Microscopic heat engine subjected to stochastic resetting

Nonequilibrium Statistical Physics of Complex Systems July 22, 2024 Korea Institute for Advanced Study

> **Sourabh Lahiri** Birla Institute of Technology, Mesra

Consider search process in which the position of the searcher is reset to the origin at intermediate times.

[∗]M. R. Evans and S. Majumdar, Phys. Rev. Lett. **106**, 160601 (2011); S. Gupta and A. M. Jayannavar, Front. Phys. **10**, 2022 (2022).

- Consider search process in which the position of the searcher is reset to the origin at intermediate times.
- The process prevents the searcher to wander too far away from the origin, and can be shown to improve the search efficiency[∗] .

[∗]M. R. Evans and S. Majumdar, Phys. Rev. Lett. **106**, 160601 (2011); S. Gupta and A. M. Jayannavar, Front. Phys. **10**, 2022 (2022).

- Consider search process in which the position of the searcher is reset to the origin at intermediate times.
- The process prevents the searcher to wander too far away from the origin, and can be shown to improve the search efficiency[∗] .
- In the context of a Brownian particle, the trajectory looks as shown.
- The resetting actions, even if acting on an otherwise free particle, leads to a stationary distribution!

[∗]M. R. Evans and S. Majumdar, Phys. Rev. Lett. **106**, 160601 (2011); S. Gupta and A. M. Jayannavar, Front. Phys. **10**, 2022 (2022).

- Consider search process in which the position of the searcher is reset to the origin at intermediate times.
- The process prevents the searcher to wander too far away from the origin, and can be shown to improve the search efficiency[∗] .
- In the context of a Brownian particle, the trajectory looks as shown.
- The resetting actions, even if acting on an otherwise free particle, leads to a stationary distribution!

Other than the infinitesimal time step where the particle position gets "reset", it evolves like a free particle.

[∗]M. R. Evans and S. Majumdar, Phys. Rev. Lett. **106**, 160601 (2011); S. Gupta and A. M. Jayannavar, Front. Phys. **10**, 2022 (2022).

Dynamics

Consider a particle's dynamics being given by the **overdamped Langevin** equation:

$$
\gamma \dot{x} = -k(t)x + \xi(t),
$$

where the noise ξ follows a **Gaussian** distribution and has the autocorrelation $\langle \xi(t) \xi(t') \rangle = 2\gamma k_B T \delta(t - t').$

[∗]S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

Dynamics

Consider a particle's dynamics being given by the **overdamped Langevin** equation:

$$
\gamma \dot{x} = -k(t)x + \xi(t),
$$

where the noise ξ follows a **Gaussian** distribution and has the autocorrelation $\langle \xi(t) \xi(t') \rangle = 2\gamma k_B T \delta(t - t').$

It is now subjected to a **resetting rate** of r to a **location** x_r , such that the position gets updated as follows^{*}:

$$
x(t+dt) = \begin{cases} x_{r} \text{ with probability } rdt, \\ x(t)\left(1 - \frac{k(t)}{\gamma}dt\right) + \frac{1}{\gamma} \int_{t}^{t+dt} dt' \xi(t') \\ \text{with probability } 1 - rdt. \end{cases}
$$

[∗]S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

Dynamics

Consider a particle's dynamics being given by the **overdamped Langevin** equation:

$$
\gamma \dot{x} = -k(t)x + \xi(t),
$$

where the noise ξ follows a **Gaussian** distribution and has the autocorrelation $\langle \xi(t) \xi(t') \rangle = 2\gamma k_B T \delta(t - t').$

It is now subjected to a **resetting rate** of r to a **location** x_r , such that the position gets updated as follows^{*}:

$$
x(t+dt) = \begin{cases} x_{\rm r} \text{ with probability } r \, dt, \\ x(t) \left(1 - \frac{k(t)}{\gamma} \, dt\right) + \frac{1}{\gamma} \int_{t}^{t+dt} \, dt' \, \xi(t') \\ \text{with probability } 1 - r \, dt. \end{cases}
$$

• The time interval τ between two consecutive resets obeys the distribution $p(\tau) = re^{-r\tau}$.

Ĩ,

[∗]S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

- We prepare a **Stirling engine** as shown[∗] .
- The harmonic potential has the form $V(x) = k(t)x^2/2$, where the timedependence of $k(t)$ is chosen to be **linear**.
- The total cycle time is \mathcal{T} .

[∗]V. Blickle and C. Bechinger, Nat. Phys. **8**, 143 (2012)

- We prepare a **Stirling engine** as shown[∗] .
- The harmonic potential has the form $V(x) = k(t)x^2/2$, where the timedependence of $k(t)$ is chosen to be **linear**.

- The total cycle time is \mathcal{T} .
- **Step 1 (Isothermal expansion):** In contact with a heat bath at temperature T_H , the stiffness parameter changes as

$$
k(t) = k_{\exp}(t) = k_0(1 - t/\mathcal{T}); \quad t \in [0, \mathcal{T}/2].
$$

[∗]V. Blickle and C. Bechinger, Nat. Phys. **8**, 143 (2012)

- We prepare a **Stirling engine** as shown[∗] .
- The harmonic potential has the form $V(x) = k(t)x^2/2$, where the timedependence of $k(t)$ is chosen to be **linear**.

- The total cycle time is \mathcal{T} .
- **Step 1 (Isothermal expansion):** In contact with a heat bath at temperature T_H , the stiffness parameter changes as

$$
k(t) = k_{exp}(t) = k_0(1 - t/\mathcal{T}); \quad t \in [0, \mathcal{T}/2].
$$

• Step 2 (Isochoric cooling): At constant stiffness of $k_0/2$, the heat bath temperature is suddenly changed to $T_C < T_H$.

[∗]V. Blickle and C. Bechinger, Nat. Phys. **8**, 143 (2012)

• Step 3 (isothermal compression): In contact with the colder heat bath, the stiffness parameter changes as

$$
k(t) = k_{\text{com}}(t) = k_0 t / \mathcal{T}; \quad t \in [\mathcal{T}/2, \mathcal{T}].
$$

• Step 3 (isothermal compression): In contact with the colder heat bath, the stiffness parameter changes as

$$
k(t) = k_{\text{com}}(t) = k_0 t / \mathcal{T}; \quad t \in [\mathcal{T}/2, \mathcal{T}].
$$

• Step 4 (isochoric heating): At a constant stiffness of k_0 , the bath temperature suddenly changes to T_H , thus completing the cycle.

Step 3 (isothermal compression): In contact with the colder heat bath, the stiffness parameter changes as

$$
k(t) = k_{\text{com}}(t) = k_0 t / \mathcal{T}; \quad t \in [\mathcal{T}/2, \mathcal{T}].
$$

- Step 4 (isochoric heating): At a constant stiffness of k_0 , the bath temperature suddenly changes to T_H , thus completing the cycle.
- During the evolution, the particle is reset to $x_r = 0$ with rate r.

Step 3 (isothermal compression): In contact with the colder heat bath, the stiffness parameter changes as

$$
k(t) = k_{\text{com}}(t) = k_0 t / \mathcal{T}; \quad t \in [\mathcal{T}/2, \mathcal{T}].
$$

- Step 4 (isochoric heating): At a constant stiffness of k_0 , the bath temperature suddenly changes to T_H , thus completing the cycle.
- During the evolution, the particle is reset to $x_r = 0$ with rate r.
- The **initial distribution** of the particle is $P_i(x_0) = \delta(x_0)$, i.e., the particle always starts at the origin.

Step 3 (isothermal compression): In contact with the colder heat bath, the stiffness parameter changes as

$$
k(t) = k_{\text{com}}(t) = k_0 t / \mathcal{T}; \quad t \in [\mathcal{T}/2, \mathcal{T}].
$$

- **Step 4 (isochoric heating):** At a constant stiffness of k_0 , the bath temperature suddenly changes to T_H , thus completing the cycle.
- During the evolution, the particle is reset to $x_r = 0$ with rate r.
- The **initial distribution** of the particle is $P_i(x_0) = \delta(x_0)$, i.e., the particle always starts at the origin.
- At the end of the first cycle, the final distribution acts as the initial distribution for the second one, and so on.

• The **total work** W_{tot} comes either from the reset (with probability rdt) or from the normal evolution (with probability $1 - r dt$):

$$
W_{\text{tot}}(t+dt) - W_{\text{tot}}(t) = rdt[V(x) - V(x_0)] + (1-rdt)\left(-\frac{\partial V}{\partial t}dt\right).
$$

[∗]D. Gupta, C. A. Plata, and A. Pal, Phys. Rev. Lett. **124**, 110608 (2020); S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

 \bullet The **total work** W_{tot} comes either from the reset (with probability rdt) or from the normal evolution (with probability $1 - r dt$):

$$
W_{\text{tot}}(t+dt) - W_{\text{tot}}(t) = rdt[V(x) - V(x_0)] + (1-rdt)\left(-\frac{\partial V}{\partial t}dt\right).
$$

Finally, we get (with $\frac{\partial V}{\partial t} = \frac{1}{2}\dot{k}(t)x^2$)

$$
W_{\text{tot}} = -\frac{1}{2} \int_{t_i}^{t_f} dt \, \dot{k}(t) x^2 + r \int_{t_i}^{t_f} dt \, [V(x) - V(x_0)]
$$

= $W_{\text{out}} + W_{\text{reset}}.$

[∗]D. Gupta, C. A. Plata, and A. Pal, Phys. Rev. Lett. **124**, 110608 (2020); S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

• The **total work** W_{tot} comes either from the reset (with probability rdt) or from the normal evolution (with probability $1 - r dt$):

$$
W_{\text{tot}}(t+dt) - W_{\text{tot}}(t) = rdt[V(x) - V(x_0)] + (1-rdt)\left(-\frac{\partial V}{\partial t}dt\right).
$$

Finally, we get (with $\frac{\partial V}{\partial t} = \frac{1}{2}\dot{k}(t)x^2$)

$$
W_{\text{tot}} = -\frac{1}{2} \int_{t_i}^{t_f} dt \, \dot{k}(t) x^2 + r \int_{t_i}^{t_f} dt \, [V(x) - V(x_0)]
$$

= $W_{\text{out}} + W_{\text{reset}}$.

 \bullet The work extracted from the engine corresponds to W_{out} and not to W_{tot}^* , as will be clear soon.

Sign convention: work **extracted** and heat **absorbed** are positive.

[∗]D. Gupta, C. A. Plata, and A. Pal, Phys. Rev. Lett. **124**, 110608 (2020);

S. Lahiri and S. Gupta, Phys. Rev. E **109**, 014129 (2024).

Analytical Expressions

 $\bullet \langle W_{\text{out}} \rangle$ and $\langle W_{\text{tot}} \rangle$ have the following expressions:

$$
\langle W_{\text{out}}(\mathcal{T}) \rangle = -\frac{1}{2} \int_0^{\mathcal{T}/2} dt \, k_{\text{exp}}(t) \sigma_r^{\text{exp}}(t) - \frac{1}{2} \int_{\mathcal{T}/2}^{\mathcal{T}} dt \, k_{\text{com}}(t) \sigma_r^{\text{com}}(t);
$$

$$
\langle W_{\text{tot}}(\mathcal{T}) \rangle = \langle W_{\text{out}}(\mathcal{T}) \rangle + \frac{r}{2} \int_0^{\mathcal{T}/2} dt \, [k_{\text{exp}}(t) \sigma_r^{\text{exp}}(t) - k_0 \sigma_r^{\text{exp}}(0)]
$$

$$
+ \frac{r}{2} \int_{\mathcal{T}/2}^{\mathcal{T}} dt \, [k_{\text{com}}(t) \sigma_r^{\text{com}}(t) - (k_0/2) \sigma_r^{\text{com}}(\mathcal{T}/2)],
$$

where

$$
\sigma_{r}^{\exp}(t) = \int_{-\infty}^{+\infty} dx_0 \int_{-\infty}^{+\infty} dx x^2 P_{r}^{\exp}(x, t | x_0, 0) P_i(x_0),
$$

$$
\sigma_{r}^{\text{com}}(t) = \int_{-\infty}^{+\infty} dx \mathcal{F}^{+\infty}_{/2} \int_{-\infty}^{+\infty} dx x^2 P_{r}^{\text{com}}(x, t | x_{\mathcal{T}+ / 2}, \mathcal{T}^+ / 2) P(x_{\mathcal{T}+ / 2}).
$$

The averages have been taken over the **modified position distributions**, $P_{\rm r}^{\rm exp}$ and $P_{\rm r}^{\rm com}$, in presence of resetting.

Steady State

- To use the above expressions for studying the engine output, we first need to reach the (nonequilibrium) steady state.
- We thus begin our investigation from the fourth cycle onwards.

Steady State

- To use the above expressions for studying the engine output, we first need to reach the (nonequilibrium) steady state.
- We thus begin our investigation from the fourth cycle onwards.
- We have plotted $\sigma_r(t)$ in the **left figure** to ensure that we indeed reach the steady state.
- The agreement between analytics and simulations has been shown in the **right figure**.

Consider a laser that produces the harmonic potential to run the engine.

- Consider a laser that produces the harmonic potential to run the engine.
- Consider a **separate laser** that brings about the reset. The work done by this laser is different from that done by the engine laser, so cannot be included in the output work.

- Consider a laser that produces the harmonic potential to run the engine.
- Consider a **separate laser** that brings about the reset. The work done by this laser is different from that done by the engine laser, so cannot be included in the output work.
- **Example:** A cylinder with a piston contains a gas of **charged** particles that can be "reset" to one of the walls by applying a strong electric field.

- Consider a laser that produces the harmonic potential to run the engine.
- Consider a **separate laser** that brings about the reset. The work done by this laser is different from that done by the engine laser, so cannot be included in the output work.
- **Example:** A cylinder with a piston contains a gas of **charged** particles that can be "reset" to one of the walls by applying a strong electric field.
- If a weight is attached to the piston, then the work done **by the piston** is the output work.

- Consider a laser that produces the harmonic potential to run the engine.
- Consider a **separate laser** that brings about the reset. The work done by this laser is different from that done by the engine laser, so cannot be included in the output work.
- **Example:** A cylinder with a piston contains a gas of **charged** particles that can be "reset" to one of the walls by applying a strong electric field.
- If a weight is attached to the piston, then the work done **by the piston** is the output work.
- The reset operation due to the electric field only **indirectly affects** this work by changing the phase space configuration of the particles.

• To verify this, we first "incorrectly" use $\langle W_{\text{tot}}\rangle/\langle Q_H \rangle$ as the definition of efficiency.

.

• The value of $\langle Q_H \rangle$ can be obtained by using the **First Law**:

$$
\langle Q_H \rangle_{\text{exp}} = \langle W_{\text{out}} \rangle_{\text{exp}} + \langle \Delta E \rangle_{\text{exp}},
$$

where

$$
\langle \Delta E \rangle_{\rm exp} = \frac{k_0 \sigma_{\rm exp}(\mathcal{T}/2)}{4} - \frac{k_0 \sigma_{\rm exp}(0)}{2}
$$

• To verify this, we first "incorrectly" use $\langle W_{\text{tot}}\rangle/\langle Q_H\rangle$ as the definition of efficiency.

.

• The value of $\langle Q_H \rangle$ can be obtained by using the **First Law**:

$$
\langle Q_H \rangle_{\text{exp}} = \langle W_{\text{out}} \rangle_{\text{exp}} + \langle \Delta E \rangle_{\text{exp}},
$$

where

$$
\langle \Delta E \rangle_{\rm exp} = \frac{k_0 \sigma_{\rm exp}(\mathcal{T}/2)}{4} - \frac{k_0 \sigma_{\rm exp}(0)}{2}
$$

 $k_0 = 10, \, \mathcal{T} = 1.$

- To verify this, we first "incorrectly" use $\langle W_{\text{tot}}\rangle/\langle Q_H \rangle$ as the definition of efficiency.
- The value of $\langle Q_H \rangle$ can be obtained by using the **First Law**:

$$
\langle Q_H \rangle_{\text{exp}} = \langle W_{\text{out}} \rangle_{\text{exp}} + \langle \Delta E \rangle_{\text{exp}},
$$

where

$$
\langle \Delta E \rangle_{\text{exp}} = \frac{k_0 \sigma_{\text{exp}}(\mathcal{T}/2)}{4} - \frac{k_0 \sigma_{\text{exp}}(0)}{2}.
$$

 $k_0 = 10, \mathcal{T} = 1.$

The inset in the figure shows that the efficiency **exceeds 100%**!

• Let us now use the "correct" definition: $\eta = \langle W_{\text{out}} \rangle / \langle Q_H \rangle$.

• Let us now use the "correct" definition: $\eta = \langle W_{\text{out}} \rangle / \langle Q_H \rangle$.

• We find that the values of η at large times **do not** exceed 100%.

• Let us now use the "correct" definition: $\eta = \frac{W_{\text{out}}}{Q_H}$.

- \bullet We find that the values of η at large times **do not** exceed 100%.
- \bullet This result demonstrates that W_{out} is the more reasonable definition of output work.

Can we use the modified variance (due to resetting) to define an **effective temperature** T_{eff} ?

- Can we use the modified variance (due to resetting) to define an **effective temperature** T_{eff} ?
- The position variance for quasistatic process is given by

$$
\sigma_r^{\text{ss}} \equiv \lim_{t \to \infty} \sigma_r(t) = \frac{2k_B T}{2k(t) + \gamma r}.
$$

• Equating this to $k_B T_{\text{eff}}/ k(t)$, we arrive at

$$
T_{\text{eff}} = \frac{2k(t)T}{2k(t) + \gamma r}.
$$

- Can we use the modified variance (due to resetting) to define an **effective temperature** T_{eff} ?
- The position variance for quasistatic process is given by

$$
\sigma_r^{\text{ss}} \equiv \lim_{t \to \infty} \sigma_r(t) = \frac{2k_B T}{2k(t) + \gamma r}.
$$

• Equating this to $k_B T_{\text{eff}}/ k(t)$, we arrive at

$$
T_{\text{eff}} = \frac{2k(t)T}{2k(t) + \gamma r}
$$

.

• We find that we must then have $T_{C,eff}/T_{H,eff} = T_C/T_H$, which apparently indicates that η **does not** change under resetting.

- Can we use the modified variance (due to resetting) to define an **effective temperature** T_{eff} ?
- The position variance for quasistatic process is given by

$$
\sigma_r^{\text{ss}} \equiv \lim_{t \to \infty} \sigma_r(t) = \frac{2k_B T}{2k(t) + \gamma r}.
$$

• Equating this to $k_B T_{\text{eff}}/ k(t)$, we arrive at

$$
T_{\text{eff}} = \frac{2k(t)T}{2k(t) + \gamma r}
$$

.

- We find that we must then have $T_{C,eff}/T_{H,eff} = T_C/T_H$, which apparently indicates that η **does not** change under resetting.
- Clearly, this contradicts our results. So the above definition for effective temperature is **not valid** for our system.

Efficiency at Maximum Power (η_{MP})

For thermal baths, η_{MP} depends on the temperature ratio as follows^{*}:

$$
\eta_\mathrm{CA} = 1 - \sqrt{\frac{T_C}{T_H}}.
$$

[∗]F. L. Curzon and B. Ahlborn, Am. J. Phys. **43**, 22 (1975).

Efficiency at Maximum Power (η_{MP})

For thermal baths, η_{MP} depends on the temperature ratio as follows^{*}:

$$
\eta_{\text{CA}} = 1 - \sqrt{\frac{T_C}{T_H}}.
$$

- Using the $\langle W_{\text{out}} \rangle$ vs r curves that are non-monotonic, we could plot the functional dependence of η_{MP} on T_C/T_H .
- Our results show substantial deviation of the system from the $^{0.001}$ ₀.
Curzon-Ahlborn relation.

[∗]F. L. Curzon and B. Ahlborn, Am. J. Phys. **43**, 22 (1975).

Phase Plots

The **output work** (left) and **efficiency** (right) as functions of the stiffness parameter and resetting rate are shown in the heat maps.

Phase Plots

The **output work** (left) and **efficiency** (right) as functions of the stiffness parameter and resetting rate are shown in the heat maps.

• The region in which $\langle W_{\text{out}} \rangle$ < 0 but $\eta > 0$ corresponds to work done **on** the system and heat **dissipated** into the hot bath, i.e., the **refrigerator** regime.

• What happens when $x_r \neq 0$?

- What happens when $x_r \neq 0$?
- Since $\frac{\partial V}{\partial t}$ is **larger** at $x_r \neq 0$, the value of $\langle W_{\text{out}} \rangle = -\int \frac{\partial \langle V \rangle}{\partial t} dt$ is **smaller**. This decreases η .

- What happens when $x_r \neq 0$?
- Since $\frac{\partial V}{\partial t}$ is **larger** at $x_r \neq 0$, the value of $\langle W_{\text{out}} \rangle = -\int \frac{\partial \langle V \rangle}{\partial t} dt$ is **smaller**. This decreases η .

 $k_0 = 2$.

- What happens when $x_r \neq 0$?
- Since $\frac{\partial V}{\partial t}$ is **larger** at $x_r \neq 0$, the value of $\langle W_{\text{out}} \rangle = -\int \frac{\partial \langle V \rangle}{\partial t} dt$ is **smaller**. This decreases η .

The plots are obtained from **simulations**, and the solid lines are the quadratic fits.

Summary and Conclusions

- We have studied a **Brownian Stirling engine**, where the particle undergoes stochastic resetting.
- The effect of the resetting rate r on the **work output** and **efficiency** of the engine has been explored.
- The efficiency is found to **increase** with increase in r. The work output may be **non-monotonic**, depending on k_0 .
- Defining an effective temperature in terms of the position variance is ruled out.
- \bullet η_{MP} deviates appreciably from η_{CA} .
- For $x_r \neq 0$, the efficiency gets **reduced**, for a given r.
- \bullet By tuning k_0 and r, the device can be converted to a **refrigerator**.

Thank You