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#### Many-Body Localization Fundamentals and Selected Topics

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## Outline

- **1.** Thermalization in a closed quantum system
  - Eigenstate Thermalization Hypothesis
  - Operator Spreading
- 2. Many-body localization
  - MBL vs. Anderson localization vs. thermalization
  - LIOM and the effective I-bit model
  - Instability of a MBL phase

## Thermalization

# Closed quantum system

- No symmetry assumed, for simplicity, but energy conservation
- Unitary dynamics (reversible!)

$$|\Psi(t)\rangle = U|\Psi(0)\rangle$$

"Deterministic" time-evolution of a quantum state

#### How does thermalization arise in a unitary time evolution?

$$U = e^{-\frac{i}{\hbar}Ht}$$

*t-indep. H*  
*interacting*  
$$N \rightarrow \infty, t \rightarrow \infty$$

 $(N \rightarrow \infty, t \rightarrow \infty, local observables)$ 



#### Figure 2

(a) Conventional quantum statistical mechanics assume that the system of interest is coupled to a reservoir (or bath) with which it can exchange energy and particles. (b) Here, we are interested in the statistical mechanics of a closed quantum system undergoing unitary time evolution. There is no external reservoir. (c) It can be useful to partition the closed quantum system into a (A) subsystem and (B) everything else. If the system quantum thermalizes, then the region (B) is able to act as a bath for the subsystem (A).

Figure from Nandkishore and Huse, Annual Review of Condensed Matter Physics 2015





Microcanonical ensemble

$$I = [E - \Delta, E + \Delta], \ O_{\rm MC}(E) \equiv \frac{1}{\Omega} \sum_{E_{\alpha} \in A} \frac{1}{\Omega$$

• (Grand-) Canonical ensemble

$$\hat{\rho}_{\beta} \equiv \frac{1}{Z} \exp(-\beta \hat{H}), \langle \hat{O} \rangle = \operatorname{tr}[\hat{\rho}_{\beta} \hat{O}]$$

#### **Thermal Ensembles**

## $\sum_{\boldsymbol{\alpha} \in I} \langle \boldsymbol{\alpha} \mid \hat{O} \mid \boldsymbol{\alpha} \rangle$

#### In a thermal system, in the thermodynamic limit,

 $\frac{1}{\Omega} \sum_{E_{\alpha} \in I} \langle \alpha | \hat{O} | \alpha \rangle = \operatorname{tr}[\hat{\rho}_{\beta} \hat{O}]$ 



## Observables

• Not all Hermitian operators are observables.

- "Physical" observables are something that can be measured.
  - local spins, two-point correlator, etc.
  - We consider mostly *local* observables.

- $\hat{H}, |\alpha\rangle\langle\alpha|] = 0$
- ut,  $|\alpha\rangle\langle\alpha|$  may not be measurable.

Infinite-time average (relaxation)

$$\bar{A}_{\infty} \equiv \lim_{T \to \infty} \frac{1}{T} \int_{0}^{T} dt \left\langle \Psi(t) \left| \hat{A} \right| \Psi(t) \right\rangle$$

• Infinite-time average ?= ensemble average

$$\bar{A}_{\infty} = A_{\rm MC}(E) = {\rm tr}[\hat{\rho}_{\beta}\hat{A}]$$

What makes this hold true?

## (dynamical) thermalization

 $\langle \hat{A}(t) \rangle$ 



• Start with an initial state for a targeted E.

$$|\Psi(0)\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle$$

$$|\Psi(t)\rangle = e^{-\frac{i}{\hbar}\hat{H}t} |\Psi(0)\rangle = \sum_{\alpha} c_{\alpha}e^{-\frac{i}{\hbar}\hat{H}t} |\Psi(0)\rangle = \sum_{\alpha} e^{-\frac{i}{\hbar}\hat{H}t} |\Psi(0)\rangle = e^{-\frac{i}{\hbar}\hat{H}t} |\Psi$$

• Time-evolution of an observable

$$\langle \Psi(t) | \hat{A} | \Psi(t) \rangle = \sum_{\alpha, \beta} c_{\alpha}^{*} c_{\beta} e^{\frac{i}{\hbar} (E_{\alpha} - E_{\beta})}$$





n

• Time-evolution of an observable

$$\langle \Psi(t) | \hat{A} | \Psi(t) \rangle = \sum_{\alpha,\beta} c_{\alpha}^{*} c_{\beta} e^{\frac{i}{\hbar}(E_{\alpha} - t_{\beta})}$$

$$N \to \infty$$

If only diagonal terms survive,

α

 $A_{\infty} \rightarrow \sum$ 



$$|c_{\alpha}|^{2}A_{\alpha\alpha} \approx \frac{1}{\Omega} \sum_{E_{\alpha} \in I} A_{\alpha\alpha}$$

thermalization!



# Random Matrix Theory

Assumption (Deutsch 1991, extended Berry's conjecture):

 $O_{mn} \approx \bar{O}\delta_{n}$ 

Random level repulsion: Wigner-Dyson distribution

$$P(\omega) = A_{\beta}\omega^{\beta} \exp(-B_{\beta}\omega^2) \qquad \qquad \beta = 1 \text{ (GOE): w. time-reversal symmetry} \\ \beta = 2 \text{ (GUE): w.o. time-reversal symmetry} \end{cases}$$

The eigenstates of ergodic Hamiltonian is essentially random vectors.

$$S_{mn} + \sqrt{\frac{O^2}{D}} R_{mn}$$

#### **Eigenstate Thermalization Hypothesis**

- Jensen & Shankar (1985), Srednicki (1994), Deutsch (1991), …
- "ALL eigenstates are thermal." (strong-ETH)

$$A_{\alpha\beta} = A_{\rm MC}(\bar{E})\delta_{\alpha}$$

 $S(\overline{E})$ : thermodynamic entropy  $f(\bar{E}, \omega)$  : a slowly varying function  $R_{\alpha\beta}$ : a "pseudo"-random variable

 $_{\alpha\beta} + e^{-S(\bar{E})/2} f(\bar{E},\omega) R_{\alpha,\beta}$ 

$$\bar{E} = (E_{\alpha} + E_{\beta})/2$$

$$\omega = E_{\beta} - E_{\alpha}$$

# Entanglement of Eigenstates

• ETH implies the extensive entanglement of an eigenstate.

Eigenstate density matrix









Microcanonical density matrix

#### Volume-law of entanglement entropy

• The von Neumann entropy

$$S = -\operatorname{tr}[\hat{\rho}\ln\hat{\rho}] \quad ----$$

• "Bipartite" entanglement entropy for  $|A| \ll |B|$ : The subsystem A thermalizes with the same energy density.

$$\hat{\rho}_A \approx \hat{\rho}_{\mathrm{MC},A} = \hat{\mathrm{I}}/d^{V_A}$$

Every eigenstate obeying ETH satisfies the volume-law of EE.  $\bullet$ 



$$\bullet \quad S_A = -\operatorname{tr}[\hat{\rho}_A \ln \hat{\rho}_A] \propto V_A$$

# ETH: off-diagonal terms

$$A_{\alpha\beta} = A_{\rm MC}(\bar{E})\delta_{\alpha\beta} +$$

(typical level spacing << off-diagonal element)

Another implication of ETH: strong sensitivity to external perturbation

 $e^{-S(E)/2}f(\bar{E},\omega)R_{\alpha,\beta}$ 

Small perturbation -> exponentially large mixing in original eigenstates

 $\int \Delta/J \sim e^{-S(E)} \ll e^{-S(E)/2}$ 

"many-body resonances"

# Growth of Entanglement Entropy

- Quantum quench from a non-entangled initial state
  - $S(t) \propto t$

Lieb-Robinson Bound: upper bound on information propagation

$$\|[A(t), B]\| \le c \|A\| \|B\| e^{\lambda (t-t)}$$

(nonintegrable, quantum Ising chain; H. Kim and D. Hose, PRL 2013)

 $-\frac{r}{v_{LR}}$ 

## **Operator Spreading**

• In the Heisenberg picture,

$$\hat{A}(t) = \hat{U}(t)^{\dagger} \hat{A} \hat{U}(t)$$



e.g. a spin-1/2 system

$$\hat{A}(0) = \hat{X}_{100} \longrightarrow \hat{A}(t) = \cdots \hat{Y}_{80} \hat{X}_{97} \hat{Y}_{101} \hat{Z}_{110} \hat{X}_{121} \cdots + \cdots$$

#### $[U, A] \neq 0$

A is an observable few-site operator, but it spreads over many more sites.

## A measure of operator spreading

 Out-of-time-ordered correlation (OTOC) [Larkin & Ovchinnikov 1969, Kitaev 2014]

$$C_{ij}(t) = \langle [\hat{A}_i(t), \hat{B}_j]^{\dagger} [\hat{A}_i(t), \hat{B}_j] \rangle$$

How does it measure the operator spreading?

e.g. 
$$T = \infty$$
  $\hat{B} = \hat{Z}_j$   $\hat{A}(t) = \hat{U}^{\dagger}(t)\hat{X}_i\hat{U}(t) = \sum_{\hat{S}} a_{\hat{S}}(t)\hat{S}$   
 $\longrightarrow$   $C_{ij}(t) = \sum_{\hat{S}} |a_{\hat{S}}(t)|^2 \operatorname{tr} \left( [\hat{S}_j, \hat{Z}_j]^{\dagger} [\hat{S}_j, \hat{Z}_j] \right)$ 

 $|a_{\hat{S}}(t)|^2$  $\hat{S}_{j} = \{\hat{X}_{j}, \hat{Y}_{j}\}$ 

# Light cone



 $C_{ij}(t) = \sum_{\hat{S}} |a_{\hat{S}}(t)|^2 \operatorname{tr}[\hat{S}_j, \hat{Z}_j]^{\dagger}[\hat{S}_j, \hat{Z}_j] = \sum_{\hat{S}_j = \{\hat{X}_j, \hat{Y}_j\}} |a_{\hat{S}}(t)|^2$ 

## Semi-Classical Chaos

#### $C(t) \sim \langle [\hat{x}(t), \hat{p}]^2 \rangle \qquad \qquad \checkmark \quad \langle \{x(t), \hat{p}\} \rangle$



$$(p, p)_{\text{P.B.}}^2 \rangle_{classical} = \left\langle \left( \frac{dx(t)}{dx(0)} \right)^2 \right\rangle \sim \exp(\lambda_L t)$$

Why "squared" commutator?

dx(t)- can be positive or negative. dx(0)

 $\Delta x(t)$ 

Operator spreading cannot be captured by a two-point correlation function.

 $\langle [A(t), B] \rangle$ 



# Many-Body Localization

- There are some systems that do not thermalize.
  - Quantum many-body scar, "traditional" integrable systems,
  - Many-body localization (MBL)
- Why is MBL special?
  - It remains "permanently" and "robustly" out of equilibrium.
  - Dephasing without dissipation

# Many-Body Localization

• Non-interacting spinless fermions in a 1D chain



"Strong" localization limit; no degeneracy

$$J \ll |\epsilon_i - \epsilon_{i+1}|$$

All single-particle eigenstates are localized.

#### Anderson Localization

$$\hat{H}_{0} = \sum_{i} \epsilon_{i} \hat{n}_{i} + J \sum_{\langle i,j \rangle_{n.n.}} \left( \hat{c}_{i}^{\dagger} \hat{c}_{j} + \hat{c}_{j}^{\dagger} \hat{c}_{j} \right)$$

$$|\psi_{\alpha}(x)|^{2} \sim e^{-r/\xi}$$



- All single-particle eigenstate are localized.
  - Complete set of localized conserved operators.

$$\hat{H}_{0} = \sum_{i} \epsilon_{i} \hat{n}_{i} + J \sum_{\langle i,j \rangle_{n.n.}} \left( \hat{c}_{i}^{\dagger} \hat{c}_{j} + \hat{c}_{j}^{\dagger} \hat{c}_{i} \right)$$

 $[\hat{H}_0, \hat{n}_{\alpha}] = 0 = [\hat{n}_{\alpha}, \hat{n}_{\beta}]$  "A basic structure of MBL"

## AL as an MBL

 $E_i = \sum E_{\alpha} \hat{c}^{\dagger}_{\alpha} \hat{c}_{\alpha}$ 

- "Localization in Fock space"

## MBL with an interaction

• Consider a spin-1/2 chain.

• Add a nearest-neighbor interaction.

MBL is stable at small g!
 Basko, Aleiner, Altshuler 2006
 (perturbatively; any dimensions)

"complete set of conserved operators"



$$[\hat{H}_0, \hat{Z}_i] = 0 = [\hat{Z}_i, \hat{Z}_j]$$

 $\hat{H} = \sum_{i} h_{i} \hat{Z}_{i} + g \sum_{i,j} \hat{J}_{i} (\hat{\boldsymbol{\sigma}}_{i}, \hat{\boldsymbol{\sigma}}_{i+1})$ 

*Imbrie 2016* 

(non-perturbatively; MBL unstable at D>1)

# Phenomenology of MBL

- Local observable: relaxation, but no thermalization
- Single-particle transport: completely frozen like AL
- "Area-law" of eigenstate entanglement. (cf. volume-law in ETH)

 $S_A \sim \operatorname{vol}(\partial A)$  (cf. ETH:  $S_A \sim V_A$ )

"Logarithmic" spreading of quantum information

$$S_A \propto \ln t \qquad \qquad \mathbb{E}_{\mu} \| [O_A(t), O_B] \| \le ct |\partial A| e^{-\frac{x}{2\xi}}$$

# Unified picture of MBL

- Serbyn, Papic, Abanin 2013; Huse, Nandkishore, Oganesyan 2014
- (quasi-) Local Integral of Motion (LIOM) or "I-bit" : <u>complete set of conserved operators</u>  $\hat{\tau}_i^z = Z\hat{\sigma}_i^z + \sum_i V_i^{(n)}\hat{O}_i^{(0)}$ *n*=1



$$|\{\tau_i^z\}\rangle = |\dots \mathbf{r}_i^z\} \\ \downarrow \mathbf{r}_i^z \\ \downarrow$$

## **Construction of LIOM**





## Effective "I-bit" Hamiltonian

"fully" many-body localization

$$\hat{H} = \sum_{i} h_i \hat{\tau}_i^z + \sum_{i>j} J$$

Important: effective interaction between two remote I-bits  $\bullet$ 

$$\hat{J}_{ab}^{\text{eff}} = J_{ab} + \sum_{k} J_{akb} \hat{\tau}_{k}^{z} + \sum_{k < l}$$



J<sup>eff</sup> decay length

 $J_{aklb}\hat{\tau}_k^z\hat{\tau}_l^z + \cdots \sim J_0\exp(-x/\xi_{\text{eff}})$ 

# Note: length scales in MBL

- LIOM localization length  $\xi_{op}$  in  $V_i^{(n)} \sim \exp(-n/\xi_{op})$
- Interaction decay length  $\kappa$  in  $J_{ii} \sim J_0 e^{-|i-j|/\kappa}$
- Effective interaction decay length  $\xi_{eff}$  in  $J^{eff} \sim J_0 \exp(-x/\xi_{eff})$
- They are all different, and their relationship is nontrivial.
- Abanin, Altman, Bloch, Serbyn, RMP 2019

$$\kappa^{-1} \ge (\xi_{\text{op}}^{-1} + \ln 2)/2 \qquad \xi_{\text{eff}}^{-1} \le \kappa$$

 $\kappa^{-1} - (\ln 2)/2$  $\xi_{\rm eff} \leq 2\xi_{\rm op}$ 

# "dephasing" dynamics in MBL

- Key feature: exponential decay of

$$x_{\text{ent}}(t) \sim \xi_{\text{eff}} \ln(J_0 t)$$

• Equilibration of an local observable

 $\left| \left\langle \hat{\tau}_{i}^{\chi} \right\rangle \right|$ 

Logarithmic light cone measured by OTOC

$$J^{\text{eff}}(x) \sim J_0 \exp(-x/\xi_{\text{eff}})$$

• Logarithmic growth of entanglement entropy, when quenched from nonent.

$$S(t) \sim \xi_{\rm eff} \ln(J_0 t)$$

$$| \sim \frac{1}{(J_0 t)^a}$$



## Entanglement spreading

Time-evolution subject to

$$\hat{H} = J_{12}\hat{\tau}_1^z\hat{\tau}_2^z$$

$$\Downarrow \Downarrow \rangle + \frac{1}{2} e^{iJ_{12}t} \left( | \Uparrow \Downarrow \rangle + | \Downarrow \Uparrow \rangle \right)$$

 $S_A = \ln 2$  when  $J_{12}t = \pi/4$ 



The effective interaction includes all the intervening spins in its structure. It is still the same two-spin problem even if they are separated over the distance.

These two spins at both ends get entangled when  $J_{\rm eff} t \sim 1$ .

During the time period t, entanglement spreads over the distance  $x_{ent}(t) \sim \xi_{eff} \ln(J_0 t)$ .

## Entanglement spreading

t

 $x_{\rm ent}(t) \sim \xi_{\rm eff} \ln(J_0 t)$ 



Logarithmic light cone

#### entanglement entropy

Entangled region of length  $x_{ent}(t)$ + non-entangled area outside

$$S(t) \propto x_{\text{ent}} = \xi_{\text{eff}} \ln(J_0 t)$$

Logarithmic growth of EE

# Equilibration of a local spin

- The effective interaction is a "dephasing" interaction.
- Effective "magnetic field operator" acting on an I-bit at site k

$$\hat{h}_{k}^{(\text{eff})}(l) \equiv \hat{h}_{k}(\tau_{k-l}^{z}, \tau_{k-l+1}^{z}, \dots, \tau_{k-1}^{z}, \tau_{k+1}^{z}, \dots, \tau_{k+l-1}^{z}, \tau_{k+l}^{z})$$



## Equilibration of a local spin

#### **Initial state (non-entangled)**

#### Reduced density matrix of I-bit at k (off-diagonal component)

$$\rho_{\Uparrow\Downarrow}(t) = \frac{1}{2^{2l}} \sum_{\{\tau'\}} \exp\left[2ih_k^{\text{eff}}(\{\tau\}$$

 $\{\tau'\} \equiv \{(\tau_{k-l}^z, \tau_{k-l+1}^z, \dots, \tau_{k-1}^z, \tau_{k+1}^z,$ 

 $|\Psi(t=0)\rangle = \bigotimes_{i} \frac{1}{\sqrt{2}} \left(|\Uparrow_{i}\rangle + |\Downarrow_{i}\rangle\right)$ 

 $\rho_{\uparrow\uparrow\downarrow} \sim \frac{1}{\sqrt{2^{2l}}}$ 

#### (t'))t**Q.** What is the relevant value of l ?

$$\ldots, \tau_{k+l-1}^z \tau_{k+l}^z)\}$$



**Reduced density matrix** 

$$\rho_{\Uparrow\Downarrow}(t) = \frac{1}{2^{2l}} \sum_{\{\tau'\}} \exp\left[2ih_k^{\text{eff}}(\{\tau'\})t\right]$$

 $\{\tau'\} \equiv \{(\tau_{k-l}^z, \tau_{k-l+1}^z, \dots, \tau_{k-1}^z, \tau_{k+1}^z, \dots, \tau_{k+l-1}^z, \tau_{k+l-1}^z, \tau_{k+l-1}^z, \dots, \tau_{k+l-1}^z, \tau_{k+l-1}^z, \dots, \tau_{k+l-1$ 

 $\rightarrow l \approx x_{ent}(t)$ 

$$|\rho_{\uparrow\uparrow\Downarrow\downarrow}| \sim \frac{1}{\sqrt{2^{2l}}} = \frac{1}{(J_0 t)^a} \quad (a = \xi_{\text{eff}})$$

$$|\langle \hat{\tau}_k^x \rangle| \sim \frac{1}{t^a}$$



## Out-of-time-ordered correlator

**OTOC** (effective I-bit model;  $\beta = 0$ )

$$F(t) = \operatorname{tr}[\hat{\tau}_{i}^{X}(t)\hat{\tau}_{j}^{X}\hat{\tau}_{i}^{X}(t)\hat{\tau}_{j}^{X}]$$

$$F(t) = \cos(4t J_{ij}^{\text{eff}})$$

disorder average  $J_0 \in [-J, J]$ 

$$\overline{F}(t) = \frac{\sin[4tJ\exp(-|i-j|\xi)]}{4tJ\exp(-|i-j|\xi)}$$

exponentially decaying effective interaction

$$J_{ij}^{\text{eff}} \sim J_0 \exp(-|i-j|/\xi)$$

Logarithmic light cone

$$t_0 = \frac{\pi}{4J} e^{-|i-j|/\xi}$$

**R.** Fan et al., Sci. Bull. 62, 707 (2017)

X. Chen et al., Ann. Phys. 1600332 (2016)

B. Swingle and D. Chowdhury, PRB 95, 060201(R) (2017)



One dimension

Stability of MBL is well accepted, but true transition point is hard to see.



Higher dimensions

No MBL transition in d > 1, but there are MBL signatures at strong disorders.

**Prethermal? Crossover?** 

strong disorder

## Phase diagram

Thermal

weak disorder

Thermal

weak disorder

## Avalanche scenario

- De Roeck and Huveneers, PRB 95, 155129 (2017)
- Start with a thermal block in a chain, trying to expand by absorbing I-bits.



**Condition to stop avalanche:** level spacing >> off-diagonal matrix element

Figure from Dumitrescu et al., PRB 99, 094205 (2019)





There is discontinuity in  $\zeta$  !

# Avalanche scenario (1D)



**Avalanche stopping criterion** 

$$-\exp\left(-\frac{n}{2\zeta} + \frac{\ln 2}{2}(n+n_0)\right) \ll 1$$

MBL is stable when  $\frac{1}{2} > \ln 2$ .



## Avalanche scenario in d>1

**I-bit** 





- **Off-diagonal matrix element:**  $\Gamma = \frac{e^{-r/\zeta}}{\sqrt{2^{N_{r+r_0}}}}$

Level spacing:  $\delta = \frac{W}{2^{N_{r+r_0}}}$ 

- Number of spins :  $N_{r+r_0} \sim (r+r_0)^d$
- **Avalanche never stops for sufficiently large r**<sub>0</sub>!

$$= \exp\left(-\frac{r}{\zeta} + a(r+r_0)^d\right) \gg 1$$

## **RG: KT-like transition**

Dumitrescu et al., PRB 99, 094205 (2019) Goremykina et al., PRL 122, 040601 (2019)

 $\rho(l)$  : density of thermal block with size > l

#### **Fixed points**

$$ho_* = 0, \ \zeta_*^{-1} > \zeta_c^{-1}$$
 (MBL)  
ho\_\* = 1, \ \zeta\_\*^{-1} = 0 (Thermal)

Flow equation (phenomenological)

$$\frac{d\rho}{dl} \approx b\rho(\zeta - \zeta_c) \quad \frac{d\zeta^{-1}}{dl} \approx -c\rho\zeta^{-1}$$





#### low-T critical phase

vortex fugacity

density of thermal blocks

stiffness

## **KT-like transition**

#### **BUT**, dynamical scaling exponent

#### MBL

MBL

#### Vosk, Huse, Altman, PRX 2015

 $z \to \infty$ 



(thermalization time)

1/ζ



#### References

- Review papers
  - Abanin, Altman, Bloch & Serbyn, Reviews of Modern Physics 91, 021001 (2019)
  - Alet & Laflorencie, Comptes Rendus Physique 19, 498 (2018)
  - Nandkishore & Huse, Annual Review of Condensed Matter Physics 6, 16 (2015)
- Lectures by D. Huse, E. Altman, M. Serbyn (available on Youtube)