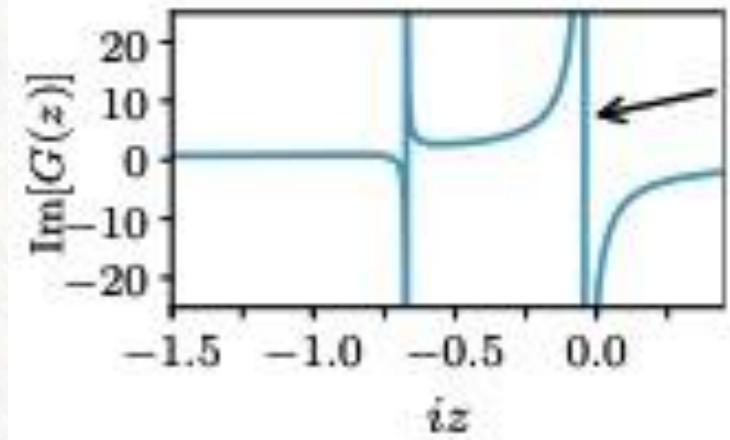
$$H = \sum_j X_j + 1.05Z_j Z_{j+1} + 0.5Z_j$$

Initial operator at wavevector k :

$$\mathcal{O}_k = \sum_j e^{ikj} (X_j + 1.05Z_j Z_{j+1} + 0.5Z_j)$$

We see the dispersion relation for diffusion

$$\frac{d}{dt}\epsilon(t, x) = D\nabla^2\epsilon(t, x).$$



Summary

- Hypothesis for universal operator dynamics supported by extensive evidence. Linear growth of Lanczos coefficients

$$b_n = \alpha n + \beta + o(1), \quad n \rightarrow \infty$$

- Implies exponential growth in operator complexity:

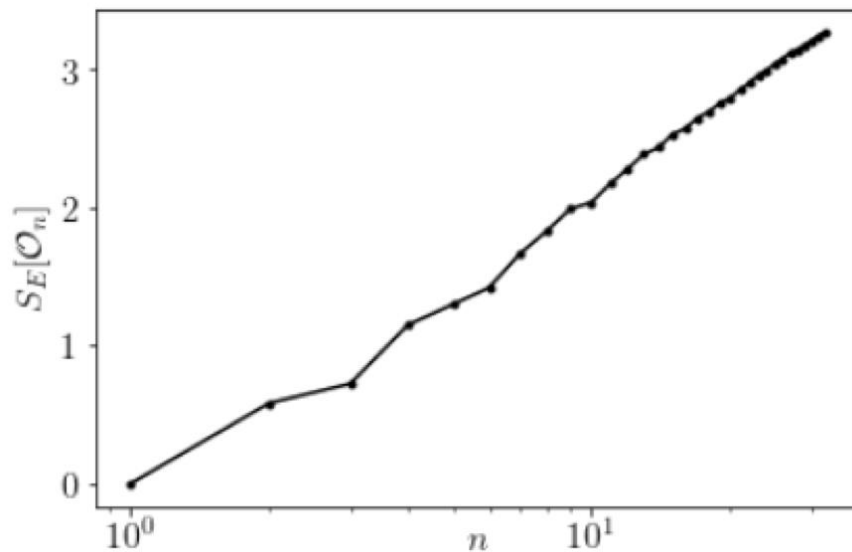
$$(n)_t \sim e^{2\alpha t} \quad \lambda_L \leq 2\alpha$$

- The hypothesis enables a new numerical scheme to compute dynamical correlations and transport coefficients.

Extensions and outlook

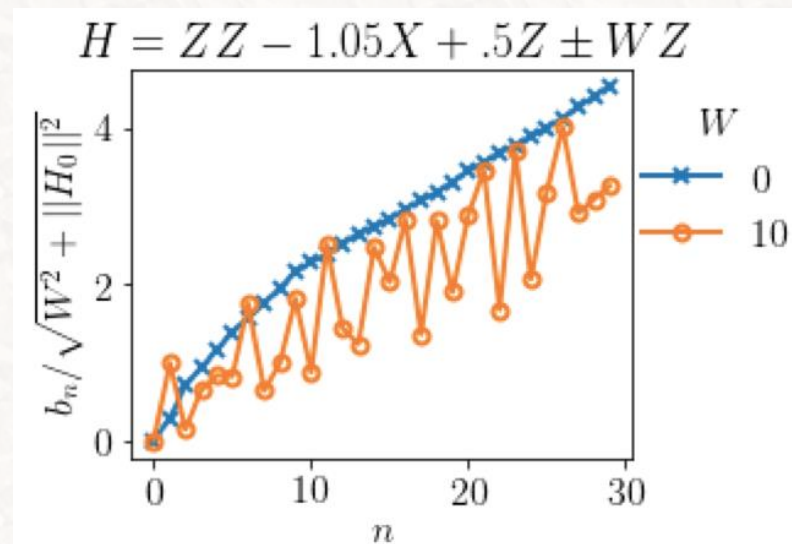
- Does K-complexity provide a more fundamental notion of chaos?
Measureable in a two point function (high frequency limit)!
- MPO calculation of the Krylov vectors and recursion coefficients

**Operator entanglement
appears to grow only
logarithmically with n .
Why ?**



Extensions and outlook

- Behavior of Lanczos coefficients in integrable models?
- Growth of Lanczos coefficients in MBL?
Systematic derivation of disorder averaged LIOMs
 - Non trivial violation of the hypothesis
 - Systematic derivation of disorder averaged LIOMs



Extensions and outlook

- Finite size saturation
 - In a finite system, the exponential growth of K-complexity is saturated at a logarithmically long time.
 - Relation to Ehrenfest/Thouless time?

$$t^* \sim \ln L$$

Kos, Ljubotina, Prosen (2018);
Chan, De Luca, Chalker (2018)

