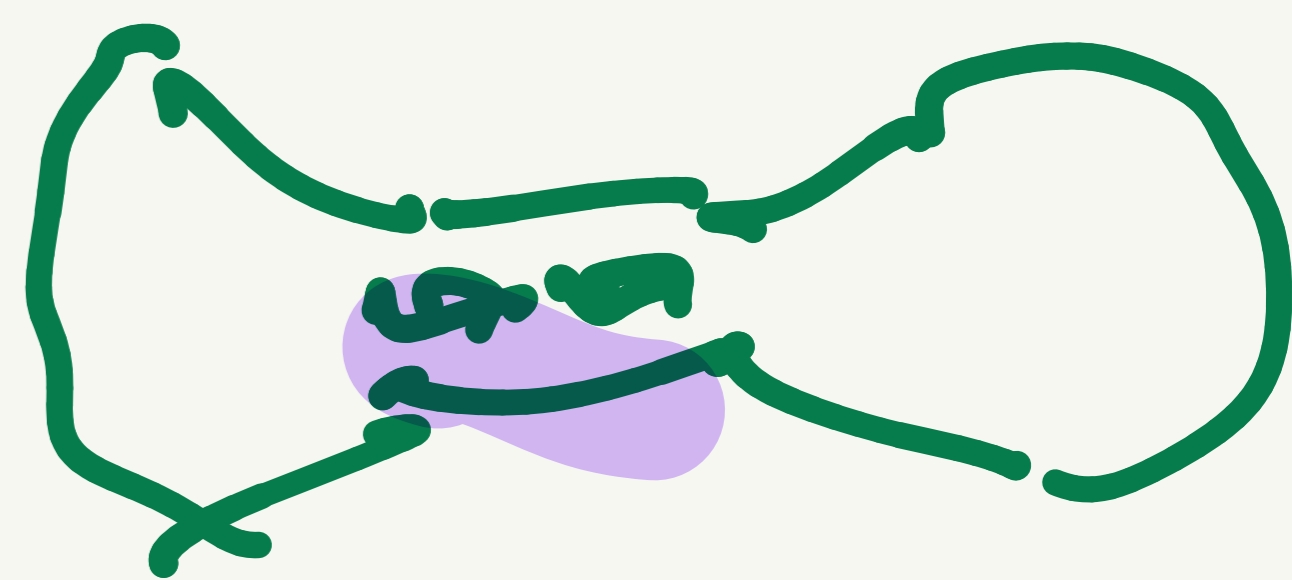
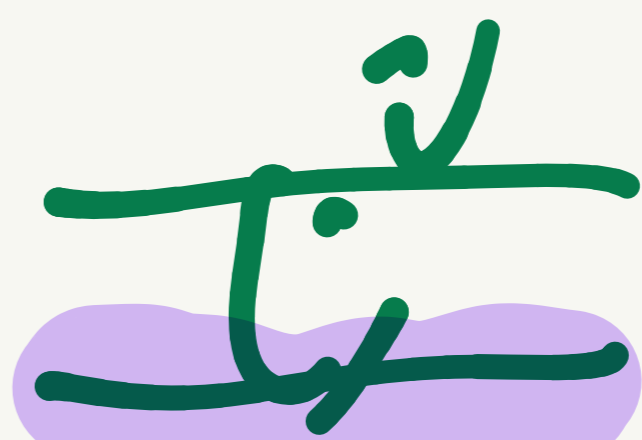
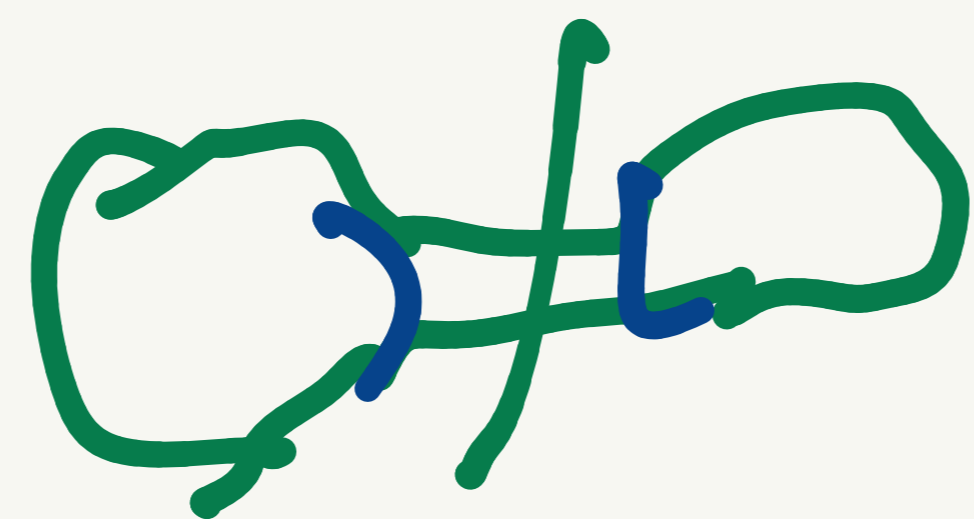


Day 4: Gradient flow and perturbation.



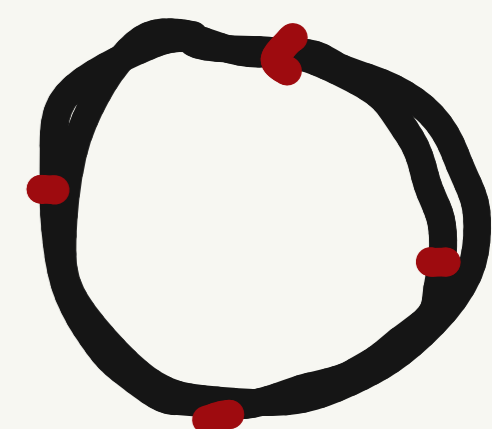
Recall



① $\sqrt{-2\epsilon} \Sigma \subset \mathbb{R}^{m+1}$ is a MCF $\Leftrightarrow \Sigma$ is a shrinker.

$\Leftrightarrow \Sigma$ satisfies $H = \frac{1}{2} \langle x, \nu \rangle$

② Every blow-up limit at a singularity must be a shrinker w/ multiplicity



③ Non-collapsed flow's blow-up limit must be a shrinker w/ multi 1.

④ Mean convex flow is non-collapsed.

⑤ Mean convex shrinkers are round $S^n, S^{n-1} \times \mathbb{R}^1, \dots, S^1 \times \mathbb{R}^{n-1}$.

⑥ The surgery theory has been developed only for $S^{n-1} \times \mathbb{R}^1$.

Multiplicity one conjecture) A closed MCF has mult-1
blow-up limit at singularities.

Q1. What happens if we do not operate surgery...

Q2. Can we manipulate MCFs to have singularities

w/ some good properties?

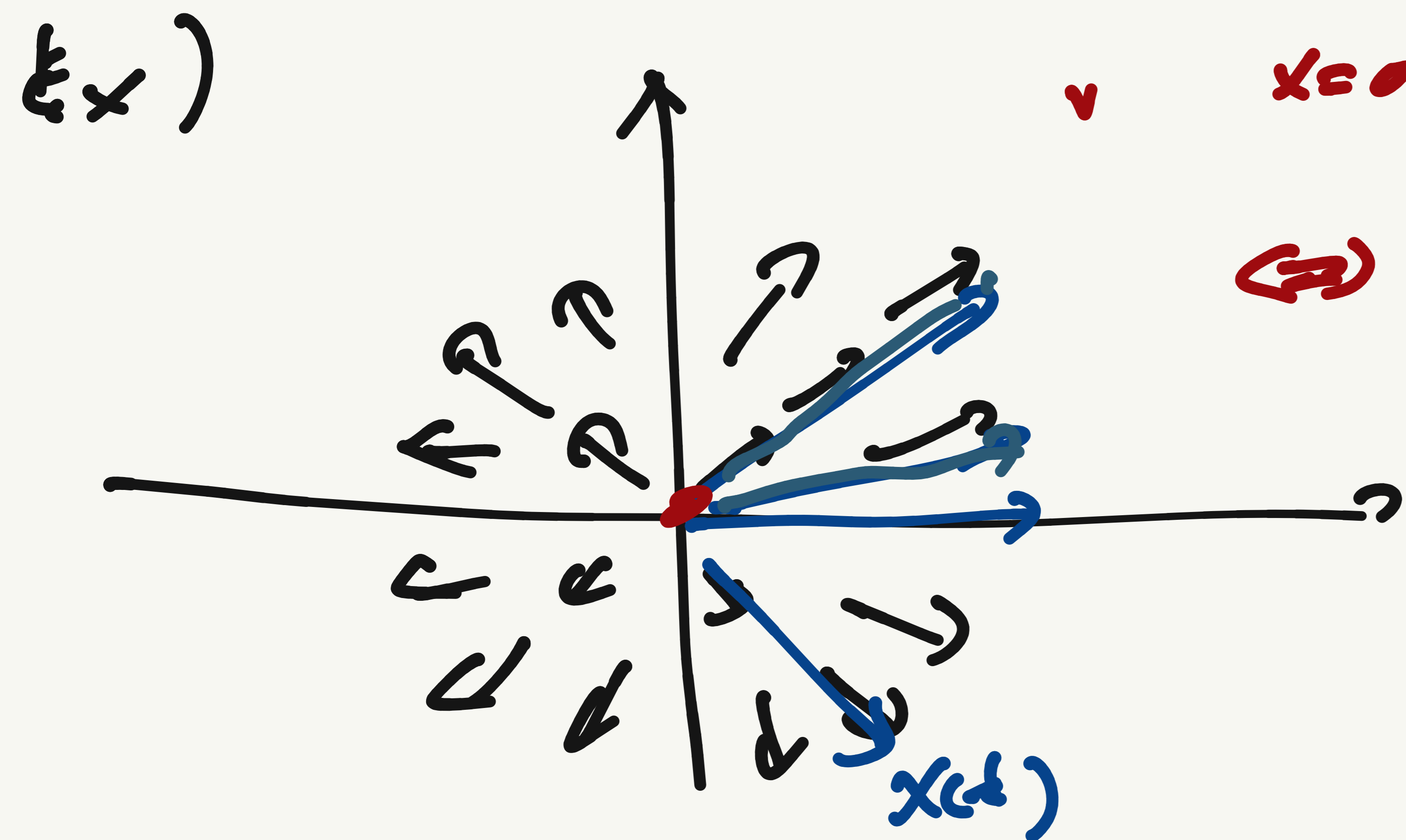
and... what are the good properties. - 32

Gradient flow in \mathbb{R}^n .

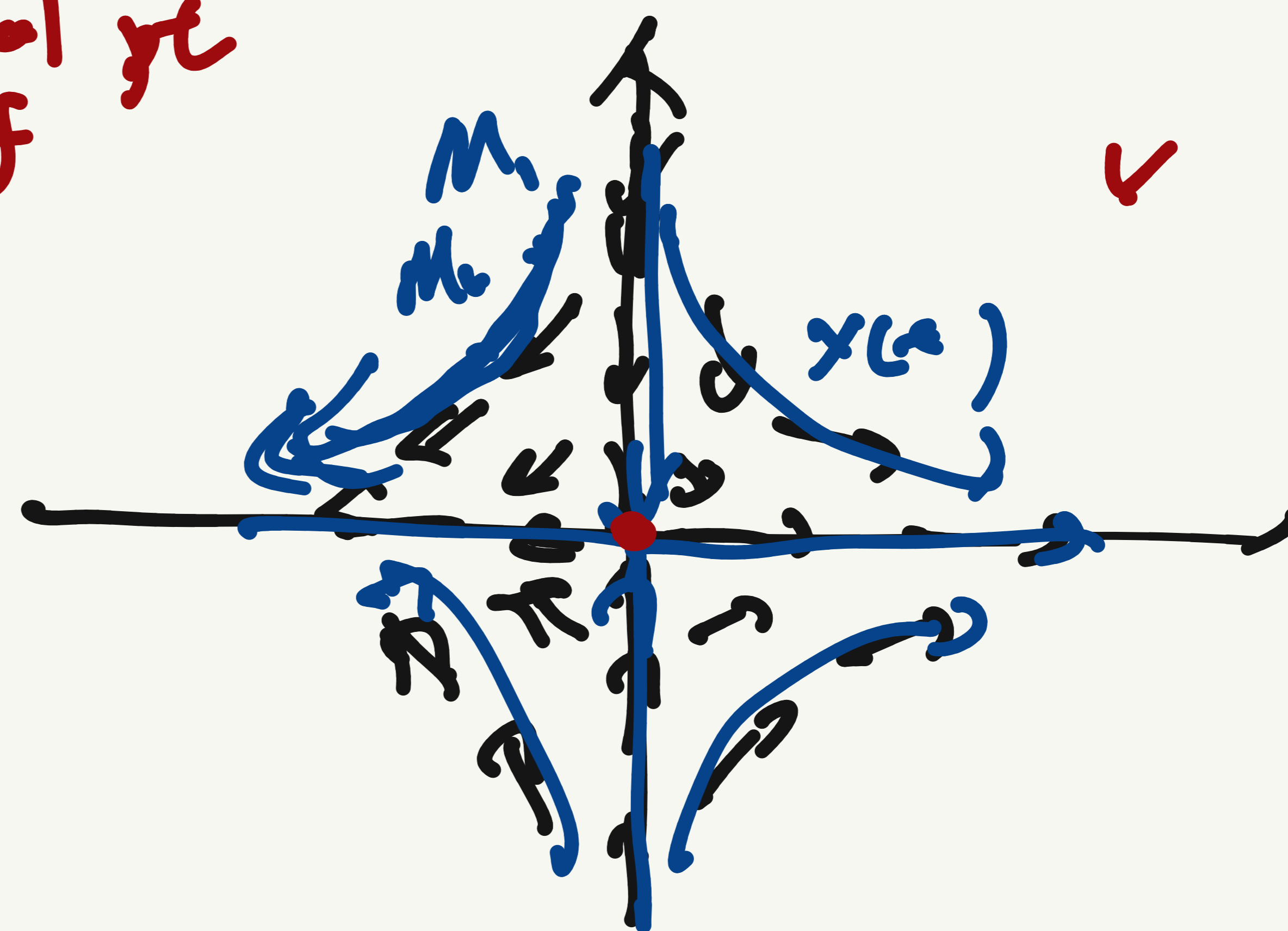
Given $f: \mathbb{R}^n \rightarrow \mathbb{R}$ of class C^1 , a curve $x: I \rightarrow \mathbb{R}^n$ satisfying

$$\frac{d}{dt} x(t) = -Df(x(t))$$

is a (negative) gradient flow of f .



$x=0$ is critical pt of f
 $\Leftrightarrow Df(x) = 0$



- $f(x, y) = x^2 + y^2$, $Df = \begin{pmatrix} 2x \\ 2y \end{pmatrix}$.

- $f(x, y) = x^2 - y^2$, $-Df = \begin{pmatrix} 2x \\ -2y \end{pmatrix}$

MCF is a gradient flow of Entropy.

(olding-Minicozzi [2]) we define the entropy of Σ by.

$$\text{Ent}(\Sigma) = \sup_{g \in \mathcal{R}^n, \lambda > 0} \int_{\Sigma} \frac{1}{(4\pi\lambda)^{\frac{n}{2}}} e^{-\frac{|x-\eta|^2}{4\lambda}} dg_{\Sigma}.$$

Then, by Huisken's monotonicity $\frac{d}{dt} \text{Ent}(M_t) \leq 0$. for MCF M_t .

Namely, every MCF is a gradient flow of Entropy. $\mathcal{R}^n = \text{space of surface}$

Remark) Every shrinker \iff a critical pt of Entropy.

Remark) Entropy is invariant under scaling, and rigid motion.

$$\therefore \text{Ent}(\Sigma) = \text{Ent}(\lambda \Sigma) = \text{Ent}(\Sigma + \eta) = \text{Ent}(R\Sigma)$$

↳ rotation matrix.

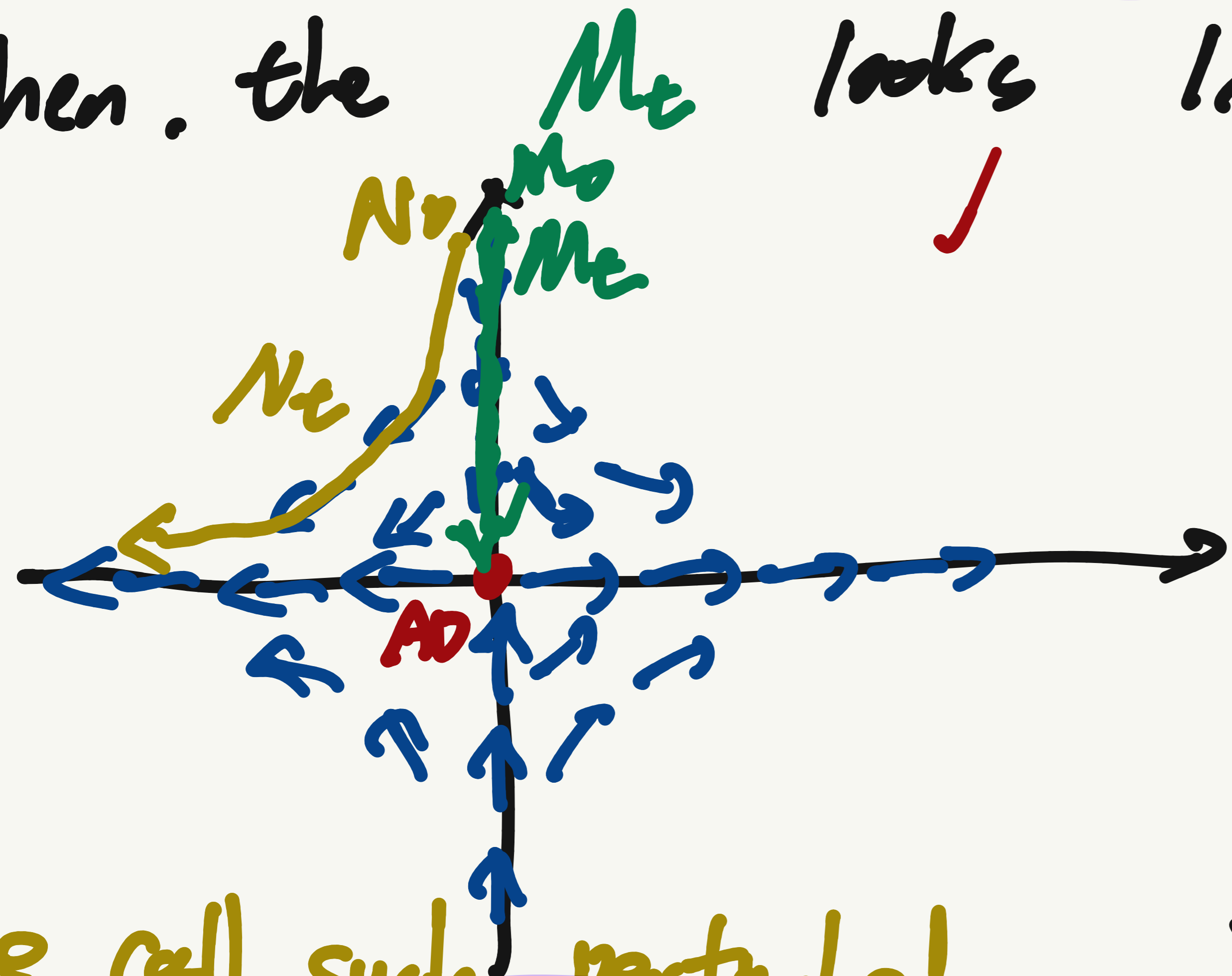
We can resolve the difficulties in Q1 & Q2 by using gradient flow.

For example, we consider the case that M_t converges

to the Angenent doughnuts after rescaling.



Then, the M_t looks like

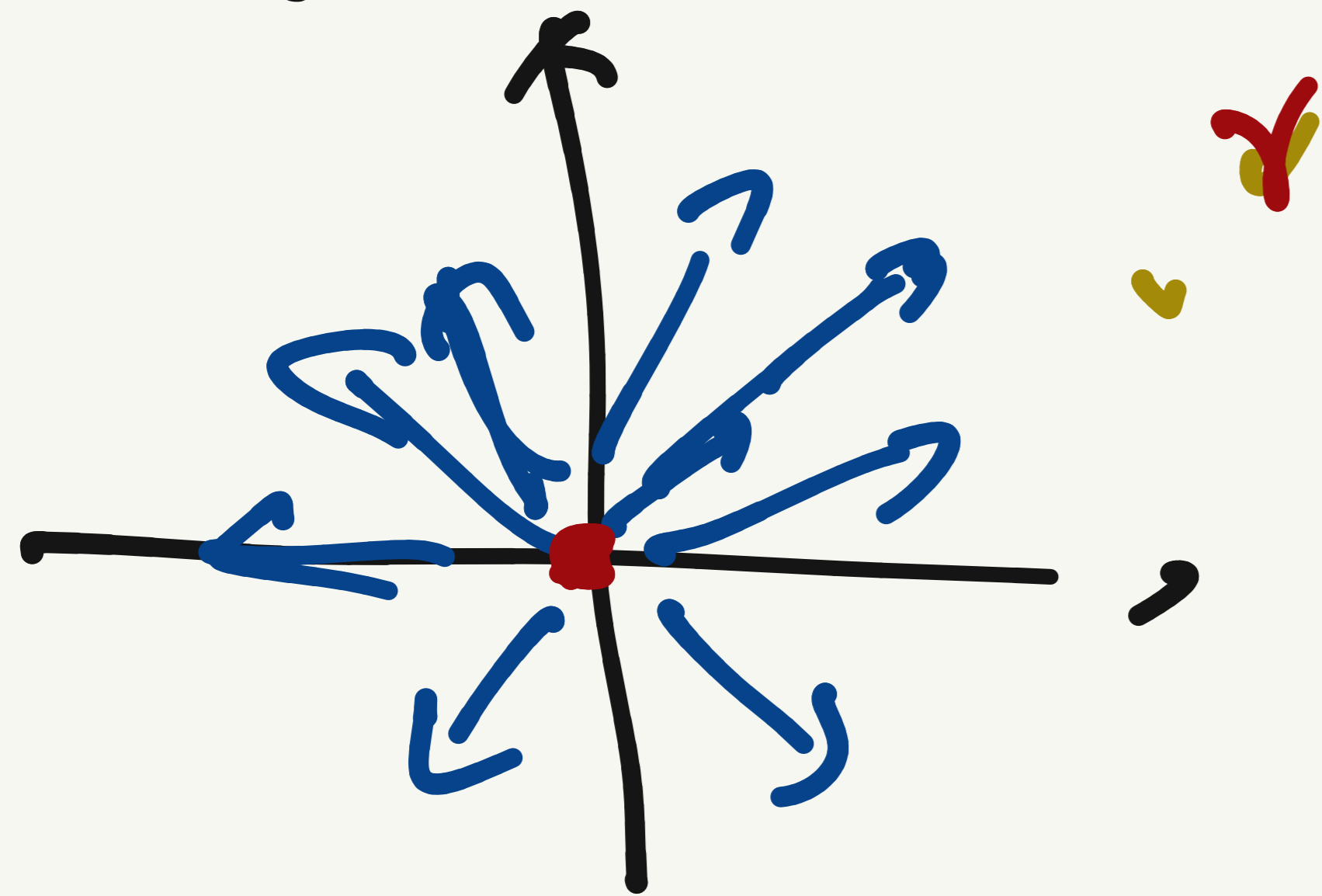


⇒ So, we can choose N_0 which is close enough to M_0 , and the MCF N_t avoids AD-singularity of M_0 .

We call such perturbed MCF N_t a generic MCF.

Since N_0 and M_0 are ambient isotopy, we can consider N_t instead of M_t to study the Topo. of M_0

Stability of critical points

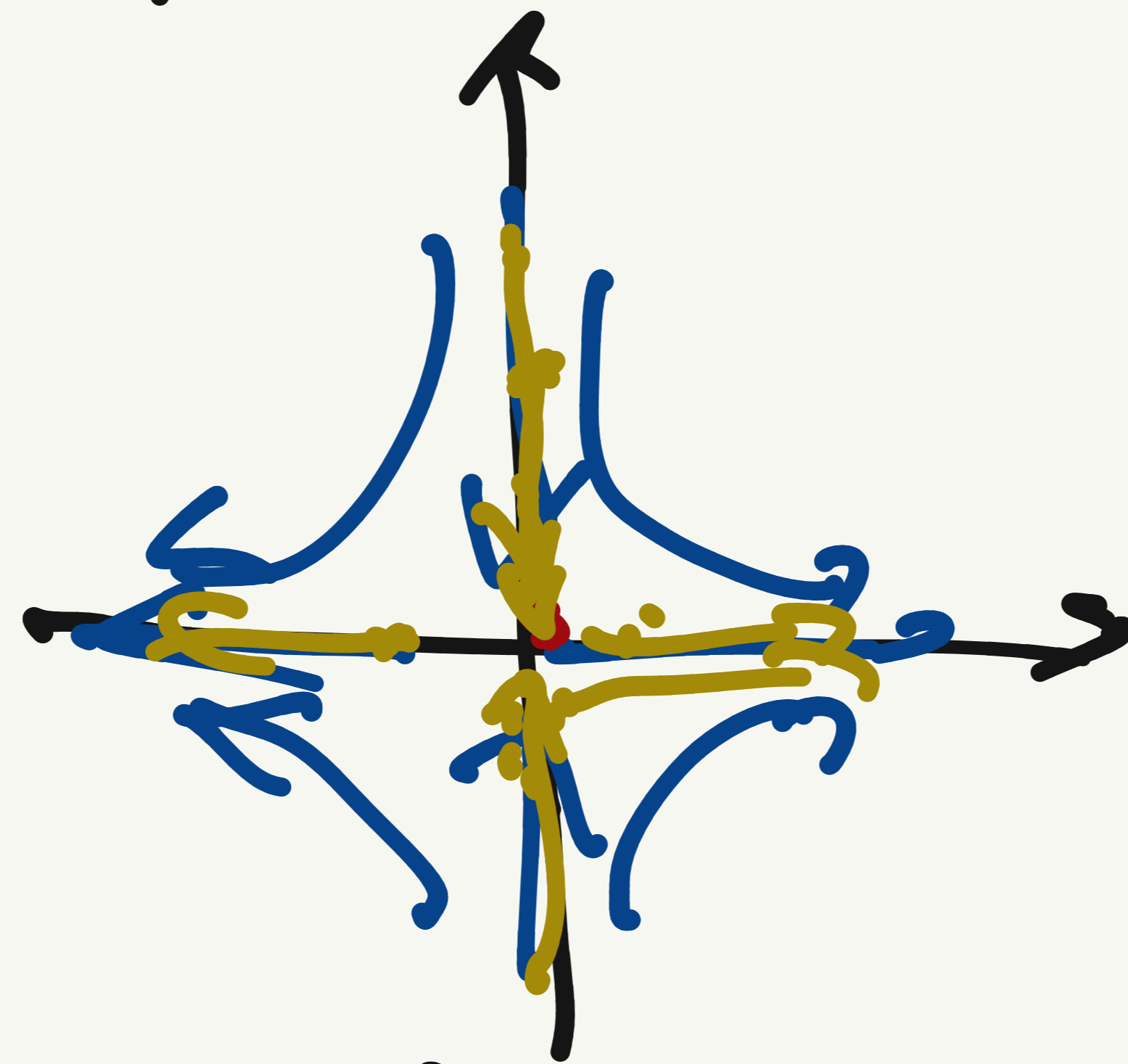


$$-f = x^2 + y^2$$

$$-D^2f = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

positive eigenvalues

0 is unstable ✓
Every vector is an eigenvector.

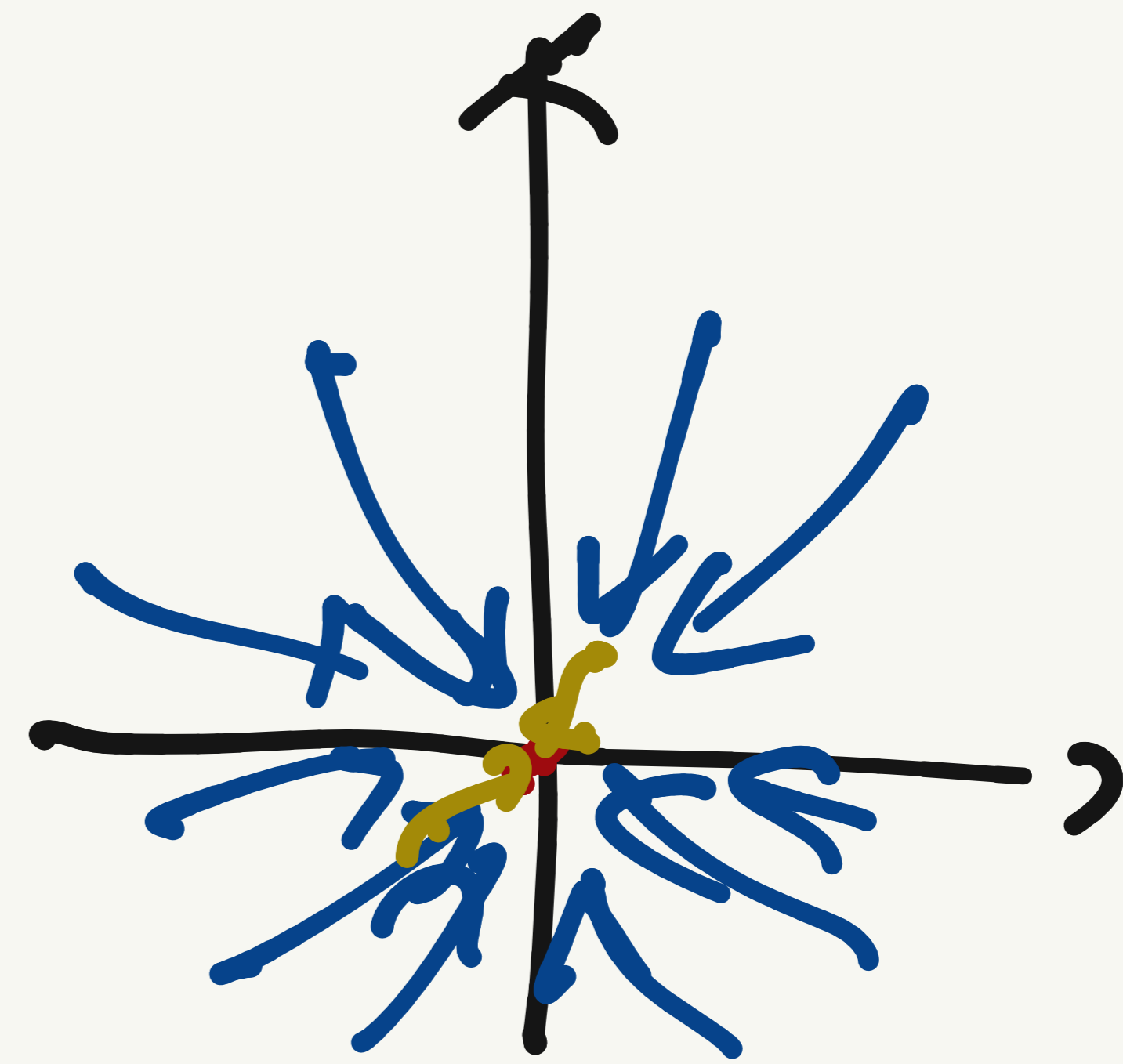


$$-f = x^2 - y^2$$

$$-D^2f = \begin{pmatrix} 2 & 0 \\ 0 & -2 \end{pmatrix}$$

mixed.

0 is unstable ✓
 $(0, 1)$, $(1, 0)$ are eigenvectors
↑ stable ↑ unstable

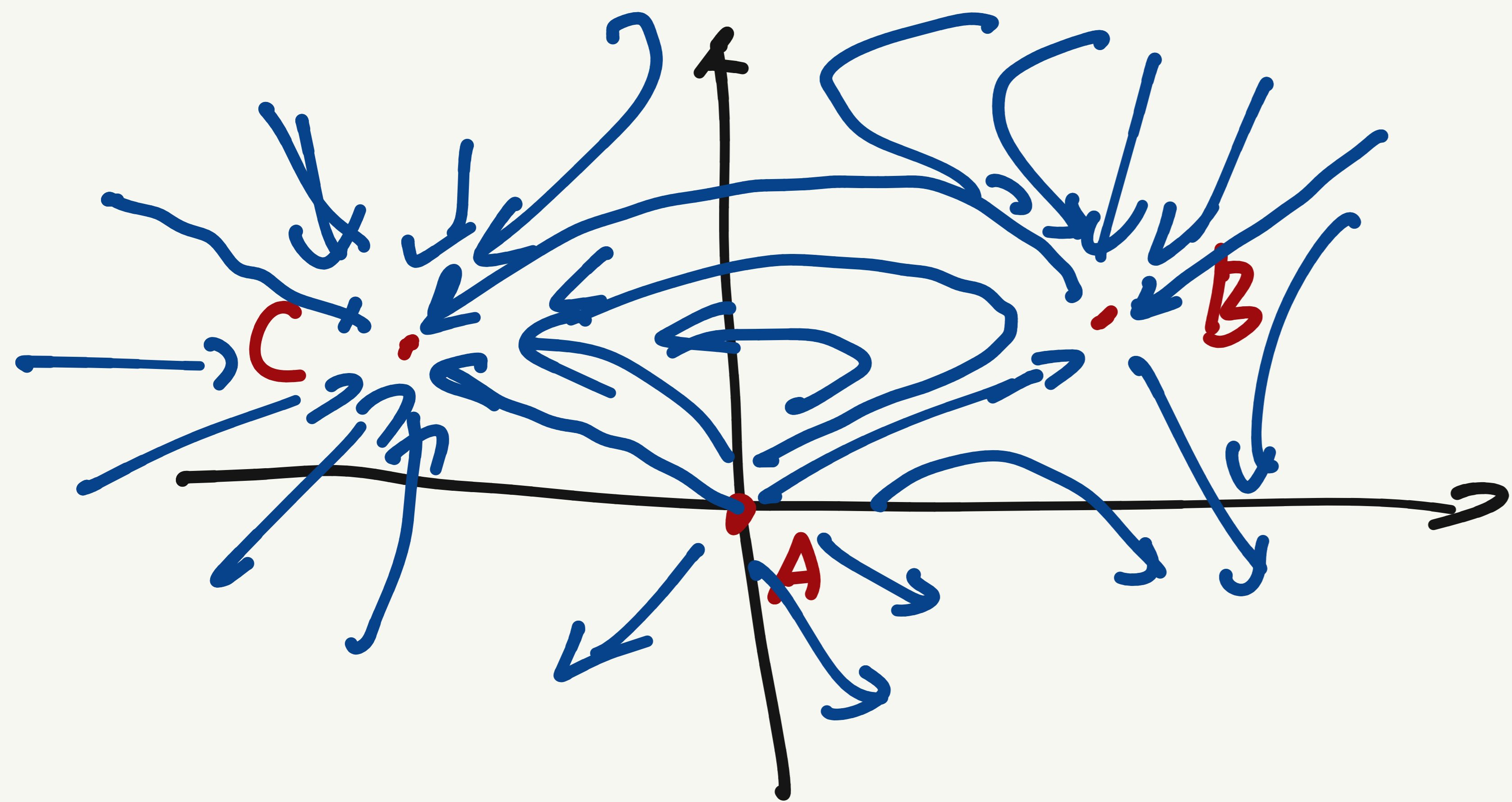


$$-f = -x^2 - y^2$$

$$-D^2f = \begin{pmatrix} -2 & 0 \\ 0 & -2 \end{pmatrix}$$

negative eigen.

0 is stable. ✓
Every vector is an eigenvector.



A, B are unstable
C is stable

Heat eq is a gradient flow.

$U: \mathbb{R} \times [0, +\infty) \rightarrow \mathbb{R}$, $U(x+2\pi, t) = U(x, t)$, $U_t = U''$.

Consider $E(u) = \int_0^{2\pi} |u'|^2 dx$.

$$\Rightarrow \frac{d}{dt} E(u) = 2 \int_0^{2\pi} u' u'_t dx = 2 \int_0^{2\pi} \underbrace{u''}_{\text{integration by parts}} u_t dx = -2 \int_0^{2\pi} |u_t|^2 dx \leq 0.$$

Eigenfunction vs Eigenvector.

$\varphi'' + \lambda \varphi = 0$, $\varphi(x+2\pi) = \varphi(x) \Rightarrow \varphi$ is an eigenfunction
 w/ eigenvalue λ .
 ($A\varphi = -\lambda\varphi$)

\Rightarrow Eigenfunctions & Eigenvalues.

$\varphi'' + 0 \cdot \varphi = 0 \Rightarrow \varphi = 0$

$(1, 0)$
 φ_1

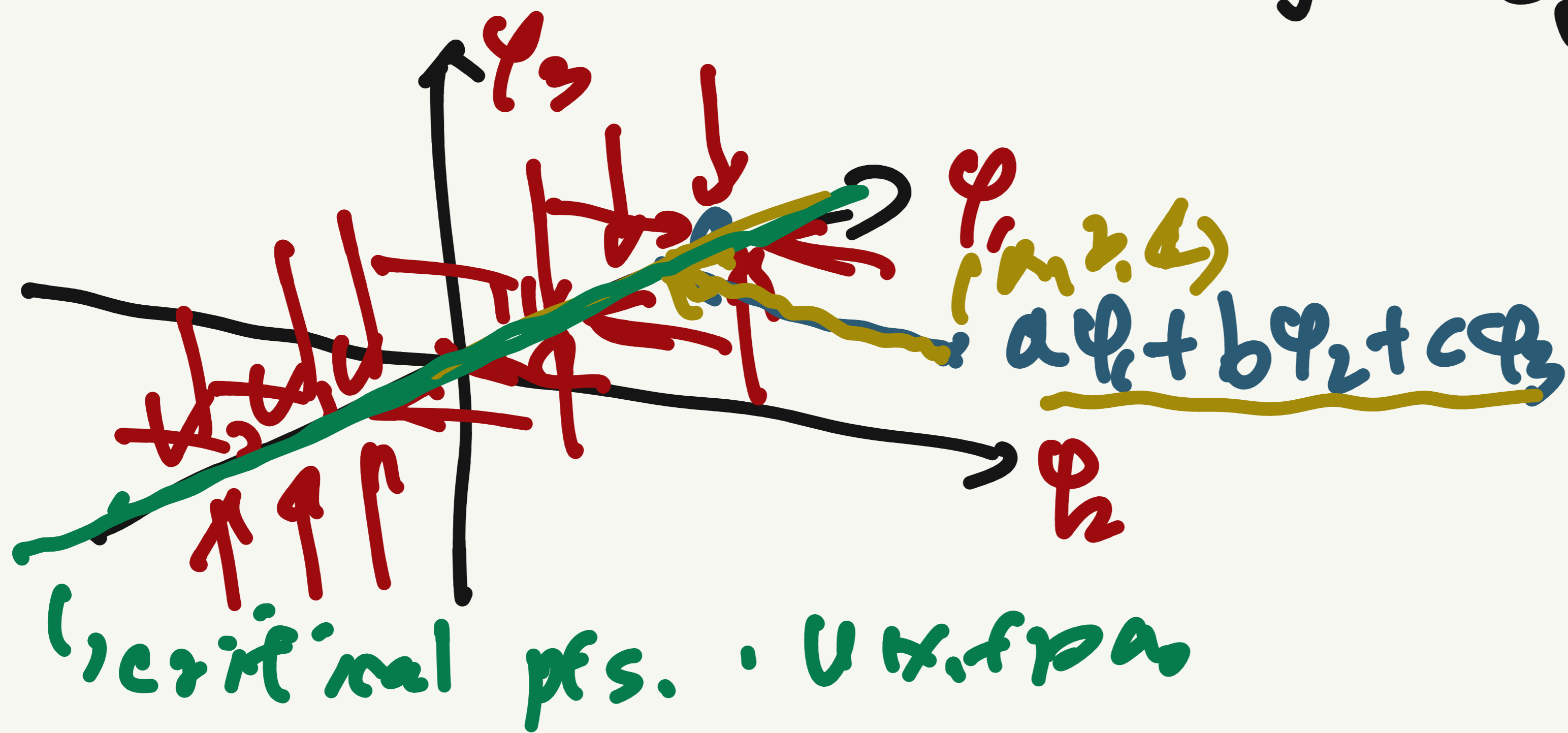
$(\sin \theta, 1)$
 φ_2

$(\cos \theta)'' + 1 \cdot \cos \theta = -\cos \theta + \cos \theta = 0$

$(\cos \theta, 1)$
 φ_3

$(\sin 2\theta, 4)$, $(\cos 2\theta, 4)$, ...

and linear combination of eigenfunctions of the same eigenvalue.



$$U(x, t) = a_0 + \sum_{m=1}^{\infty} a_m e^{-m^2 t} \cos m\theta + \sum_{m=1}^{\infty} b_m e^{-m^2 t} \sin m\theta$$

pf) Let $\langle f, g \rangle = \int_0^{2\pi} fg dx$, $f, g \in L^2([0, 2\pi])$

$\Rightarrow \left\{ \frac{1}{\sqrt{2\pi}}, \frac{1}{\sqrt{\pi}} \cos \theta, \frac{1}{\sqrt{\pi}} \sin \theta, \frac{1}{\sqrt{\pi}} \cos 2\theta, \dots \right\}$

$\varphi_1, \varphi_2, \varphi_3, \dots$ is an orthonormal basis of the L^2 space.

$$\Rightarrow \varphi_{2m}'' + m^2 \varphi_{2m} = 0, \quad \varphi_{2m+1}'' + m^2 \varphi_{2m+1} = 0$$

and $u(x, \epsilon) = \sum_{m=1}^{\infty} \langle u(x, \epsilon), \varphi_m \rangle \varphi_m$

$$\begin{aligned} \frac{d}{d\epsilon} \langle u(x, \epsilon), \varphi_{2m}(x) \rangle &= \int_0^{2\pi} u_\epsilon \varphi_{2m} = \int_0^{2\pi} u'' \varphi_{2m} = \int_0^{2\pi} -u' \varphi_{2m}' \\ &= \int_0^{2\pi} u \varphi_{2m}'' = -m^2 \int_0^{2\pi} u \varphi_{2m} = -m^2 \langle u(x, \epsilon), \varphi_{2m}(x) \rangle \end{aligned}$$

$$\Rightarrow \langle u(x, \epsilon), \varphi_{2m}(x) \rangle = e^{-m^2 \epsilon} \langle u(x, 0), \varphi_{2m}(x) \rangle \quad \square$$

Thm) $\Omega \subset \mathbb{R}^n$ be a bounded open set.

$u_t = \Delta u + V(x)u(x,t)$ holds in $\Omega \times (0, T)$ — (1)

$$u = 0$$

" on $\partial\Omega \times (0, T)$

$$\Rightarrow u = \sum_{m=1}^{\infty} a_m e^{-\lambda_m t} \varphi_m(x).$$

where $\{\varphi_1, \dots\}$ is an orthonormal basis of $L^2(\Omega)$

$$\varphi_m'' + \lambda_m \varphi_m = 0.$$

$$\varphi_m = 0.$$

Thm) $\varphi_m > 0 \iff m=1$.

Ex) $u_t = \underline{u'' + q u}$, $u(0, t) = u(2\pi, t) = 0$

$E(u) = \int_0^{2\pi} u'^2 - q u^2$

$\Rightarrow \frac{d}{dt} E = 2 \int u' u_t' - q u u_t = -2 \int u_t (u'' + q u)$
 $= -2 \int u_t^2 \leq 0.$

Eigenpairs $(1, -q)$, $(\cos \theta, -8)$, $(\sin \theta, -8)$ unstable
 $(\cos 2\theta, -4)$, $(\sin 2\theta, -4)$ neutral
 $(\cos 3\theta, 0)$, $(\sin 3\theta, 0)$
 $(\cos 4\theta, 7)$, $(\sin 4\theta, 7)$, ... stable

$u_0 = \cos \theta \Rightarrow u(\theta, t) = \underline{e^{\delta t} \cos \theta} \rightarrow \infty$ as $t \rightarrow +\infty.$

Very important result)

ancient

Suppose $|u| \leq C$ for $t \in (-\infty, 0]$, \checkmark solution to (*)

Then, $u(x, t) = \sum_{m=1}^{I+k} a_m e^{-\lambda_m t} \varphi_m(x)$

← finite parameter family of ancient sol

where $\lambda_1 < \lambda_2 \leq \dots \leq \lambda_I < 0$

$0 = \lambda_{I+1} = \dots = \lambda_{I+k}$

$0 < \lambda_{I+k+1} \leq \lambda_{I+k+2} \leq \dots$

I : Morse index
 k : nullity.