

# IV Anomaly Detection

## IV.1. Basics

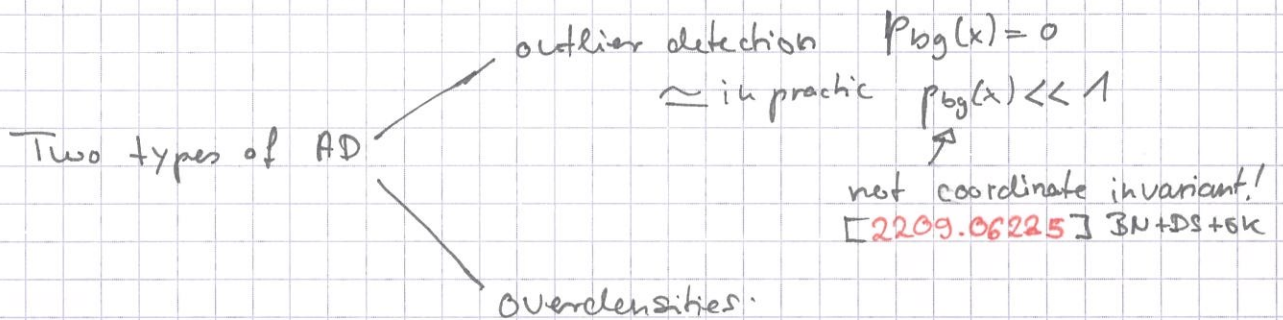
Supervised "New Physics vs. background" classification

↳ "bread & butter", conventional LHC search (90%)  
model specific

→ very few model-independent searches!

↳ opportunity for ML!!

↳ huge interest in Experiment now!



$$R = \frac{p_{data}(x)}{p_{bg}(x)}$$

← Neyman-Pearson → optimal for data vs. bg hypothesis test

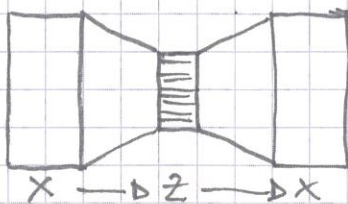
↳ "idealized anomaly detector"

↳ best you can do if you know  $\frac{p_{data}}{?}$  and  $\frac{p_{bg}}{?}$

$$R > R_c > 1$$

ML!

## 4.2. Autoencoder for outlier detection



• try to learn  $X \rightarrow Z \rightarrow X$

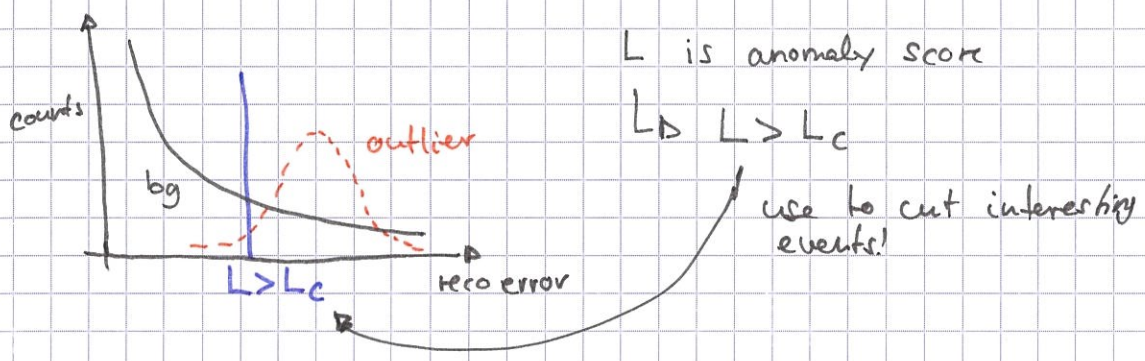
• because  $Z < X \rightarrow$  no identity map

• loss  $\sim$  MSE( $X, AE(x)$ ) ← "reconstruction error"

→ "non-linear PCA"



for AD: imagine AE trained on bg:



↳ Heimel et al. [1808.08979] "QCD or what?"  
 DS, Furina, Nakai [1808.08992]

↳ detected top jets vs QCD jets (Pythia + Delphes)  
 gluino jets

Carefull: mention problem with Rüssel!

↳ ADC ← fast trigger AD

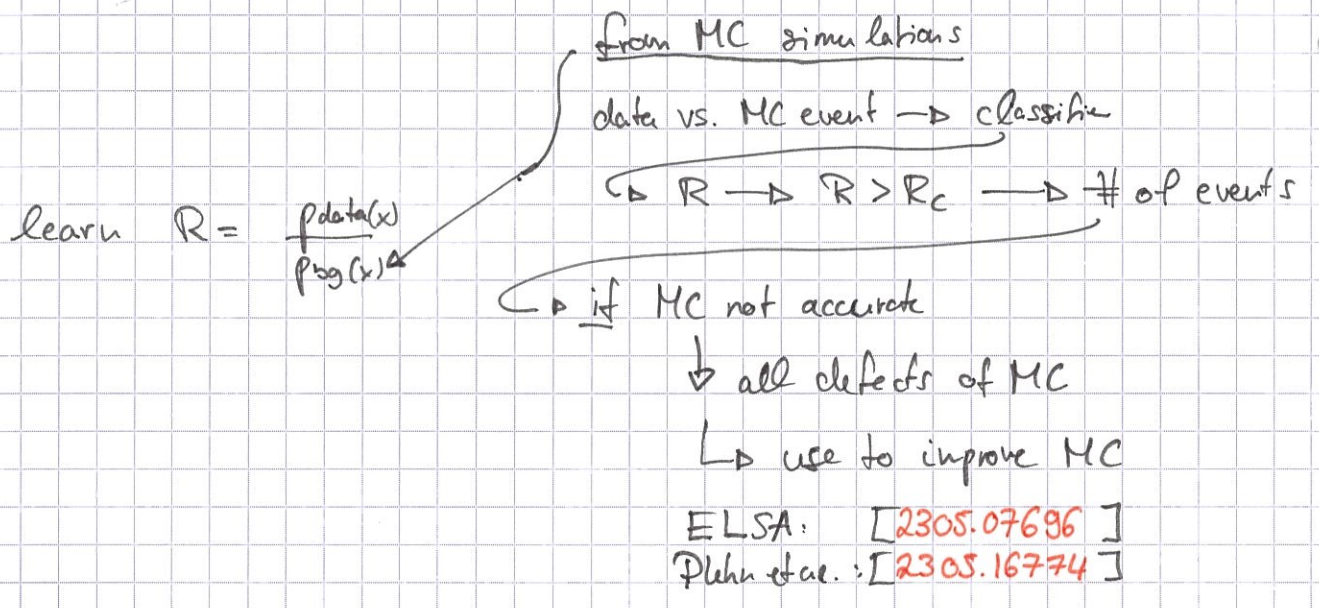
pros: works fully unsupervised

cons: generally not very sensitive, uncontrolled

↓  
 complexity bias

↳ Normalized AE  
 Dillon et al.  
 [2206.14225]

### 4.3 Overdensities





### from data?

↳ control region CR

$$P_{data}^{CR} \approx P_{bg}(x) \approx f_1 p_0 + (1-f_1) \cdot p_B$$

↳  $m_{ij}$

SR

$$P_{data}^{SR} \approx (1-\epsilon) p_{bg} + \epsilon p_{sig} \dots \approx f_2 p_0 + (1-f_2) p_B$$

Then classify CR  $\leftrightarrow$  SR to learn R:

Mixed Sample!

⇒ Classification without labels (CWoLa)

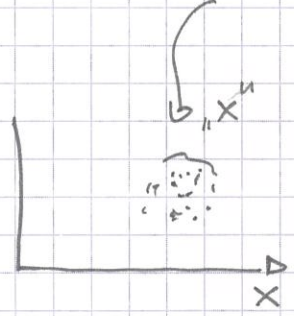
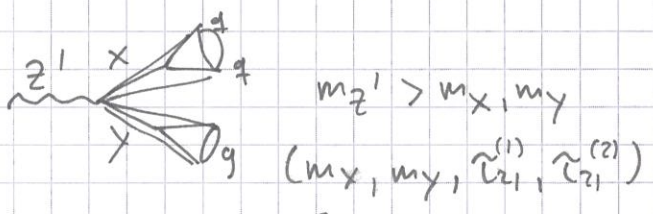
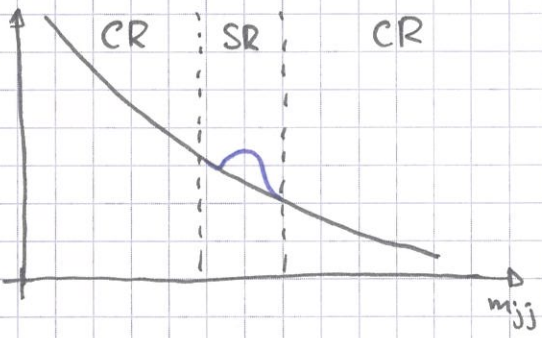
optimal for  $\frac{p_S}{p_B}$

- 1. Idea: BN, Thalov, Metodiev [1708.02949]
- 2. AD: Collins, Howe, BN [1805.02664]

⇒ "CWoLa" hunting

⇒ "weak supervision" need to find SR and CR

### 4.4. CWoLa hunting



↳ with carefully chose  $x$   
 ↳ independent of  $m_{ij}$  in bg

SR  $\leftrightarrow$  CR

$$R = \frac{P_{data}^{SR}}{P_{data}^{CR}} \stackrel{CWoLa}{\approx} \frac{\epsilon p_S + (1-\epsilon)}{1}$$

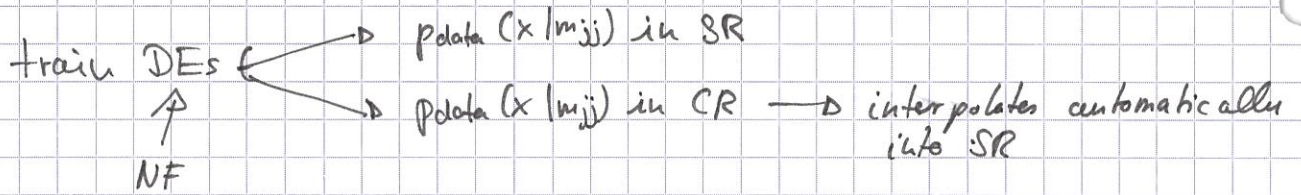
single dim ( $m_{ij}$  only)  $\sim 10$   
 more  $x \sim 50$

$$\begin{aligned} P_{data}^{CR} &\approx p_{bg} \\ P_{data}^{SR} &= (1-\epsilon) p_{bg} + \epsilon \cdot p_{sig} \end{aligned}$$



## 4.5 Anomaly Detection through Density Est (ANODE)

BN, DS [2001.04990]



$R =$  ratio of DEs

- ⊕ robust against correlations in  $x$  &  $m_{ij}$
- ⊖ less powerful than classification
- ↳ classification is easier!

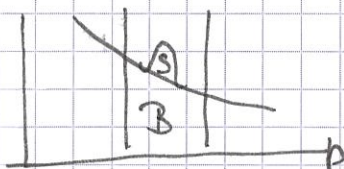
## 4.6. CATHODE

"outer density estimation": BN, DS, SK [2109.00546]

- combine CWoLa with ANODE
- train DE in CR → interpolate → generate samples in SR
- CWoLa (classification) between data & bg!

↳ best of both worlds! for shows

Metric for AD? → significance improvement



$$\frac{S}{\sqrt{B}} \xrightarrow{\text{AD}} \frac{S \cdot \epsilon_S}{\sqrt{B \cdot \epsilon_B}} = \frac{S}{\sqrt{B}} \left( \frac{\epsilon_S}{\sqrt{\epsilon_B}} \right)$$

factor by which significance improves!

→ show plots from 2109.00546

## 4.7. Future?

- LaCATHODE → avoids scalping by doing the cut  $R > R_c$  on the latent space!  
[2210.14924]
- CURTAINS → similar to CATHODE but not sampling it maps CR to SR!  
[2203.09470]
- FETA → simulation assisted  
[2212.11285]