

# Weighted scalar curvature rigidity for weighted area-minimizing hypersurface

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# Introduction

- First variation formula

Let  $F : \Sigma \times (-\epsilon, \epsilon) \rightarrow M$  be a variation of  $\Sigma$  with compact support.

$$\frac{d}{dt}\Big|_{t=0} \text{Vol}(F(\Sigma, t)) = \int_{\Sigma} \langle F_t, H \rangle d\text{vol}_{\Sigma},$$

where  $F_t$  is the variational vector field and  $H$  is the mean curvature.

## Definition 1

A submanifold  $\Sigma$  is said to be a *minimal submanifold* if its mean curvature vanishes,  $H = 0$ . In other words,  $\Sigma$  is a critical point of the volume functional. Moreover, if  $\Sigma$  is  $(n - 1)$ -dimensional, then  $\Sigma$  is called a *minimal hypersurface*.

- Second variation formula

$$\frac{d^2}{dt^2} \Big|_{t=0} \text{Vol}(F(\Sigma, t)) = \int_{\Sigma} |\nabla^{\Sigma} \varphi|^2 - \varphi^2 \left( \text{Ric}^M(N, N) + |B|^2 \right) d\text{vol}_{\Sigma},$$

where  $N$  is the normal vector on  $\Sigma$ ,  $B$  is the second fundamental form of  $\Sigma$ , and  $\varphi \in C^{\infty}(\Sigma)$ .

### Definition 2

We say that  $\Sigma$  is *stable* if

$$\frac{d^2}{dt^2} \Big|_{t=0} \text{Vol}(F(\Sigma, t)) \geq 0.$$

## 3-manifolds

- (Schoen and Yau (1979)) Let  $M$  be a complete 3-dimensional Riemannian manifold with  $R^M > 0$ . If  $M$  contains a closed, two-sided, immersed, stable minimal hypersurface  $\Sigma$ , then the hypersurface must have genus 0.

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- (Fischer-Colbrie and Schoen (1980)) If  $R^M \geq 0$ , then the genus of  $\Sigma$  must be zero or one. Moreover, if the genus is one, then
  - (i)  $\Sigma$  is totally geodesic,
  - (ii) the normal  $Ric^M$  vanish all along  $\Sigma$ .



- (Shen and Zhu (1997)) If  $R^M \geq R_0$ , then the area of any closed, two-sided, stable minimal surface  $\Sigma$  with genus  $\beta \neq 1$ , satisfies

$$\begin{cases} A(\Sigma) \leq 4\pi & \text{if } R_0 = 2 \text{ and } \beta = 0 \\ A(\Sigma) \geq 4\pi(\beta - 1) & \text{if } R_0 = -2 \text{ and } \beta \geq 2. \end{cases}$$

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- (Bray, Brendle, Neves (2010)) If

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then

- $\Sigma$  is totally geodesic,
- the normal  $Ric^M$  vanishes along  $\Sigma$ .

Moreover, if  $\Sigma$  is area-minimizing and  $A(\Sigma) = 4\pi$ , then  $M$  is isometric to  $\mathbb{S}^2 \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .

## Theorem 1 (Micallef and Moraru (2015))

Let  $M$  be a complete 3-dimensional Riemannian manifold with  $R^M \geq R_0$ . Assume that  $M$  contains a closed, two-sided, embedded, area-minimizing hypersurface  $\Sigma$ .

(1) Suppose that  $R_0 = 2$  and  $A(\Sigma) = 4\pi$ . Then  $\Sigma$  has  $\beta = 0$  and it has a neighborhood which is isometric to the product  $g_1 + dt^2$  on  $\mathbb{S}^2 \times (-\epsilon, \epsilon)$ .

(2) Suppose that  $R_0 = 0$  and  $\Sigma$  has  $\beta = 1$ . Then  $\Sigma$  has a neighborhood which is isometric to the product  $g_0 + dt^2$  on  $\mathbb{T}^2 \times (-\epsilon, \epsilon)$ .

(3) Suppose that  $R_0 = -2$  and that  $\Sigma$  has  $\beta \geq 2$  and  $A(\Sigma) = 4\pi(\beta - 1)$ . Then  $\Sigma$  has a neighborhood which is isometric to the product  $g_{-1} + dt^2$  on  $\Sigma \times (-\epsilon, \epsilon)$ .

**Proof of Theorem 1.** By second variation of area for minimal hypersurface, we have

$$0 \leq \int_{\Sigma} |\nabla^{\Sigma} \varphi|^2 - \varphi^2 \left( Ric^M(N, N) + |B|^2 \right) dvol_{\Sigma},$$

where  $\nabla^{\Sigma}$  and  $dvol_{\Sigma}$  are the gradient and the area element of  $\Sigma$ .

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Choosing  $\varphi = 1$  and using Gauss equation, we obtain

$$\begin{aligned} 0 &\leq \int_{\Sigma} -\frac{R^M}{2} + K^{\Sigma} - \frac{|B|^2}{2} dvol_{\Sigma} \\ &\leq -\frac{R_0}{2} A(\Sigma) + \int_{\Sigma} K^{\Sigma} dvol_{\Sigma}. \end{aligned}$$

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By Gauss-Bonnet theorem, we get

$$R_0 A(\Sigma) \leq 4\pi \chi(\Sigma) = 8\pi(1 - \beta),$$

where  $\chi(\Sigma)$  is the Euler characteristic of  $\Sigma$ .

If  $R_0A(\Sigma) = 8\pi(1 - \beta)$ , then every inequality above is in fact an equality. So we have  $Ric(N, N) = 0$  and  $B = 0$  on  $\Sigma$ .



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- (i)  $R_0 = 2$  and  $A(\Sigma) = 4\pi$ ,
- (ii)  $R_0 = 0$  and  $\beta = 1$ ,
- (iii)  $R_0 = -2$ ,  $\beta \geq 2$  and  $A(\Sigma) = 4\pi(\beta - 1)$ .

Using the Jacobi equation, We can show that a constant mean curvature foliation is an area-minimizing surface in all cases.

# $n$ -manifolds

- Einstein-Hilbert functional

$$Y(g) := \frac{\int_M R^M d\text{vol}}{\text{Vol}(M)^{(n-2)/n}}.$$

- Writting  $\tilde{g} = u^{\frac{4}{n-2}} g$  for a positive function  $u$  on  $M$ , then

$$Y_g(u) = \frac{\int_M \left( \frac{4(n-1)}{n-2} |\nabla u|^2 + R^M u^2 \right) d\text{vol}}{\left( \int_M u^{2n/(n-2)} d\text{vol} \right)^{(n-2)/n}}$$

- Yamabe invariant

$$Q_g(M) := \inf_{u>0} Y_g(u)$$

- $\sigma$ -constant (or Yamabe constant)

$$\sigma(M) := \sup_{[g] \in \mathcal{C}} Q_g(M),$$

where  $\mathcal{C}$  is the space of conformal classes on  $M$ .

### Remark 1

When  $n = 3$  and  $R_0 = -2$ , then  $\sigma(\Sigma) = 4\pi\chi(\Sigma) = 8\pi(1 - \beta)$ , where  $\chi(\Sigma)$  and  $\beta$  are the Euler characteristic and genus of  $\Sigma$ . i.e., in some sense, the  $\sigma$ -constant can be view as a generalisation of the Euler characteristic to higher dimensions.

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### Theorem 2 (Moraru (2016))

Let  $M$  be an  $n$ -dimensional Riemannian manifold ( $n \geq 4$ ) with  $R^M \geq R_0$ . Assume that  $\Sigma$  be a closed, two-sided, embedded, area-minimizing hypersurface.

- (1) If  $R_0 < 0$  and  $\sigma(\Sigma) < 0$ , then  $R_0 A(\Sigma)^{\frac{2}{n-1}} \leq \sigma(\Sigma)$ . Moreover, if equality holds, then  $M$  is isometric to  $\Sigma \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .
- (2) If  $R_0 = 0$  and  $\sigma(\Sigma) \leq 0$ , then  $\sigma(\Sigma) = 0$  and  $M$  is isometric to  $\Sigma \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .

**Proof of Theorem 2.** By second variation of area for minimal hypersurface, we have

$$0 \leq \int_{\Sigma} |\nabla^{\Sigma} \varphi|^2 - \varphi^2 \left( Ric^M(N, N) + |B|^2 \right) dvol_{\Sigma},$$

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where  $\nabla^{\Sigma}$  and  $dvol_{\Sigma}$  are the gradient and the area element of  $\Sigma$ . From the Gauss equation, we obtain

$$\begin{aligned} 0 &\leq \int_{\Sigma} 2|\nabla^{\Sigma} \varphi|^2 + (R^{\Sigma} - R^M - |B|^2) \varphi^2 dvol_{\Sigma} \\ &\leq \int_{\Sigma} \left( \frac{4(n-2)}{n-3} |\nabla^{\Sigma} \varphi|^2 + R^{\Sigma} \varphi^2 \right) dvol_{\Sigma} - \int_{\Sigma} R^M \varphi^2 dvol_{\Sigma}, \end{aligned}$$

where in the last inequality we have used that  $2 < \frac{4(n-2)}{n-3}$  for all  $n \geq 4$ .

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where in the last inequality we have used that  $2 < \frac{4(n-2)}{n-3}$  for all  $n \geq 4$ . By the assumption  $R^M \geq R_0$  and hence, by Holder inequality, the above inequality gives



$$0 \leq \int_{\Sigma} \left( \frac{4(n-2)}{n-3} |\nabla^{\Sigma} \varphi|^2 + R^{\Sigma} \varphi^2 \right) d\text{vol}_{\Sigma} - R_0 A(\Sigma)^{\frac{2}{n-1}} \left( \int_{\Sigma} \varphi^{\frac{2(n-1)}{n-3}} d\text{vol}_{\Sigma} \right)^{\frac{n-3}{n-1}}.$$

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Dividing the last inequality by  $\left( \int_{\Sigma} \varphi^{\frac{2(n-1)}{n-3}} d\text{vol}_{\Sigma} \right)^{\frac{n-3}{n-1}} > 0$ , we get

$$R_0 A(\Sigma)^{\frac{2}{n-1}} \leq \frac{\int_{\Sigma} \left( \frac{4(n-2)}{n-3} |\nabla^{\Sigma} \varphi|^2 + R^{\Sigma} \varphi^2 \right) d\text{vol}_{\Sigma}}{\left( \int_{\Sigma} \varphi^{\frac{2(n-1)}{n-3}} d\text{vol}_{\Sigma} \right)^{\frac{n-3}{n-1}}}.$$

$$0 \leq \int_{\Sigma} \left( \frac{4(n-2)}{n-3} |\nabla^{\Sigma} \varphi|^2 + R^{\Sigma} \varphi^2 \right) d\text{vol}_{\Sigma} \\ - R_0 A(\Sigma)^{\frac{2}{n-1}} \left( \int_{\Sigma} \varphi^{\frac{2(n-1)}{n-3}} d\text{vol}_{\Sigma} \right)^{\frac{n-3}{n-1}}.$$

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Therefore,

$$R_0 A(\Sigma)^{\frac{2}{n-1}} \leq \sigma(\Sigma).$$

## Remark 2

Let  $\Sigma := \mathbb{S}^{n-2} \times \mathbb{S}^1(\ell)$ , where  $\mathbb{S}^{n-2}$  is the  $(n-2)$ -dimensional unit sphere and  $\mathbb{S}^1(\ell)$  is the circle of radius  $\ell$ . Let  $M := \Sigma \times \mathbb{S}^1$  with the product metric. Then  $R^M = (n-2)(n-3) := R_0^+ > 0$  and  $\sigma(\Sigma) = \sigma(\mathbb{S}^{n-1})$ . That is,  $\sigma(\Sigma)$  is independent of both  $R_0^+$  and  $\ell$ . Therefore, the area of  $\Sigma$  is arbitrarily large when  $\ell$  increases.

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- (Mendes (2019)) Let  $M^5$  be a Riemannian manifold with  $R^M \geq R_0 > 0$  and  $\mathring{Ric}^M \geq 0$ . If  $\Sigma^4$  is a two-sided, closed, embedded, area-minimizing hypersurface, then

$$A(\Sigma) \left( \frac{R_0}{12} \right)^2 \leq A(\mathbb{S}^4) + \frac{1}{12} \int_{\Sigma} |\mathring{Ric}|^2 d\text{vol}_{\Sigma},$$

where  $\mathring{Ric}$  is the traceless Ricci curvature. If equality holds, then  $M$  is isometric to  $\mathbb{S}^4 \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .

- Gauss-Bonnet-Chern formula: When  $n = 5$

$$8\pi\chi(\Sigma) = \int_{\Sigma} \left( \frac{1}{4}|W^{\Sigma}|^2 + \frac{1}{24}|R^{\Sigma}|^2 - \frac{1}{2}|Ric^{\circ\Sigma}|^2 \right) dvol_{\Sigma},$$

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- (Deng (2021)) Let  $M$  be an  $n$ -dimensional Riemannian manifold ( $n \geq 4$ ) with  $R^M \geq R_0 > 0$  and  $Ric^M \geq 0$ . If  $\Sigma^{n-1}$  is a two-sided, closed, embedded, area-minimizing hypersurface with  $Ric^{\Sigma} = \frac{R^{\Sigma}}{n}g_{\Sigma}$ , then

$$A(\Sigma)^{\frac{2}{n}}R_0 \leq n(n-1)A(\mathbb{S}^{n-1})^{\frac{2}{n}}.$$

If the equality holds, then  $M$  is isometric to  $\mathbb{S}^{n-1} \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .

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If the equality holds, then  $M$  is isometric to  $\mathbb{S}^{n-1} \times (-\epsilon, \epsilon)$  in a neighborhood of  $\Sigma$ .

- The author assumed that the  $\Sigma$  is Einstein.



# Weighted manifolds

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- Bakry-Emery Ricci tensor

$$\begin{cases} Ric_f^m := Ric + Hessf - \frac{1}{m} df \otimes df, \\ Ric_f := Ric + Hessf. \end{cases}$$

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$$\begin{cases} Ric_f^m := Ric + Hessf - \frac{1}{m} df \otimes df, \\ Ric_f := Ric + Hessf. \end{cases}$$

- Weighted scalar curvature

$$\begin{cases} R_f^m := R^M + 2\Delta f - \frac{m+1}{m} |\nabla f|^2, \\ R_f := R^M + 2\Delta f - |\nabla f|^2. \end{cases}$$

- Weighted mean curvature

$$H_f := H - \langle \nabla f, N \rangle.$$

- Weighted Laplacian

$$\Delta_f := \Delta - \langle \nabla f, \nabla \rangle.$$

- Weighted area

$$A_f(\Sigma) := \int_{\Sigma} e^{-f} d\text{vol}.$$

- First variation for weighted area

$$\left. \frac{d}{ds} \right|_{s=0} A_f(\Sigma_s) = \int_{\Sigma} \varphi H_f e^{-f} d\text{vol}.$$

- Second variation for weighted area

$$\frac{d^2}{ds^2} \Big|_{s=0} A_f(\Sigma_s) = \int_{\Sigma} |\nabla^{\Sigma} \varphi|^2 - \varphi^2 (Ric_f(N, N) + |B|^2) e^{-f} dvol$$

- Weighted stable minimal hypersurface (or  $f$ -stable minimal hypersurface)

$$\frac{d^2}{ds^2} \Big|_{s=0} A_f(\Sigma_s) \geq 0.$$

### Theorem 3 (M. Fan (2008))

Let  $M$  be a complete 3-dimensional weighted Riemannian manifold with  $R_f \geq C_0$  for some positive constant  $C_0$ . If  $M$  contains closed, two-sided, immersed,  $f$ -stable minimal hypersurfaces  $\Sigma$ , then the genus of  $\Sigma$  is zero.

## Theorem 4 (Castro and Rosales (2014))

Let  $M$  be a complete 3-dimensional weighted Riemannian manifold with  $R_f \geq C_0 e^{-f}$ . Consider a closed, two-sided, embedded,  $f$ -area minimizing hypersurface  $\Sigma$ .

(1) If  $C_0 < 0$  and  $A_f(\Sigma) = \frac{8\pi(1-\beta)}{C_0}$  for  $\beta \geq 2$ , then there is a neighborhood of  $\Sigma$  in  $M$  which is isometric to a Riemannian product  $\Sigma \times (-\epsilon, \epsilon)$ .

(2) If  $C_0 = 0$  and  $\beta = 1$ , then  $\Sigma$  is a flat torus and there is a neighborhood of  $\Sigma$  in  $M$  which is isometric to a Riemannian product  $\Sigma \times (-\epsilon, \epsilon)$ .

(3) If  $C_0 > 0$  and  $A_f(\Sigma) = \frac{8\pi}{C_0}$ , then  $\beta = 0$  and there is a neighborhood of  $\Sigma$  in  $M$  isometric to a Riemannian product  $\Sigma \times (-\epsilon, \epsilon)$ .



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## Remark 3

If we replace  $R_f$  to  $R_f^m$ , then we can get similar results.

### Theorem 5 (Lee, Park, and Pyo (2022))

Let  $M$  be a complete  $n$ -dimensional weighted Riemannian manifold ( $n \geq 4$ ) with  $R_f^m \geq C_0 e^{-\frac{2f}{n-1}}$  for  $m \in (0, \frac{1}{n-3}]$ . Assume that  $M$  contains an  $(n-1)$ -dimensional, closed, two-sided, embedded,  $f$ -area minimizing hypersurface  $\Sigma$ .

(1) If  $C_0 < 0$  and  $\sigma(\Sigma) < 0$ , then we have

$$C_0 A_f(\Sigma)^{\frac{2}{n-1}} \leq \sigma(\Sigma).$$

Moreover, if equality holds, then  $M$  splits isometrically as a product in a neighborhood of  $\Sigma$ .

(2) If  $C_0 = 0$  and  $\sigma(\Sigma) \leq 0$ , then  $\sigma(\Sigma) = 0$  and  $M$  splits isometrically as a product in a neighborhood of  $\Sigma$ .

### Theorem 6 (Lee, Park, and Pyo (2022))

Let  $M$  be a complete  $n$ -dimensional weighted Riemannian manifold ( $n \geq 4$ ). If  $R_f^m \geq C_0^+$  for some positive constant  $C_0^+$ , then there is no  $(n - 1)$ -dimensional, closed, two-sided, immersed,  $f$ -stable hypersurface  $\Sigma$  with  $\sigma(\Sigma) \leq 0$ .

### Theorem 6 (Lee, Park, and Pyo (2022))

Let  $M$  be a complete  $n$ -dimensional weighted Riemannian manifold ( $n \geq 4$ ). If  $R_f^m \geq C_0^+$  for some positive constant  $C_0^+$ , then there is no  $(n - 1)$ -dimensional, closed, two-sided, immersed,  $f$ -stable hypersurface  $\Sigma$  with  $\sigma(\Sigma) \leq 0$ .

### Remark 4

If we change  $R_f^m$  to  $R_f$ , then we can get same result.

Thank you!

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