

Introduction to GKM theory

§ 1. Equivariant cohomologies

• What is the equivariant cohomology?

$H^*(M)$  : Invariant of topology of  $M$   
 i.e., if  $M \cong N$  homeomorphic  
 then  $H^*(M) \cong H^*(N)$  isom (algebra)

"equivariant" cohomology  $H_G^*(M)$

: Invariant of  $G \curvearrowright M$  i.e.,

$$(M, G) \cong (N, G) \Rightarrow H_G^*(M) \cong H_G^*(N)$$

Definition Let  $G$  be a topological group (or Lie group),  
 $M$  be a topological space (or <sup>(smooth)</sup> manifold)

$G$  acts on  $M$  ( $G \curvearrowright M$  or  $(M, G)$ ) if

$$\exists \varphi : G \times M \rightarrow M \text{ conti or } C^\infty \text{ s.t.}$$

$$(i) \varphi(e, x) = x \quad \forall x \in M \quad (e: \text{identity in } G)$$

$$(ii) \varphi(g, \varphi(h, x)) = \varphi(gh, x) \quad \forall g, h \in G, \forall x \in M,$$

$$(M, G) \cong (N, G) \stackrel{\text{def}}{\iff} \exists f : M \xrightarrow{\cong} N \text{ homeo (diffeo)}$$

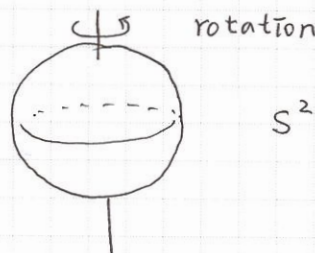
$$\text{s.t.} \quad \begin{array}{ccc} G \times M & \xrightarrow{\varphi} & M \\ 1 \times f \downarrow & \curvearrowright & \downarrow f \\ G \times N & \xrightarrow{\varphi} & N \end{array}$$

E.g.)  $S^1 \curvearrowright S^2 \quad S^2 \subset \mathbb{C} \times \mathbb{R}$

$$S^2 = \{ (z, r) \in \mathbb{C} \times \mathbb{R} \mid |z|^2 + r^2 = 1 \}$$

$$S^1 = \{ t \in \mathbb{C} \mid |t|^2 = 1 \}$$

$$t \cdot (z, r) := (tz, r)$$



IDEA of definition of  $H_G^*(M)$ 

① Easiest case

$$G \curvearrowright M \text{ free} \stackrel{\text{def}}{\iff} \forall x \in M, G_x := \{g \in G \mid g \cdot x = x\} = \{e\}$$

stabilizer subgroup

 $\Rightarrow M/G = \{ \text{the set of } \underline{\text{orbits}} \}$  has a nice property

$$G(x) = \{g \cdot x \mid g \in G\}$$

Example  $M$ : manifold,  $G$ : compact connected Lie group $\Rightarrow M/G$  a manifoldEg) ①  $S^1 \curvearrowright S^2$  is NOT free

$$(\because \text{at } N, S \quad G_N = G_S = S^1 \neq \{e\})$$

$$\textcircled{2} S^1 \curvearrowright \mathbb{C}^* (= \mathbb{C} \setminus \{0\})$$

$$\mathbb{C}^*/S^1 = \mathbb{R}_{>0}$$

Consequently, when  $G \curvearrowright M$  freely,  $H^*(M/G)$  has "enough" information.

② In general,  $G \curvearrowright M$  may NOT be free. $H^*(M/G)$  does NOT have enough information

$$\text{Eg. } S^2/S^1 = I^1 \simeq \{\text{pt}\}$$

$$\Rightarrow H^*(S^2/S^1) \cong H^*(\{\text{pt}\})$$

Borel's idea (1959)

THM [Milnor, 1956] Let  $G$  be a topological group.

Then  $\exists$  a contractible space  $EG$  s.t.  $G \curvearrowright EG$  freely.

• Put  $BG := EG/G$  classifying space

•  $H \subset G$  topological subgroup

$\rightsquigarrow H \curvearrowright EG$  freely &  $EG/H \cong BH = EH/H$  (homotopy equiv.)

Eg. ①  $G = \mathbb{Z}$ ,  $E\mathbb{Z} = \mathbb{R} \supset \mathbb{Z}$  additively (free!)

$$B\mathbb{Z} = \mathbb{R}/\mathbb{Z} \cong S^1$$

②  $G = S^1 = \{z \in \mathbb{C} \mid |z| = 1\}$  ( $=: T^1$ )

$$ES^1 = S^\infty, \quad BS^1 = \mathbb{C}P^\infty$$

(this is the infinite-dimensional version of

the well-known Hopf fibration  $S^3 \rightarrow \mathbb{C}P^1$ )

③  $G = T^n = (S^1)^n$ ,  $ET^n = (S^\infty)^n$ ,  $BT^n = (\mathbb{C}P^\infty)^n$ .

IDEA If  $G \curvearrowright X$  free,  $G \curvearrowright Y$  then  $G \curvearrowright X \times Y$  free.

For any  $G \curvearrowright M$ ,  $G \curvearrowright EG \times M$  free

$\rightsquigarrow (EG \times M)/G := EG \times_G M$  Borel construction

$$(e, x) \cdot g = (e \cdot g, g \cdot x)$$

Definition [Borel, 1959] The  $G$ -equivariant cohomology of  $M$

is defined by  $H_G^*(M) := H^*(EG \times_G M)$ .

Note  $H_{T^n}^*(\{pt\}) = H^*(BT^n) \cong \mathbb{C}[u_1, \dots, u_n]$   $\deg u_i = 2$

② General properties of  $H_G^*(M)$ .

① If  $G \curvearrowright M$  free, then

$$EG \times_G M \leftarrow EG \simeq \{*\}$$

$$\downarrow \\ M/G$$

$$\Rightarrow H_G^*(M) = H^*(EG \times_G M) = H^*(M/G)$$

(General fact

if  $G \curvearrowright X$  free,  $G \curvearrowright Y$  then

$$X \times_G Y \leftarrow Y$$

$$\downarrow \\ X/G$$

fiber bundle

)

②  $EG \times_G M \leftarrow M$

$$\pi \downarrow$$

$$EG/G = BG$$

fiber bundle

$$\rightsquigarrow \pi^* : H^*(BG) \rightarrow H_G^*(M)$$

$\rightsquigarrow H_G^*(M)$  has an  $H^*(BG)$ -algebra structure.

Note  $f : (M, G) \xrightarrow{\cong} (N, G)$

$$\rightsquigarrow f^* : H_G^*(N) \rightarrow H_G^*(M) : \text{algebra isomorphism.}$$

(not only a ring isom but also  $H^*(BG)$ -

Thm [Masuda, 2008]  $(M^{2n}, T^n), (N^{2n}, T^n)$  : smooth <sup>projective</sup> toric varieties

$$(M^{2n}, T^n) \cong (N^{2n}, T^n) \quad (\text{weakly equivariantly isom. as var.})$$

$$\iff H_{T^n}^*(M; \mathbb{Z}) \cong H_{T^n}^*(N; \mathbb{Z}) \quad \text{weakly isom. as } H^*(BT^n)\text{-algebras.}$$

(- "weakly equivariantly"  $\phi : M \rightarrow N$  var. isom with

an automorphism  $\gamma : T^n \curvearrowright$  s.t.  $\phi(tx) = \gamma(t)\phi(x) \quad \forall t \in T,$

- ring isom  $\Phi : H_T^*(N) \rightarrow H_T^*(M)$  with an automorphism  $\left. \begin{matrix} \gamma : T^n \curvearrowright \\ x \in M. \end{matrix} \right\}$

$$\gamma : T^n \curvearrowright \text{ s.t. } \Phi(uw) = \gamma^*(u) \Phi(w) \quad (\cdot \gamma^* : H^*(BT) \curvearrowright)$$

Q How can we describe / compute  $H_G^*(M)$ ?

§ 2. GKM manifolds We consider  $R = \mathbb{Q}$  or  $\mathbb{C}$

⊙ Assume  $G = T^k = (S^1)^k$

Two morphisms

①  $H_T^*(M) \xrightarrow{\bar{i}^*} H_T^*(M^T)$  induced by  $M^T \xleftarrow{\bar{i}} M$

②  $H_T^*(M) \xrightarrow{\bar{j}^*} H^*(M)$  induced by  $ET \times_T M \xleftarrow{\bar{j}} M$   
 $\downarrow$   
 $BT$

Def & Thm We say  $T$ -action on  $M$  is equivariantly formal if  $H_T^*(M)$  is free  $H^*(BT)$ -module.

( actual definition: Spectral sequence of the fibration  $\otimes$

collapses:  $H^p(BT, H^q(M)) \Rightarrow H^{p+q}(ET \times_T M)$

$\Rightarrow H_T^*(M) \cong H^*(M) \otimes H^*(BT)$

$\Rightarrow \text{rank}_{H^*(BT)} H_T^*(M) \cong \text{rank } H^*(M).$

Thm The following spaces are equivariantly formal.

(1)  $H^{\text{odd}}(M)$  is trivial.

(e.g.  $M$ : toric manifolds,

$M = G/P$  homogeneous manifolds ( $P$ : parabolic).)

(2)  $M$ : symplectic,  $T \curvearrowright M$  Hamiltonian.

Thm (Borel)  $(M, T)$ : equivariantly formal.

$\Rightarrow \bar{i}^*$  is injective &  $\bar{j}^*$  is surjective.

$H_T^*(M) \otimes_{H^*(BT)} \mathbb{Q} \rightarrow H^*(M)$

is an isomorphism.

Q What is  $\text{im}(\bar{i}^*) \cong H_T^*(M)$ ?

Def  $M$ : complex  $T$ -manifold of  $\dim_{\mathbb{C}} = n$ .

$M$  is a GKM manifold if

①  $T \curvearrowright M$  equivariantly formal.

②  $0 < |M^T| < \infty$

③ At every  $p \in M^T$ ,

$$T_p M \cong \mathbb{C}(\alpha_{1,p}) \oplus \mathbb{C}(\alpha_{2,p}) \oplus \dots \oplus \mathbb{C}(\alpha_{n,p})$$

↑  
as  $T$ -representations

$\{\alpha_{i,p}\}_{i=1}^n$  are pairwise linearly independent.

Rmk  $M^{(1)} := \{x \in M \mid \dim_{\mathbb{R}}(T \cdot x) \leq 1\}$  : equivariant 1-skeleton

③  $\Rightarrow M^{(1)}$  : union of  $S^2$ 's.

Example  $M = \mathbb{C}P^2 = (\mathbb{C}^3 \setminus 0) / \mathbb{C}^*$   $(x_0, x_1, x_2) \sim (tx_0, tx_1, tx_2)$ ,  $t \in \mathbb{C}^*$

$$T = T^2 \curvearrowright M, (t_1, t_2) \cdot [x_0, x_1, x_2] = [x_0, t_1 x_1, t_2 x_2]$$

$$M^T = \{[1, 0, 0], [0, 1, 0], [0, 0, 1]\}$$

① at  $p = [1, 0, 0]$   $(t_1, t_2) \cdot [1, x_1, x_2] = [1, t_1 x_1, t_2 x_2]$

$$\rightsquigarrow T_p M \cong \mathbb{C}(L_1) \oplus \mathbb{C}(L_2)$$

$$L_i : \text{Lie}(T) \cong \mathbb{R}^2 \rightarrow \mathbb{R}, (a_1, a_2) \mapsto a_i.$$

② at  $p = [0, 1, 0]$   $(t_1, t_2) \cdot [x_0, 1, x_2] = [t_1^{-1} x_0, 1, t_1^{-1} t_2 x_2]$

$$\rightsquigarrow T_p M \cong \mathbb{C}(-L_1) \oplus \mathbb{C}(-L_1 + L_2)$$

③ at  $p = [0, 0, 1]$   $(t_1, t_2) \cdot [x_0, x_1, 1] = [t_2^{-1} x_0, t_1 t_2^{-1} x_1, 1]$

$\therefore (\mathbb{C}P^2, T^2)$  is a GKM manifold.

Example  $M = \text{Fl}(\mathbb{C}^3) \cong \text{GL}_3(\mathbb{C})/B$

$$T = (S^1)^3 = \left\{ \begin{pmatrix} t_1 & 0 & 0 \\ 0 & t_2 & 0 \\ 0 & 0 & t_3 \end{pmatrix} : t_i \in S^1 \right\} \subset \text{GL}_3(\mathbb{C})$$

$$t \cdot gB = tgB \quad t \in T.$$

$$M^T = \{ wB \mid w \in \mathfrak{G}_3 \} \cong \mathfrak{G}_3$$

eg.  $p = eB = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} B$

$$\begin{aligned} \begin{pmatrix} t_1 & 0 & 0 \\ 0 & t_2 & 0 \\ 0 & 0 & t_3 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ g_{21} & 1 & 0 \\ g_{31} & g_{32} & 1 \end{pmatrix} B &= \begin{pmatrix} t_1 & 0 & 0 \\ t_2 g_{21} & t_2 & 0 \\ t_3 g_{31} & t_3 g_{32} & t_3 \end{pmatrix} B \\ &= \begin{pmatrix} 1 & 0 & 0 \\ t_1^{-1} t_2 g_{21} & 1 & 0 \\ t_1^{-1} t_3 g_{31} & t_2^{-1} t_3 g_{32} & 1 \end{pmatrix} B. \end{aligned}$$

$$T_p M \cong \mathbb{C}(-L_1 + L_2) \oplus \mathbb{C}(-L_1 + L_3) \oplus \mathbb{C}(-L_2 + L_3)$$

By similar computation,  $(G/B, T)$  is a GKM manifold.

Def  $(M, T)$ : GKM manifold.

The GKM graph  $\Gamma = (V, E, \alpha)$  is a labeled graph

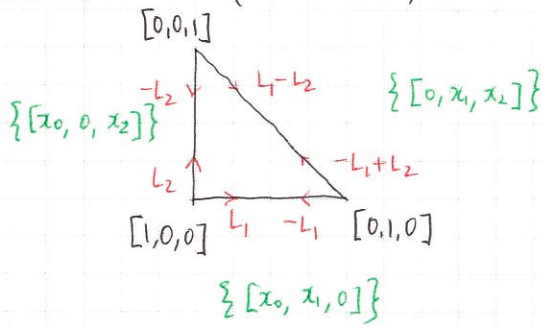
(1)  $V = M^T$  vertices

(2)  $E = \{ (p, q) \in M^T \times M^T \mid \exists \text{ an embedded } S^2 \subset M^{(1)} \text{ oriented } \nabla \text{ s.t. } \{p, q\} \subset S^2 \}$

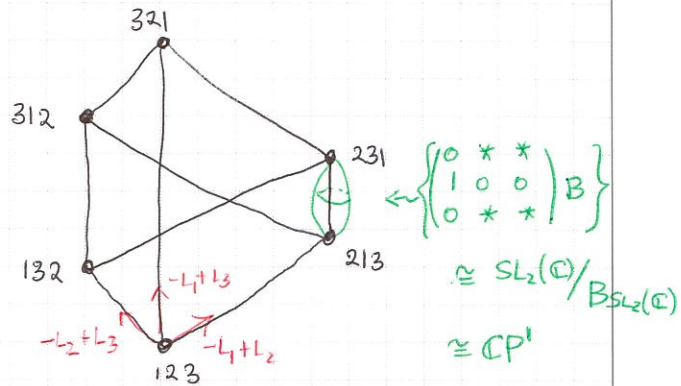
(3) We label each edge  $(p, q)$  with the weight  $\alpha = \alpha_{(p, q)}$  of the  $T$ -action corresponding to the embedded  $S^2 \supset \{p, q\}$ .

Example

$(\mathbb{C}P^2, T^2)$



$(Fl(\mathbb{C}^3), T^3)$



$\bar{i}^* : H_T^*(M) \rightarrow H_T^*(M^T) \cong \bigoplus_{P \in M^T} H^*(BT)$

If  $(M, T)$  is equivariantly formal, then  $\bar{i}^*$  is injective.

Thm (Goresky-Kottwitz-MacPherson, 1998)

$(M, T) : GKM$  manifold

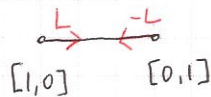
$H_T^*(M) \cong \{ (f_p) \in \bigoplus_{P \in M^T} H^*(BT) : \underbrace{\alpha_{(p,q)} | f_p - f_q}_{\text{"GKM condition"}}, \forall (p,q) \in E \}$

$=: H^*(\Gamma)$  "graph cohomology".

Example

①  $\mathbb{C}P^1$

$t \cdot [x_0, x_1] = [x_0, t \cdot x_1]$



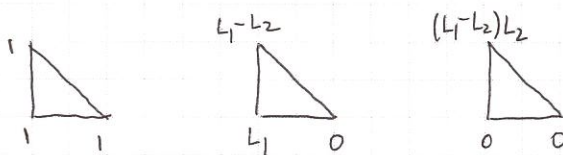
$H_{S^1}^*(\mathbb{C}P^1) \cong \{ \begin{matrix} f(L) & \xrightarrow{g(L)} \\ \hline L & | & f(L) - g(L) \end{matrix} \text{ in } \mathbb{C}[L] \}$

$\rightsquigarrow \{ \begin{matrix} 1 & \xrightarrow{1} \\ \hline 1 & \end{matrix}, \begin{matrix} 0 & \xrightarrow{L} \\ \hline 0 & \end{matrix} \} : \text{a basis of } H_{S^1}^*(\mathbb{C}P^1)$

as  $H^*(BS^1)$ -module.

②  $H_{T^2}^*(\mathbb{C}P^2) \cong \left\{ \begin{matrix} h \\ \triangle \\ f \quad g \end{matrix} : \begin{matrix} f, g, h \in \mathbb{Q}[L_1, L_2], \\ L_1 | f - g, L_2 | f - h, -L_1 + L_2 | g - h \end{matrix} \right\}$

As  $H^*(BT)$ -module,  $H_T^*(\mathbb{C}P^2)$  has generators



③  $(Fl(\mathbb{C}^3), T^3)$

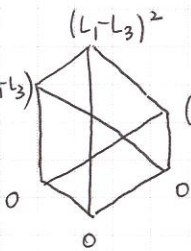
Homework Check the following elements satisfy GKM conditions.

	$\tau_{123}$	$\tau_{132}$	$\tau_{213}$	$\tau_{231}$	$\tau_{312}$	$\tau_{321}$
123	1	0	0	0	0	0
132	1	$L_2 - L_3$	0	0	0	0
213	1	0	$L_1 - L_2$	0	0	0
231	1	$L_1 - L_3$	$L_1 - L_2$	$(L_1 - L_2)(L_1 - L_3)$	0	0
312	1	$L_2 - L_3$	$L_1 - L_3$	0	$(L_2 - L_3)(L_1 - L_3)$	0
321	1	$L_1 - L_3$	$L_1 - L_3$	$(L_1 - L_2)(L_1 - L_3)$	$(L_2 - L_3)(L_1 - L_3)$	$(L_1 - L_2)(L_2 - L_3)(L_1 - L_3)$

$\{\tau_w \mid w \in \mathfrak{S}_3\}$  forms a basis for  $H_T^*(Fl(\mathbb{C}^3))$ .

Advantage

Easily compute cup products!

•  $\tau_{132} \cup \tau_{213} =$    $= \tau_{231} + \tau_{312}$ .

•  $\tau_{321}^2 = (L_1 - L_2)(L_1 - L_3)(L_2 - L_3) \tau_{321}$

$H_T^*(G/B) \rightarrow H^*(G/B)$

$\tau_w \mapsto \sigma_w$

$\rightsquigarrow$

$\sigma_{132} \cup \sigma_{213} = \sigma_{231} + \sigma_{312}$

$\sigma_{321}^2 = 0$ .

§ 3. Canonical classes in  $H^*(\Gamma)$ .

•  $(M, T)$  : GKM manifold & Hamiltonian  $T$ -action

$\rightsquigarrow \Gamma = (V, E, \alpha)$  GKM graph.

Note For  $(p, q) \in E$ , we also have  $(q, p) \in E$  and

$$\alpha_{(p,q)} = -\alpha_{(q,p)}.$$

• Take  $\xi$  s.t.  $\alpha_e(\xi) \neq 0 \quad \forall e \in E$ .

We only consider the edges  $e \in E$  with  $\alpha_e(\xi) > 0$

say  $E^\circ \subset E$ .

Write  $p < q$  if  $\exists$  a directed edge  $(p, q) \in E^\circ$

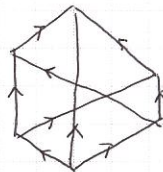
$\rightsquigarrow$  partial order on  $V$ .

\* [Thm 1.4.2, Guillemin-Zara 2001] If  $(\Gamma, \alpha)$  is the GKM graph of a Hamiltonian  $G$ -manifold, then  $(V, E^\circ)$  is acyclic.

Example



$$\xi = L_1^* + 2L_2^*$$



$$\xi = L_1^* + 2L_2^* + 3L_3^*$$

Def A Kirwan class  $p \in M^T$  is an equivariant class  $\nu_p \in H_T^{2\lambda_p}(M)$

satisfying

$$(i) \nu_p(p) = \prod_{(q,p) \in E^\circ} (-\alpha_{(q,p)})$$

$$(ii) \nu_p(q) = 0 \quad \forall q < p$$

$$\lambda_p = \# \{ (q,p) \in E^\circ \}$$

By convention,  $H^*(BT) = \mathbb{Q}[L_1, \dots, L_n]$ ,  $\deg L_i = 2$ .

Thm (Kirwan, 1984) For a Hamiltonian  $T$ -manifold,  $\exists$  Kirwan class  $\nu_p$  for all  $p \in M^T$ . Moreover,  $\{\nu_p \mid p \in M^T\}$  forms an  $H^*(BT)$ -module basis for  $H_T^*(M)$ .

However Kirwan classes are NOT uniquely determined in general.

Fortunately, in  $Fl(\mathbb{C}^n)$ , Kirwan basis is determined uniquely

We can check that  $\{\tau_w \mid w \in \mathbb{G}_3\}$  is a Kirwan basis.

Several people have added different conditions that would ensure that this basis is unique, i.e., to be "canonically" associated.

(1) Guillemin - Zara (2002, 2003)

GKM spaces, "equivariant Thom classes"

When  $\overline{W^u(p)}$  is smooth,  $\nu_p \leftrightarrow [\overline{W^u(p)}]_T^{PP}$

(2) Goldin - Tolman (2009)

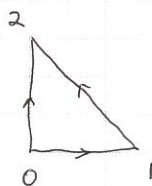
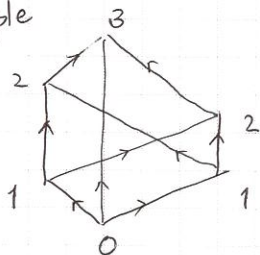
Hamiltonian  $T$ -spaces, "canonical classes"  $\downarrow$  Kirwan class &  $\nu_p(q) = 0$  if  $p \neq q$

existence & uniqueness are proved under the assumption

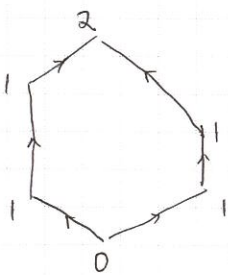
that  $\xi$  is "index increasing"

$$p < q \Rightarrow \lambda_p < \lambda_q$$

Example



: index increasing



: GKM graph of  $\text{Hess}(S, (2,3,3)) = X_{\square}$   
permutohedral var.

NOT index increasing.

(3) Zara (2007) non-index increasing case, "canonical classes".

(4) Pabiniak - Sabatini (2018)

symplectic toric manifold. "canonical classes"

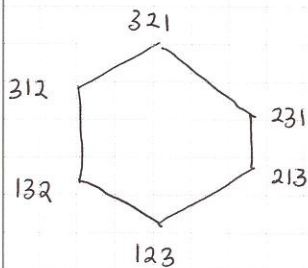
(A) They provide a Kirwan class cor. to  $[\overline{W^u(p)}]_T^{PD}$

(B) When  $\xi$  is index increasing, then it coincides with GT class.

Rmk GKM theory can be applied to...

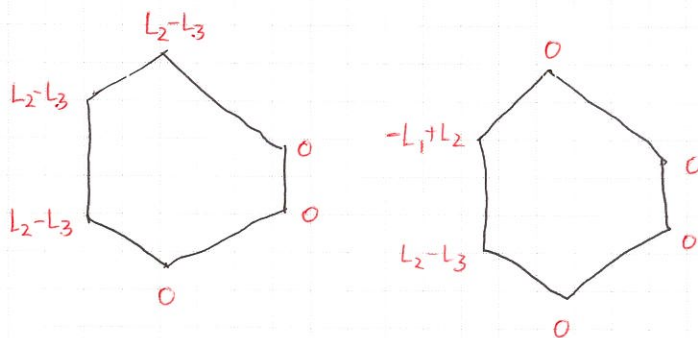
- $G$ : compact connected Lie group
- GKM variety ( $M^{(1)}$  consists of finitely many  $S^2$ 's.)  
: NOT necessarily smooth
- equivariant Chow rings, equivariant algebraic K-theory

⊙ Let us go back to the example.



This is the GKM graph of the smooth projective toric variety  $X_\Sigma$  whose fan is obtained by Weyl chambers of type  $A_2$ .

There are two distinct Kirwan classes:



canonical class in Pabiniak - Sabatini

$W = \mathcal{G}_3 \hookrightarrow H_T^*(G/B)$

$\sigma \in H_T^*(G/B), w, v \in W$

$\rightsquigarrow (w \circ \sigma)(v) := w \cdot \underbrace{\sigma(w^{-1}v)}_{\text{in } H^*(BT) = \mathbb{Q}[L_1, \dots, L_k]}$

Eg.  $(s_1 \circ \tau_{213})(132) = s_1 \circ \tau_{213}(s_1 \cdot 132)$   
 $= s_1 \circ \tau_{213}(231)$   
 $= s_1 \circ (L_1 - L_2)$   
 $= L_2 - L_1$

In fact,  $s_1 \circ \tau_{213} = \begin{matrix} L_2 - L_3 \\ L_2 - L_3 \\ -L_1 + L_2 \\ -L_1 + L_2 \end{matrix} \begin{matrix} \circ \\ \circ \\ \circ \\ \circ \end{matrix} = (-L_1 + L_2) \tau_{123} + \tau_{213}$

$\rightsquigarrow s_1 \circ \sigma_{213} = \sigma_{213}$  in  $H^*(G/B)$

Furthermore,  $W \hookrightarrow H^*(G/B)$  trivial.

Homework ①  $H^*(G/B) \cong \mathbb{1}^{\oplus 6}$  as  $W$ -representations.

②  $H^*(X_{\square}) \cong \mathbb{1}^{\oplus 4} \oplus V_{\boxplus}$  "

Rmk (Chang-Skjelbred, 1974) (cf Lemma 2.3)

$0 \rightarrow H_k^*(X; A) \xrightarrow{\gamma} H_k^*(X^K; A) \xrightarrow{\delta} H_k^*(X^{(1)}, X^K; A) \text{ is exact.}$